

# Equilibrium, local equilibrium, nonequilibrium

Typical particle Hamiltonian:

$$\hat{H} = \sum_{i=1}^N \frac{\vec{p}_i^2}{2m} + \sum_{i < j} u(|\vec{x}_i - \vec{x}_j|)$$

Full N-body dist<sup>n</sup>:

$$\rho_N(\vec{x}_1, \dots, \vec{x}_N; \vec{p}_1, \dots, \vec{p}_N) = \frac{1}{N!} \begin{cases} Z_N^{-1} e^{-\beta \hat{H}_N} & \text{OCE} \\ \Xi^{-1} e^{\beta \mu N} e^{-\beta \hat{H}_N} & \text{GCE} \end{cases}$$

Then

$$\rho_N(\{\vec{x}_i\}; \{\vec{p}_i\}) \prod_{j=1}^N \frac{d^d x_j \cdot d^d p_j}{h^d} = \begin{cases} \text{prob to find \#1 within} \\ d^d x_1 \text{ of } \vec{x}_1 \text{ and within} \\ d^d p_1 \text{ of } \vec{p}_1, \text{ etc.} \end{cases}$$

↑ dimensionless

Nonequilibrium:  $\rho_N = \rho_N(\{\vec{x}_i\}; \{\vec{p}_i\}, t)$  is time-dependent. General nonequilibrium problem is hopeless - need to compute augs by integrating over  $\mathcal{O}(N_A)$  coordinates. But in our applications typically external drives occur over large scales, and scattering processes then work to establish local equilibria in which there are local values

for intensive thermodynamic quantities such as  $T(\vec{r})$ ,  $\mu(\vec{r})$ , and  $\vec{V}(\vec{r})$  (local velocity field).

The  $k$ -body distribution  $\leftarrow$  all indices distinct

$$f_k(\vec{r}_1, \dots, \vec{r}_k, \vec{\pi}_1, \dots, \vec{\pi}_k, t) \equiv \sum_{\{j_1, \dots, j_k\}}' \langle \delta(\vec{r}_1 - \vec{x}_{j_1}(t)) \dots \delta(\vec{r}_k - \vec{x}_{j_k}(t)) \delta(\vec{\pi}_1 - \vec{p}_{j_1}(t)) \dots \delta(\vec{\pi}_k - \vec{p}_{j_k}(t)) \rangle$$

$$= \frac{N! h^{-kd}}{(N-k)!} \int \prod_{i=k+1}^N d\mu_i \rho_N(\vec{r}_1, \dots, \vec{r}_k, \vec{x}_{k+1}, \dots, \vec{x}_N; \vec{\pi}_1, \dots, \vec{\pi}_k, \vec{p}_{k+1}, \dots, \vec{p}_N)$$

$$d\mu_i = h^{-d} d^d x_i d^d p_i$$

We'll mostly be interested in  $k=1$ :

$$f(\vec{r}, \vec{\pi}) = N h^{-d} \int \prod_{i=2}^N d\mu_i \rho_N(\vec{r}, \vec{x}_2, \dots, \vec{x}_N; \vec{\pi}, \vec{p}_2, \dots, \vec{p}_N)$$

called the (diagonal elements of the) 1-body density matrix.

$$\text{Symmetry} \Rightarrow \rho_N(\sigma\{\vec{x}_j\}, \sigma\{\vec{p}_j\}, t) = \rho_N(\{\vec{x}_j\}, \{\vec{p}_j\}, t)$$

where  $\{\vec{x}_j\} = \{\vec{x}_1, \dots, \vec{x}_N\}$  and  $\sigma\{\vec{x}_j\} = \{x_{\sigma(1)}, \dots, x_{\sigma(N)}\}$

with  $\sigma \in S_N$  is a permutation of the  $N$  labels.

Then we have "sum rules":

$$\int \prod_{i=1}^K d^d r_i d^d \pi_i f_K(\{\vec{r}_j\}, \{\vec{\pi}_j\}, t) = \frac{N!}{(N-K)!}$$

Thus with  $f(\vec{r}, \vec{p}, t) = f_1(\vec{r}, \vec{p}, t)$  we have

$$\int d^d r d^d p f(\vec{r}, \vec{p}, t) = N$$

1-body number density:  $n(\vec{r}, t) = \int d^d p f(\vec{r}, \vec{p}, t)$

and so  $\int d^d r n(\vec{r}, t) = N$ .

## Currents

We've already defined

$$\vec{j}(\vec{r}, t) = \int d^d p f(\vec{r}, \vec{p}, t) \frac{\vec{p}}{m}$$

$$\vec{j}_\varepsilon(\vec{r}, t) = \int d^d p f(\vec{r}, \vec{p}, t) \varepsilon(\vec{p}) \frac{\vec{p}}{m}$$

Equilibrium dist<sup>n</sup> for ballistic particles:

$$f^0(\vec{r}, \vec{p}) = \frac{n}{(2\pi mk_B T)^{d/2}} e^{-\vec{p}^2/2mk_B T}$$
$$= h^{-d} z e^{-\vec{p}^2/2mk_B T}; \quad z = e^{\beta\mu} = n\lambda_T^d$$

Recall  $\vec{j}_q = T \vec{j}_s = \vec{j}_\varepsilon - \mu \vec{j}$

from local thermodynamics  $dq = T ds = d\varepsilon - \mu dn$ .

## Derivation of Boltzmann Eqn

Recall  $f(\vec{r}, \vec{p}, t) d^3r d^3p = \left\{ \begin{array}{l} \# \text{ particles within } d^3r \text{ of } \vec{r} \\ \text{and } d^3p \text{ of } \vec{p} \text{ at time } t \end{array} \right.$

Let  $\vec{\Phi} = (x, y, z, p_x, p_y, p_z)$  be the 6-dim<sup>l</sup> phase space position and  $\vec{u} = \dot{\vec{\Phi}} = (\dot{x}, \dot{y}, \dot{z}, \dot{p}_x, \dot{p}_y, \dot{p}_z)$

be the phase space velocity vector. The dist<sup>n</sup> must obey the continuity equation,

$$\frac{\partial f}{\partial t} + \vec{\nabla} \cdot (\vec{u} f) = 0$$

Integrating over a region  $\Omega$  gives

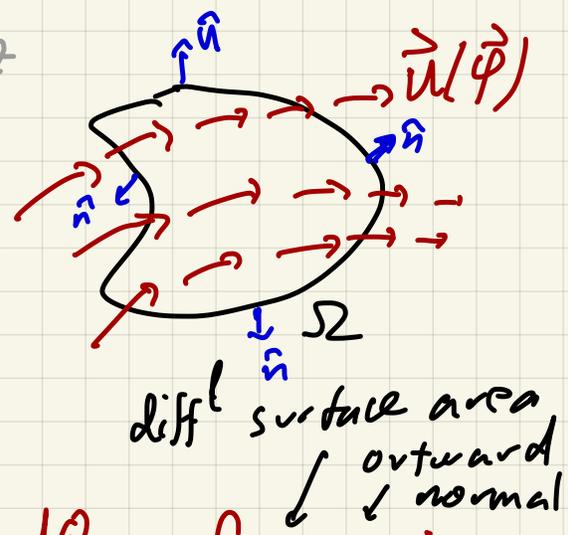
$$dV = dx dy dz dp_x dp_y dp_z$$

$$0 = \int_{\Omega} dV \left[ \frac{\partial f}{\partial t} + \vec{\nabla} \cdot (\vec{u} f) \right]$$

$$= \frac{d}{dt} \int_{\Omega} dV f + \int_{\Omega} dV \vec{\nabla} \cdot (\vec{u} f)$$

$$= \frac{d}{dt} Q_{\Omega} + \int_{\partial\Omega} d\Sigma \hat{n} \cdot \vec{u} f = \frac{dQ_{\Omega}}{dt} + \int_{\partial\Omega} d\Sigma \hat{n} \cdot \vec{j}$$

↑ "charge" in  $\Omega$ 
↑ boundary of  $\Omega$ 
↑ current  $\vec{j} = \vec{u} f$



Thus,

$$\frac{dQ_{\Omega}}{dt} = - \int_{\partial\Omega} d\Sigma \hat{n} \cdot \vec{j}$$

Just like in  $E \neq M$ !

The dynamics of  $\vec{\varphi}(t)$  are Hamiltonian:

$$\dot{\vec{r}} = \frac{\partial H}{\partial \vec{p}}, \quad \dot{\vec{p}} = - \frac{\partial H}{\partial \vec{r}}$$

$$H = \mathcal{E}(\vec{p}) + U(\vec{r})$$

Hamiltonian flow is incompressible:

$$\vec{\nabla} \cdot \vec{u} = \frac{\partial \dot{r}^\alpha}{\partial r^\alpha} + \frac{\partial \dot{p}^\alpha}{\partial p^\alpha}$$

$$\dot{r}^\alpha = \frac{\partial H}{\partial p^\alpha}, \quad \dot{p}_\alpha = -\frac{\partial H}{\partial r^\alpha}$$

$$\text{So } \vec{\nabla} \cdot \vec{u} = \frac{\partial}{\partial r^\alpha} \frac{\partial H}{\partial p^\alpha} + \frac{\partial}{\partial p^\alpha} \left( -\frac{\partial H}{\partial r^\alpha} \right) = 0$$

if  $H(\vec{p}, \vec{r})$  is a smooth  $f^n$  of its arguments.

Thus the continuity eqn simplifies:

$$0 = \frac{\partial}{\partial t} + \vec{\nabla} \cdot (\vec{u}f) = \frac{\partial}{\partial t} + f \cancel{\vec{\nabla} \cdot \vec{u}} + \vec{u} \cdot \vec{\nabla} f$$

$$\Rightarrow \frac{Df}{Dt} \equiv \frac{\partial f}{\partial t} + \vec{u} \cdot \vec{\nabla} f = 0$$

The operator  $\frac{D}{Dt} = \frac{\partial}{\partial t} + \vec{u} \cdot \vec{\nabla}$  is called

the convective derivative. It evaluates

change in time measured in a frame which

moves with the local velocity  $\vec{u}(\vec{r})$ :

$$\frac{d}{dt} f(\vec{r}(t), \vec{p}(t), t) = \frac{\partial f}{\partial \vec{r}} \cdot \frac{d\vec{r}}{dt} + \frac{\partial f}{\partial \vec{p}} \cdot \frac{d\vec{p}}{dt} + \frac{\partial f}{\partial t} = \frac{Df}{Dt}$$

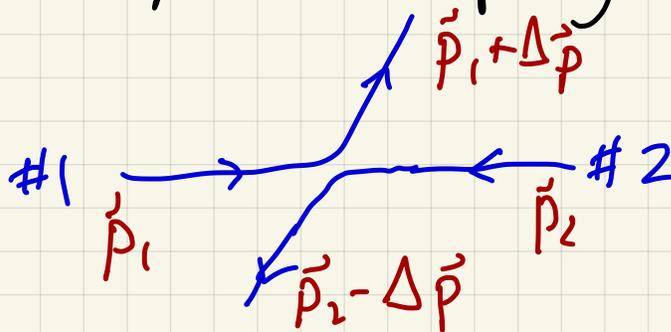
The equation  $\frac{Df}{Dt} = 0$  is known as the collisionless Boltzmann eqn (CBE). It can be written as  $(df/dt)_{\text{streaming}}$

$$\frac{\partial f}{\partial t} + \dot{\vec{r}} \cdot \frac{\partial f}{\partial \vec{r}} + \dot{\vec{p}} \cdot \frac{\partial f}{\partial \vec{p}} = 0$$

To complete the derivation, we add the collision term to the RHS

$$\frac{\partial f}{\partial t} + \dot{\vec{r}} \cdot \frac{\partial f}{\partial \vec{r}} + \dot{\vec{p}} \cdot \frac{\partial f}{\partial \vec{p}} = \left( \frac{df}{dt} \right)_{\text{collision}}$$

In collisions, two particles rapidly exchange momenta:



Collisions are assumed to occur locally in space.