

Electric and magnetic phenomena are described by Maxwell's equations

$$\vec{\nabla} \cdot \vec{E} = 4\pi\rho \quad (1)$$

$$\vec{\nabla} \times \vec{B} - \frac{1}{c} \frac{\partial \vec{E}}{\partial t} = \frac{4\pi}{c} \vec{j} \quad (2)$$

$$\vec{\nabla} \times \vec{E} + \frac{1}{c} \frac{\partial \vec{B}}{\partial t} = 0 \quad (3)$$

$$\vec{\nabla} \cdot \vec{B} = 0 \quad (4)$$

(given in Gaussian units - more on this later) plus the Lorentz force equation

$$\vec{F} = q(\vec{v} + \vec{v} \times \vec{B}) \quad (5)$$

In these  $\vec{E} = \vec{E}(x, t)$  and  $\vec{B} = \vec{B}(x, t)$  are fields - which I am displaying explicitly just to make sure we agree on notation,

$\rho = \rho(x, t)$  = electric charge density

$\vec{j} = \vec{j}(x, t)$  = electric current density

$c$  = speed of light  $\approx 2.99 \times 10^8$  m/s

$\vec{v}$  = velocity of charge- $q$  particle

$\vec{F}$  = force due to  $\vec{E}, \vec{B}$  fields on said particle

And  $\vec{E} = (E_x, E_y, E_z)$  etc are 3-vectors (we'll distinguish 3-vectors from other d-vectors)

$$\vec{\nabla} = \left( \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right) = (\partial_x, \partial_y, \partial_z)$$

so that on a function  $f(x, y, z)$ ,  $\vec{\nabla}f = \vec{\text{grad}}(f)$  is a vector  $(\partial_x f, \partial_y f, \partial_z f)$  ("gradient")

on a vector  $\vec{E}$ ,  $\vec{\nabla} \cdot \vec{E} = \text{div}(\vec{E})$  is a scalar (ie, pure number)  $= \partial_x E_x + \partial_y E_y + \partial_z E_z$  (divergence)

and on a vector  $\vec{E}$ ,  $\vec{\nabla} \times \vec{E} = \vec{\text{curl}}(\vec{E})$  is a vector:  $= (\partial_y E_z - \partial_z E_y, \partial_z E_x - \partial_x E_z, \partial_x E_y - \partial_y E_x)$  (curl)

We start our discussion of Electrodynamics by exploring two key aspects of eqs (1)-(5):

(i) They are invariant under Lorentz transformations.

(ii) The fundamental dynamical variables are fields.

We will look at those together, moving back and forth between them. We will make contact with the more familiar aspects of special relativity (eg, boosts on point particles) only at the end, for completeness.

## Space-time

Fields are functions of space and time. This in itself does not require us think of space and time as part of the same continuum "space-time". It is the invariance of Eqs (1)-(5) under Lorentz transformations, and that these mix space and time, that lead us to consider space and time on an almost equal footing.

### Warm-up: Rotations and space.

Points in space are accounted for with coordinates  $\vec{x} = (x^1, x^2, x^3) \in \mathbb{R}^3$

There is much arbitrariness in how this is done. Given a coordinate system  $\vec{x}$  we can define a new one  $\vec{x}' = \vec{x}'(\vec{x})$  (3 functions of 3 variables), with the obvious constraint that the map be 1-to-1, invertible (if you know about manifolds and differential geometry, this can be done in patches). But we'd like to focus on coordinates we can assign with a meter stick; call them "Cartesian".

Given one such coordinate system, others are obtained by

- translations  $\vec{x}' = \vec{x} + \vec{a}$   $\vec{a}$  = fixed vector
- rotations  $\vec{x}' = R \vec{x}$   $R$  = orthogonal matrix

Here is another look at this. In our 'ruled' space distance is given by

$$ds^2 = dx^2 + dy^2 + dz^2 = \sum_{i=1}^3 (dx^i)^2 \quad (6)$$

OK, real distance between 2-points,  $P_1$  and  $P_2$ , is given by

$$\int_{P_1}^{P_2} ds \text{ along a straight line} \quad (\text{or, equivalently, } \min_{\text{all paths}} \int_{P_1}^{P_2} ds).$$

Question: what is the set of transformations  $\vec{x} \rightarrow \vec{y}(\vec{x})$  that preserve the form of (6),

$$ds^2 = \sum_{i=1}^3 (dx^i)^2 = \sum_{i=1}^3 (dy^i)^2 ? \quad (7)$$

Since  $\vec{y} = \vec{y}(\vec{x})$  we have

$$\sum_{i=1}^3 (dy^i)^2 = \sum_{i=1}^3 \left( \sum_{j,k} \frac{\partial y^i}{\partial x^j} dx^j \right)^2 = \sum_{j,k} \left( \sum_{i=1}^3 \frac{\partial y^i}{\partial x^j} \frac{\partial y^i}{\partial x^k} \right) dx^j dx^k$$

One can show  $\sum_{i=1}^3 \frac{\partial y^i}{\partial x^j} \frac{\partial y^i}{\partial x^k} = \delta_{jk} = \begin{cases} 1 & \text{if } j=k \\ 0 & \text{if } j \neq k \end{cases}$  ("Kronecker delta") (7)

only if  $\vec{y} = \vec{y}(\vec{x})$  is a linear transformation.  $y^i = \sum_{j=1}^3 R^i_j x^j + a^i$

with  $R^i_j$  a  $3 \times 3$  matrix of numbers and  $a^i$  a 3-vector of numbers  
(by "numbers" we mean constants, independent of  $\vec{x}$ ).

Moreover it is required that

$$\sum_{i=1}^3 R^i_j R^i_k = \delta_{jk} \quad (8)$$

which follows directly from (7).

Note: the reason for the peculiar upper/lower indices will become clear soon.

Condition (8) defines "orthogonal" matrices.

It is convenient to introduce a metric tensor  $M_{ij}$  so that

$$ds^2 = \sum_{i=1}^3 \sum_{j=1}^3 M_{ij} dx^i dx^j \quad (9)$$

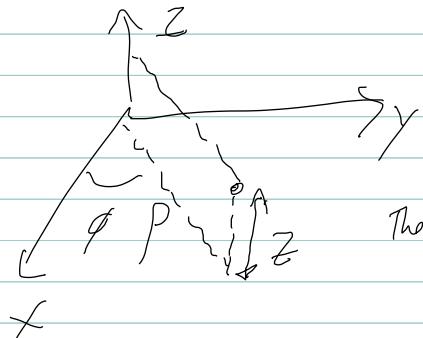
Of course,  $M_{ij} = \delta_{ij}$  in our Cartesian system. But we have already seen that if we go nutty with coordinate choices,  $y^i = y^i(x_i)$  then

$$ds^2 = \sum_{k,l} g_{kl} dy^k dy^l \quad \text{with } g_{kl} = \sum_i \frac{\partial x^i}{\partial y^k} \frac{\partial x^i}{\partial y^l} M_{ij} \quad (10)$$

(we used  $x^i = x^i(\vec{y})$  as the inverse of  $\vec{y} = \vec{y}(\vec{x})$ ). This can be convenient! We can relate the metric tensors in coordinate systems that are not Cartesian.

Examples follow:

## \* Cylindrical coordinates.



$$x = \rho \cos\phi$$

$$y = \rho \sin\phi$$

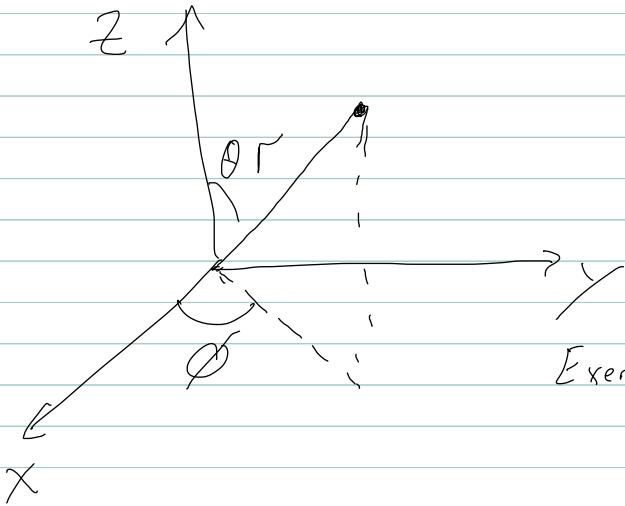
$$z = z$$

← ok, I should give them different labels, but why bother....

$$\text{Then } ds^2 = (\rho \cos\phi - \rho \sin\phi d\phi)^2 + (\rho \sin\phi + \rho \cos\phi d\phi)^2 + (dz)^2 \\ = d\rho^2 + \rho^2 d\phi^2 + dz^2 \quad (11)$$

and  $g_{kk} = \text{diag}(1, \rho^2, 1)$  (rr  $g_{rr}=1$ ,  $g_{\phi\phi}=\rho^2$ ,  $g_{zz}=1$ , all others vanish).

## \* Spherical coordinates



$$x = r \sin\theta \cos\phi$$

$$y = r \sin\theta \sin\phi$$

$$z = r \cos\theta$$

$$\text{Exercise: verify } ds^2 = dr^2 + r^2 d\theta^2 + r^2 \sin^2\theta d\phi^2 \quad (12)$$

We will make this useful momentarily.

But let go back to rotations: consider  $x^i \rightarrow R^i_j x^j$  (this is often going to be shorthand, or short speak, for let  $y^i = R^i_j x^j$  in a function of  $\vec{y}$ ):

$$ds^2 = \sum_{i,j=1}^3 \gamma_{ij} dx^i dx^j \rightarrow \sum_{i,j=1}^3 \gamma_{ij} \left( \sum_{k=1}^3 R^i_k dx^k \right) \left( \sum_{l=1}^3 R^j_l dx^l \right) = \sum_{k,\ell=1}^3 \gamma'_{k\ell} dx^k dx^\ell$$

$$\text{where } \gamma'_{k\ell} = \sum_{i,j=1}^3 R^i_k R^j_\ell \gamma_{ij} \quad (13)$$

The invariance condition (7) is now  $\gamma'_{ij} = \gamma_{ij}$

Notice that since  $\gamma_{ij} = \delta_{ij}$ , condition (13) is just the same as (8). But it tells us something interesting: orthogonal transformations are those that leave the metric tensor invariant under transformations  $\gamma \rightarrow \gamma'$  given in (13)

## Einstein convention:

Repeated indices are presumed summed over their understood range unless otherwise stated.

$$\text{So } y^i = R^i_j x^j \text{ stands for } y^i = \sum_{j=1}^3 R^i_j x^j$$

$$\text{and } ds^2 = g_{ij} dx^i dx^j \text{ stands for } ds^2 = \sum_{i,j=1}^3 g_{ij} dx^i dx^j$$

Sometimes we even imply the indices,  $y = Rx$  means  $y^i = R^i_j x^j$

And

$R^T g R = g$  characterizes transformations that leave metric invariant  
and  $g = \mathbb{1}$  means  $R^T R = \mathbb{1}$ , a more familiar condition for orthogonal matrix.

Physics:

Let's consider a rotation on Maxwell's equations. Start from Gauss's law (1):

$$\vec{\nabla} \cdot \vec{E} = 4\pi\rho$$

or rather

$$\partial_i E^i = 4\pi\rho$$

where  $\partial_i = \frac{\partial}{\partial x^i}$ , and the lower index on  $\partial_i$ , upper on  $x^i$ , will be explained later.

Consider a coordinate change  $y^i = y^i(x^j) = R^i_j x^j$

We want to show that there is a matrix function of  $R$ , say  $D(R)$ , such that (1) is form invariant if

$$E'^i(\vec{y}, t) = D^i_j E^j(\vec{x}, t) = D^i_j E^j(R^{-1}\vec{y}, t)$$

Since  $t$  is going along for a ride, I will omit below. "Form invariant" or plainly "invariant" means

$$\partial'_i E'^i = \frac{\partial}{\partial x^i} E'^i(y) = 4\pi\rho(\vec{y})$$

To see this is the case, and infer  $D(R)$ , compute the divergence:

$$\partial'_i E'^i = D^i_j \partial'_i E^j(R^{-1}\vec{y}) = D^i_j \partial'_i (R^{-1})^k_j (\partial_k E^j(x)) \Big|_{x=R^{-1}\vec{y}} = D^i_j (R^{-1})^k_j (\partial_k E^j(x)) \Big|_{x=R^{-1}\vec{y}}$$

We want this to equal  $4\pi\rho(\vec{x}) \Big|_{x=R^{-1}\vec{y}} = \partial_i E^i(x) \Big|_{x=R^{-1}\vec{y}}$ . Comparing we see that we need

$$(R^{-1})^k_j D^i_j = \delta^k_j \Rightarrow D^i_j = R^i_j \quad \text{or in matrix notation} \quad D = R$$

(So indeed,  $D$  is a function of  $R$ , namely,  $D(R) = R$ ).

Re-cap: Eq (1) is invariant under the change of coordinates ('rotations')  
 $\vec{y} = R\vec{x}$

If in the new coordinate system  $\vec{E}'(\vec{y}) = R\vec{E}(\vec{x})$  and  $\rho'(\vec{y}) = \rho(\vec{x})$ .

Or simply, (1) is invariant under  $\vec{E}'(\vec{y}) = R\vec{E}(R'\vec{y})$  and  $\rho'(\vec{y}) = \rho(R'\vec{y})$ .

We say that  $\vec{E}$  is a **vector** because it transforms under rotations just like  $\vec{x}$  does  
 (namely  $\vec{x} \rightarrow R\vec{x}$ ,  $\vec{E} \rightarrow R\vec{E}$ ).

We say that  $\rho$  is a **scalar**: it transforms under rotations just as  $ds^2$   
 (namely  $\rho \rightarrow \rho$ ).

Exercise: show that with  $c$  a scalar, and  $\vec{B}$ ,  $\vec{j}$ ,  $\vec{v}$  and  $\vec{F}$  vectors  
 eqs (2)-(5) are also invariant.

Maxwell equations are invariant under rotations. This may seem trivial,  
 particularly since we have written them in an explicitly covariant notation.  
 That is, once we know that

- (i) Dot products are scalars (ie, do not transform)
- (ii)  $\vec{v}$  is a vector
- (iii) cross products of vectors are vectors

we can "see" that each equation is invariant because both sides of the  
 equality transform the same way, eg

$$\vec{\nabla} \times \vec{B} - \frac{1}{c} \frac{\partial \vec{E}}{\partial t} = \frac{4\pi}{c} \vec{j}$$

$\uparrow$        $\uparrow$        $\uparrow$        $\downarrow$   
 vector    vector    vector    vector  
 ↓      ↓      ↓      ↓  
 vector    vector

Our aim is to show that Eqs (1)-(5) are invariant under a bigger set  
 of transformations, namely Lorentz transformations (plus translations in space-time, but  
 these are already explicit). The problem is much simpler if we can make the  
 symmetry explicit, as we just showed for rotations in Ampère's law (Eq (2))  
 rather than going through explicit computations as we did with Gauss's law  
 (and I proposed as an exercise for Eqs (2)-(5)).

Before leaving rotations, let's use the technology we developed to derive a couple of useful equations.

When going to curvilinear coordinates (e.g., spherical) we have to be more careful in defining vectors. It is not necessarily true that new coordinates  $y^i = y^i(x)$  transform as vectors. What is always true is that the infinitesimal displacement between two points is a vector, and the vector transformation is given by

$$dy^i = \frac{\partial y^i}{\partial x^j} dx^j$$

$dx^i$  defines a tangent vector to a curved surface:

which corresponds to  $dy^i = R^i_j dx^j$  for transformations  $\vec{y} = \vec{y}(x)$  of the form  $\vec{y} = R \vec{x}$ .

The dot-product of two vectors  $\vec{a} \cdot \vec{b}$  is  $\vec{a} \cdot \vec{b} = \eta_{ij} a^i b^j$

Recall  $ds^2 = g_{ij} dy^i dy^j$  has

$$g_{ij} = \frac{\partial x^k}{\partial y^i} \frac{\partial x^l}{\partial y^j} M_{kl} \quad (14)$$

So if  $a'^i = \frac{\partial x^i}{\partial x^k} a^k$  for vectors, then

$$\vec{a}' \cdot \vec{b}' = g_{ij} a'^i b'^j = \left( \frac{\partial x^k}{\partial y^i} \frac{\partial x^l}{\partial y^j} M_{kl} \right) \left( \frac{\partial x^i}{\partial x^m} a^m \right) \left( \frac{\partial x^n}{\partial x^l} b^n \right) = \eta_{kl} a^k b^l = \vec{a} \cdot \vec{b}$$

Consider the gradient  $\partial_i \phi$  of a scalar function, i.e.,  $\phi'(\vec{x}) = \phi(\vec{x})$ .

$$\partial'_i \phi' = \frac{\partial \phi'(y)}{\partial y^i} = \frac{\partial \phi(x)}{\partial y^i} = \frac{\partial x^j}{\partial y^i} \frac{\partial \phi}{\partial x^j}$$

Aha! This is NOT a vector!  $\partial'_i \phi' = \frac{\partial x^j}{\partial y^i} \partial_j \phi$  instead of  $a'^i = \frac{\partial x^i}{\partial x^k} a^k$

In the language of differential geometry these are "1-forms" (spoken "one forms").

In old physics language

$a^i$  = contra-variant vector

$\partial_i \phi$  = co-variant vector

Any  $w_i$  that transforms as  $w'_i = \frac{\partial x^j}{\partial y^i} w_j$  is a 1-form (or a covariant vector)

Note that  $w'_i a^i = w_i a^i$ , i.e., the "contraction" of a 1-form and a vector is a scalar (sometimes this is used to define 1-forms).

Note furthermore that  $a_i = g_{ij} a^j$  transforms as (and therefore is) a 1-form

THIS IS WHY WE HAVE DIFFERENTIATED BETWEEN UPPER AND LOWER INDICES.

Given a 1-form  $a_i$  can I make a vector  $a^i$ ? Yes! Let  $g^{ij}$  denote the inverse matrix to  $g_{ij}$ , so that

$$g^{ij} g_{jk} = \delta_k^j \quad (g^{-1}g = 1)$$

Then

$$a^i = g^{ij} a_j$$

is a vector.

Exercise: Prove the above assertion.

Invariant integrals.

$$\text{Consider } \int_V d^3x = \int_V dx dy dz = \int_V \frac{1}{J} dx^i$$

In changing variables to curvilinear coordinates  $\vec{y} = \vec{y}(x)$

$$\int_V d^3x = \int_V d^3y J$$

$$J = \text{Jacobian} = \left| \det \left( \frac{\partial x^i}{\partial y^j} \right) \right|$$

Recall, if  $ds = g_{ij} dy^i dy^j = \eta_{ij} dx^i dx^j$  then (eq (14)):  $g_{ij} = \frac{\partial x^k}{\partial y^i} \frac{\partial x^l}{\partial y^j} \eta_{kl}$

That is  $g = \det g_{ij} = J^2 \det \eta$  (and  $\det \eta = 1$ , but let's keep it explicit for now)

So  $\int_V d^3x \sqrt{\eta} = \int_V d^3y \sqrt{g}$  is the invariant integration volume.

Examples:

$$(a) \text{Cylindrical: } g = \det \begin{pmatrix} 1 & 0 & 0 \\ 0 & r^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} = r^2 \Rightarrow \text{volume} = dr d\theta dz \cdot r = r dr d\theta dz$$

$$(b) \text{Spherical: } g = \det \begin{pmatrix} 1 & r^2 \sin^2 \theta & 0 \\ 0 & r^2 & 0 \\ 0 & 0 & 1 \end{pmatrix} = r^4 \sin^2 \theta \quad \text{vol} = dr d\theta d\phi r^2 \sin \theta = r^2 dr d\theta d\phi$$

## Divergence in curvilinear coordinates

Looks a priori messy, but neat trick:

Consider  $\int dy \sqrt{g} a^i \partial_i \phi$  clearly invariant under coordinate transformations

Take  $\phi$  to vanish at spatial  $\infty$  and integrate by parts (in fact we will want  $\phi$  to have local support):

$$\int dy \sqrt{g} a^i \partial_i \phi = - \int dy \phi \partial_i (\sqrt{g} a^i) = - \underbrace{\int dy \sqrt{g} \phi}_{\text{invariant}} \left[ \frac{1}{\sqrt{g}} \partial_i (\sqrt{g} a^i) \right]$$

invariant  $\Rightarrow$  must be invariant

Moreover, comparing to Cartesian coordinates this invariant is what we called  $\text{div}(\vec{a})$

So

$$\text{div}(\vec{a}) = \frac{1}{\sqrt{g}} \partial_i (\sqrt{g} a^i)$$

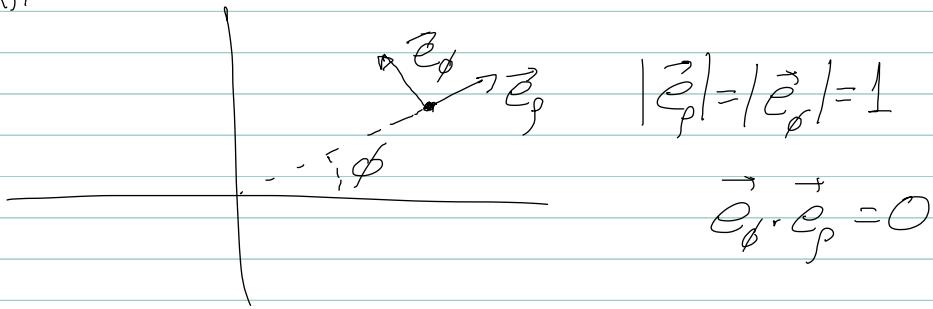
Examples:

$$(i) \text{Cylindrical: } \text{div}(\vec{a}) = \frac{1}{\rho} \partial_\rho (\rho a^\rho) + \partial_\theta a^\theta + \partial_z a^z$$

$$(ii) \text{Spherical: } \text{div}(\vec{a}) = \frac{1}{r^2} \partial_r (r^2 a_r) + \frac{1}{r \sin \theta} \partial_\theta (\sin \theta a^\theta) + \partial_\phi a^\phi$$

Note: This result differs from many textbooks (e.g., Jackson or Gary).  
The reason is their meaning for  $a^i$  is different - mine is better :)

Suppose you want to write  $\vec{a} = A^i \vec{e}_i$ , where  $\vec{e}_i$  are orthonormal vectors in the Cartesian sense. For example, for cylindrical coordinates (omitting the  $z$ -direction):



Then, for example  $\vec{a} \cdot \vec{a} = g_{ij} a^i a^j = A^i A^j \vec{e}_i \cdot \vec{e}_j = A^i A^j \delta_{ij}$ . Since  $g_{ij}$  is diagonal (a condition for this to work) we find  $A^i = \sqrt{g_{ii}} a^i$  (no sum on  $i$ ) and

$$\text{div}(\vec{a}) = \frac{1}{\sqrt{g}} \partial_i (\sqrt{g} \frac{1}{\sqrt{g_{ii}}} A^i)$$

cyl:  $\frac{1}{\rho} \partial_\rho (\rho A^\rho) + \frac{1}{\rho} \partial_\theta A^\theta + \partial_z A^z$   
 spher:  $\frac{1}{r^2} \partial_r (r^2 A^r) + \frac{1}{r \sin \theta} \partial_\theta (\sin \theta A^\theta) + \frac{1}{r \sin \theta} \partial_\phi A^\phi$

Lesson: make sure you know what your symbols mean (especially when you use formulas from the back flap of a textbook).

## Laplacian

A simple extension of the previous exercise: use  $a^i = g^{ij} \partial_j f$  where  $f$  is a scalar. Then

$$\nabla^2(f) = \vec{\nabla} \cdot \vec{\nabla} f = \frac{1}{\sqrt{g}} \partial_i (\sqrt{g} g^{ij} \partial_j f)$$

$$\text{In Cartesian coordinates } \nabla^2 f = \eta^{ij} \partial_i \partial_j f = (\partial_1^2 + \partial_2^2 + \partial_3^2) f$$

Exercise:

$$\text{Cylindrical: } \nabla^2 f = \frac{1}{r} \partial_r (r \partial_r f) + \frac{1}{r^2} \partial_\theta^2 f + \partial_z^2 f$$

$$\text{Spherical: } \nabla^2 f = \frac{1}{r^2} \partial_r (r^2 \partial_r f) + \frac{1}{r^2 \sin \theta} \partial_\theta (\sin \theta \partial_\theta f) + \frac{1}{r^2 \sin^2 \theta} \partial_\phi^2 f$$

Tensors, invariants and the peculiar cross product.

Restrict attention to rotations,  $y^i = R^i_j x^j$ .

$$\text{Vectors } a'^i = R^i_j a^j \quad (\text{so } b'_i a'^i = R_i^n R^i_j b_n a^j = \delta_j^n b_n a^j = b_j a^j)$$

$$1\text{-forms } b'_i = R_i^n b_n$$

$$2\text{-index tensors: } T'^{ij} = R^i_m R^j_n T^{mn}$$

$$Q_{ij} = R_i^m R_j^n Q_{mn}$$

Note that  $g_{im} g_{jn} T^{mn}$  transforms just like  $Q_{ij}$

Tensors are defined by their transformation properties, not by having indices.

We can form a 2-index tensor from two vectors by a "tensor product"

$$T^{ij} = a^i b^j$$

Similarly  $T_{ij} = a_i b_j$  and  $T^i_j = a^i b_j$  are tensors. The latter, obviously has  $T^i_j = R^i_m R_j^n T^m_n$ .

3-index tensors:  $T^{ijk} = R_i^l R_j^m R_k^n T^{lmn}$ , etc. The generalization is

$$\text{obvious: } T^{i_1 \dots i_p j_1 \dots j_q} = R_{i_1}^{j_1} \dots R_{i_p}^{j_p} R_{j_1}^{i_1} \dots R_{j_q}^{i_q} T^{i_1 \dots i_p j_1 \dots j_q}$$

Definition:  $S^{lm} = S^{ml}$  is a symmetric tensor.

$\alpha^{lm} = -\alpha^{ml}$  is an anti-symmetric tensor

with generalizations to higher index tensors, e.g.  $S^{l_1 \dots l_n m_1 \dots m_n}$  is completely symmetric if it is invariant under permutations of the indices.

Useful: If  $S^{lm} = S^{ml}$  and  $\alpha_{lm} = -\alpha_{ml}$   $\Rightarrow S^{lm} \alpha_{lm} = 0$ .

Proof:  $S^{lm} \alpha_{lm} = -S^{ml} \alpha_{ml}$  (anti-symmetry of  $\alpha_{ml}$ )

$$= -S^{ml} \alpha_{ml} \quad (\text{dummy variables, charge labels})$$

$$= -S^{lm} \alpha_{lm} \quad (\text{symmetry of } S^{lm})$$

$$\Rightarrow 2S^{lm} \alpha_{lm} = 0 \Rightarrow S^{lm} \alpha_{lm} = 0$$

Invariant tensor: Def.  $T^{i_1 \dots i_p j_1 \dots j_q} = T^{j_1 \dots j_p i_1 \dots i_q}$

We have already encountered one:

$$\delta_{ij}' = R_i^m R_j^n \delta_{mn} = \delta_{mn}$$

(where, of course,  $\delta_{mn} = \delta_{nm}$ ).

If you like math, this generalizes to spaces in any number of dimensions:  
 $\delta_{ij}$  is always an invariant tensor.

In 3-dimensions, there is another interesting tensor. Let

$$\epsilon_{ijk} = \begin{cases} +1 & (ijk) \text{ an even permutation of } (123) \\ -1 & (ijk) \text{ an odd permutation of } (123) \\ 0 & \text{otherwise} \end{cases}$$

This is the completely anti-symmetric 3-index tensor, or Levi-Civita tensor.

That is  $\epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1$   $\epsilon_{213} = \epsilon_{321} = \epsilon_{132} = -1$   $\epsilon_{111} = \epsilon_{112} = \dots = \epsilon_{333} = 0$ .

Consider  $T_{ijk} \equiv R_i^l R_j^m R_k^n \epsilon_{lmn}$

First note that if any two indices in  $T_{ijk}$  are equal then it vanishes,

$$\text{eg } \overline{T_{ikk}} = \underbrace{R_i^l R_i^m R_k^n}_{\substack{\text{symmetric} \\ \text{under } l,m,n}} \underbrace{\epsilon_{lmn}}_{\substack{\text{anti-symmetric} \\ \text{under } l,m,n}} \Rightarrow 0$$

It is then easy to see  $T_{ijk}$  is completely anti-symmetric

$$\text{Now } T_{123} = R_1^k R_2^m R_3^n \epsilon_{kmn} = \det(R)$$

or, since  $T_{ijk}$  is completely antisymmetric, like  $\epsilon_{ijk}$ , and  $\epsilon_{123} = +1$ ,

$$T_{ijk} = \det(R) \epsilon_{ijk}$$

$$\text{Furthermore } M_{ij} = R_i^k R_j^l M_{kl} = R_i^k M_{kl} (R^T)^l_j = (R_m R^T)_{ij}$$

$$\Rightarrow \det M = \det(R_m R^T) = \det R \det M \det R^T = (\det R)^2 \det M$$

$$\rightarrow \det R = \pm 1$$

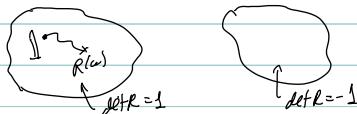
$\Rightarrow$  Under rotations  $\pm \det(R) = \pm 1$   $\epsilon_{ijk}$  is an invariant tensor.

Importantly, it flips sign under rotations with  $\det(R) = -1$ .

Let  $R(\omega)$  be a continuous function  $[0, 1] \rightarrow \{3 \times 3 \text{ orthogonal matrices}\}$

such that  $R(0) = \mathbb{1}$ . Then  $\det(R(\omega))$  is a continuous function  $[0, 1] \rightarrow \mathbb{R}$  which can only take values  $-1$  and  $+1$ , and has  $\det(R(0)) = \det \mathbb{1} = +1 \Rightarrow \det(R(\omega)) = +1$

In words: rotations that can be reached from  $\mathbb{1}$  continuously all have  $\det R = +1$ .



If  $R_1, R_2$  both have  $\det R = 1$  then so does  $R_3 = R_1 R_2$ ;  $\det(R_3) = \det(R_1 R_2) = \det R_1 \cdot \det R_2 = (+1)^2 = +1$   
but if both have  $\det R = -1$  then  $R_3 = R_1 R_2$  has  $\det R_3 = +1$ .

In fact every rotation with  $\det(R) = -1$  can be written as  $R' = (-1) \cdot R$  where  $\det(R) = +1$ .

$(-1)$ , of course, is a "spatial inversion", or "reflection", or "parity transformation"

Mathy stuff: The set of rotations  $\{3 \times 3 \text{ real matrices} | R^T R = \mathbb{1} \text{ (i.e. } R_m R^T = \mathbb{1})\}$  form a group, called  $O(3)$ , for "orthogonal group in 3 dimensions".

Obvious extension:  $O(N) \dots N \text{ dimensions.}$

The subset of matrices with  $\det R = +1$  form a subgroup,  $SO(3)$ , for special orthogonal.

The subset with  $\det R = -1$  does not form a group. (Question: why?).

### Cross Product:

For proper rotations (ie, with  $\det(R) = +1$ ) we have  $\epsilon_{ijk}$  is an invariant tensor.

$\Rightarrow \omega_i = \epsilon_{ijk} a^j b^k$  transforms as a 1-form and  $a^i = \eta^{ij} \omega_j$  transforms as a vector  
Also,

(i)  $a_{ij} = \epsilon_{ijk} b^k$  is a 2-index anti-symmetric tensor

(ii) If  $a^{ij}$  is a 2-index anti-symmetric tensor, then  $\omega_k = \frac{1}{2} \epsilon_{ijk} a^{ij}$   
is a 1-form  
and  $a^{ij} = \epsilon^{ijk} \omega_k$

That is, there is a 1-to-1, invertible, correspondence between vectors and  
anti-symmetric 2-index tensors

Exercise: show  $\epsilon^{ijk} \epsilon_{mjk} = 2 \delta_m^i$  and then  $a^{ij} = \epsilon^{ijk} (\frac{1}{2} \epsilon_{kmn} a^{mn})$ .

In Cartesian coordinates we do not distinguish  $\omega_i$  from  $a_i$  since  $\omega_i = \eta^{ij} \omega_j = \delta^{ij} \omega_j$   
So  $\omega_i = \epsilon_{ijk} a^j b^k$  is a vector  $\vec{\omega}$  made out of  $\vec{a} \times \vec{b}$  with components

$$\omega_1 = a_2 b_3 - a_3 b_2, \quad \omega_2 = a_3 b_1 - a_1 b_3, \quad \omega_3 = a_1 b_2 - a_2 b_1 \\ \text{denoted by } \vec{\omega} = \vec{a} \times \vec{b}$$

Space inversions: vectors  $\rightarrow$  pseudo-vectors (also called "axial" vectors).

Let  $P = -1$  be a space inversion ("P" is for parity)

Vectors  $\vec{a} \rightarrow P\vec{a}$  transform as  $\vec{a} \rightarrow P\vec{a} = -\vec{a}$  under space inversions.

Pseudovectors, however, transform as  $\vec{\omega} \rightarrow +\vec{\omega}$  under space inversions.

This is the statement that if  $\vec{a} \rightarrow P\vec{a}$ ,  $\vec{b} \rightarrow P\vec{b}$

then

$$\vec{a} \times \vec{b} \rightarrow \det(P) P(\vec{a} \times \vec{b})$$

Exercise: show this

For "improper" rotations (ie, those with  $\det(R) = -1$ ) this means  $\vec{a} \times \vec{b} \rightarrow -P(\vec{a} \times \vec{b})$

In particular, under space inversions,  $\vec{a} \times \vec{b} \rightarrow +(\vec{a} \times \vec{b})$

The cross product vector  $\times$  vector is pseudovector

vector  $\times$  pseudovector is vector

pseudo  $\times$  pseudo is pseudovector.

## Tensors in curvilinear coordinates

The generalization is straightforward.

Recall if  $y^i = y^i(x)$  then

$$a'^i = \frac{\partial y^i}{\partial x^j} a_j \quad (\text{just as } dy^i = \frac{\partial y^i}{\partial x^j} dx^j)$$

and

$$\omega'_i = \frac{\partial x^i}{\partial y^j} \omega_j$$

$$\text{A 2-index tensor has } T'^{ij} = \frac{\partial y^i}{\partial x^m} \frac{\partial y^j}{\partial x^n} T^{mn}$$

$$T'^i_j = \frac{\partial y^i}{\partial x^m} \frac{\partial x^n}{\partial y^j} T^m_n$$

$$T'_{ij} = \frac{\partial x^m}{\partial y^i} \frac{\partial x^n}{\partial y^j} T_{mn}$$

e.g.

Exercise: check that these are consistent with  $T^m_n = g_{nk} T^{mk} = g^{ml} T_{ln}$   
 That is, we can "raise" and "lower" indices using the metric and its inverse to consistently make other tensors.

Recall that if  $ds^2 = g_{mn} dy^m dy^n = \eta_{ij} dx^i dx^j$  then  $g_{mn} = \frac{\partial x^i}{\partial y^m} \frac{\partial x^j}{\partial y^n} \eta_{ij}$

$\Rightarrow$  the metric tensor  $\underline{\underline{\eta}}$  is a tensor (not just in name).

It is not generally invariant. That  $\eta_{ij} = \delta_{ij}$  is invariant under rotations is the statement that there is a special set of coordinate transformations (rotations + translations) that leave  $\eta_{ij}$  invariant.

What about  $\epsilon_{ijk}$ ?

By the same calculation as above

$$\frac{\partial x^l}{\partial y^i} \frac{\partial x^m}{\partial y^j} \frac{\partial x^n}{\partial y^k} \epsilon_{lmn} = \det\left(\frac{\partial x^l}{\partial y^i}\right) \epsilon_{ijk} = \sqrt{g} \epsilon_{ijk}$$

$$\text{That is, } \epsilon_{ijk} T'^{ik} = \epsilon_{ijk} \frac{\partial y^i}{\partial x^p} \frac{\partial x^p}{\partial x^m} \frac{\partial x^k}{\partial x^n} T^{mn} = \det\left(\frac{\partial y^i}{\partial x^p}\right) \epsilon_{ijk} T^{mn} = \frac{1}{\sqrt{g}} \epsilon_{ijk} T^{mn}$$

$$\text{so that } \sqrt{g} \epsilon_{ijk} T'^{ik} = \sqrt{g} \epsilon_{ijk} T^{mn}$$

$\Rightarrow$  In any frame there is a 3-form, completely anti-symmetric, given by  $\omega_{ijk} = \sqrt{g} \epsilon_{ijk}$

This is called a (metric) volume form

## Curl and Stoke's Theorem

We first review in Cartesian coordinates

$$\text{curl}(\vec{A}) = \vec{\nabla} \times \vec{A} \text{ has } (\vec{\nabla} \times \vec{A})^i = \epsilon^{ijk} \partial_j A_k \quad (\text{Balancing upper/lower indices in an ad-hoc way}).$$

$$\text{eg } (\vec{\nabla} \times \vec{A})_x = \partial_y A_z - \partial_z A_y$$

$$\text{which we prefer to write as } (\vec{\nabla} \times \vec{A})_i = \partial_i A_j - \partial_j A_i$$

If  $R^i_j$  is a rotation matrix (rigid, in the sense that it's  $\vec{x}$  independent) then  $\text{curl}(\vec{A})$  is a vector (actually if  $\vec{A}$  is a vector,  $\text{curl}(\vec{A})$  is a pseudovector).

Exercise: Show this. (that  $A'_i(x) = R^j_i A_j(R^k_j)$ ).

Stoke's theorem states that

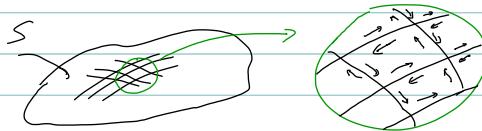
$$\int_S (\vec{\nabla} \times \vec{A}) \cdot \hat{n} dS = \oint_{\partial S} \vec{A} \cdot d\vec{l}$$

where  $\int_S$  is a surface integral over  $S$ , a sum over infinitesimal



surfaces normal (locally) to  $\hat{n}$  with  $dS$ , and  $\oint_{\partial S}$  is a line integral over the boundary  $\partial S$  of the whole surface  $S$ , with tangent line element  $d\vec{l}$ .

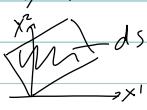
Sketch:  
Proof



Note that if it is true over an infinitesimal area element then it is true over the whole of  $S$  bounded by  $\partial S$  (the  $\sum$  elts of  $\sum_S (\vec{\nabla} \times \vec{A}) \cdot \hat{n} dS \rightarrow \int_S (\vec{\nabla} \times \vec{A}) \cdot \hat{n} dS$  while the line integrals

cancel except at the boundary  $\partial S$ , so  $\sum_{\text{elts}} \vec{A} \cdot d\vec{l} \rightarrow \oint_{\partial S} \vec{A} \cdot d\vec{l}$

So need to show infinitesimally: now an infinitesimal surface element is flat and has normal  $\hat{n}$  everywhere. Take for simplicity  $\hat{n} = \hat{z}$  (ie, 3rd direction). Then  $dS = dx dy$ . If the boundaries are not aligned



make a rotation to align them: since  $\vec{\nabla} \times \vec{A}$  is a vector  $(\vec{\nabla} \times \vec{A}) \hat{z}$  won't change.

So we consider

$$\begin{aligned} x^2 \uparrow (x^1, x^2) &\rightarrow \boxed{\epsilon^i} \\ x^1 + \epsilon^1 & \int_{x^1_0}^{x^1_0 + \epsilon^1} dx^1 \int_{x^2_0}^{x^2_0 + \epsilon^2} dx^2 (\partial_1 A_2 - \partial_2 A_1) = \int_{x^2_0}^{x^2_0 + \epsilon^2} dx^2 (A_2(x^1_0 + \epsilon^1, x^2) - A_2(x^1_0, x^2)) - \int_{x^1_0}^{x^1_0 + \epsilon^1} dx^1 (A_2(x^1, x^2_0 + \epsilon^2) - A_2(x^1, x^2_0)) \\ &= \int \vec{A} \cdot d\vec{l} \end{aligned}$$

While this proof may not seem quite general, the fact that we can always find a rotation to put  $\hat{n}$  in the  $\hat{z}$  direction, and that this just corresponds to a change of variables makes it a truly general argument!

Note: I was a bit careless about direction of  $\hat{n}$  vs orientation of loop (but I did it right).

The curl in curvilinear coordinates is tricky. The reason is that when we see that it involves  $E_{ijk}$  we may think it involves the volume form  $\sqrt{g} E_{ijk}$  when going curvilinear.

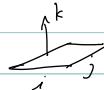
But since Stoke's theorem holds and should be generalized, and this involves a surface integral of the (normal component of) the curl, it is actually the "volume" form of the 2-dimensional space  $S$  that should be involved. If the metric restricted to the surface  $S$  (at a point on the surface element) is  $h_{ij}$  then we want  $\frac{1}{\sqrt{h}} E^{ijk} \partial_i a_j$  for the component of  $\text{curl}(\vec{a})$  along the normal.

This is simple in orthogonal coordinates (with  $g_{ij}=0$  if  $i \neq j$ ), because the surface element  $dx_i dx_j$  ( $i \neq j$ ) has a normal along  $E^{kij}$  (ie, the 3rd direction).

So the 3 components of  $\text{curl}(\vec{a})$  are  $E^{kij} \frac{1}{\sqrt{h}} \partial_i a_j$ . And as before, expressing this in terms of components of normalized metric, so that  $(A^i)^2 = g_{ii}(A^i)^2 = g^{ii}(a_i)^2 = \frac{1}{g_{ii}}(a_i)^2$

and noting that in the  $ij$  plane  $\sqrt{h} = \sqrt{g_{ii}g_{jj}}$  we have

$$\text{curl}(\vec{A})^k = E^{kij} \frac{1}{\sqrt{g_{ii}g_{jj}}} \partial_i (\sqrt{g_{jj}} A^j)$$



Examples

$$\text{Cylindrical: } E^{p0z} \frac{1}{\sqrt{g_{00}g_{zz}}} (\partial_0(\sqrt{g_{zz}} A^z) - \partial_z(\sqrt{g_{00}} A^0)) = (+1) \frac{1}{\sqrt{\rho^2 1}} (\partial_0(\sqrt{\rho^2} A^z) - \partial_z(\sqrt{\rho^2} A^0)) = \frac{1}{\rho} \partial_0 A^z - \partial_z A^0$$

$$\text{a bit faster... } E^{0zp} \frac{1}{\sqrt{1 \cdot 1}} (\partial_2(\sqrt{\rho} A^p) - \partial_p(\sqrt{\rho} A^2)) = (\partial_2 A^p - \partial_p A^2) \text{, and } E^{zpp} \frac{1}{\rho} (\partial_p(\rho A^0) - \partial_0 A^p)$$

$$\text{or } \text{curl}(\vec{A}) = \left[ \frac{1}{\rho} \partial_0 A^z - \partial_z A^0, \quad \partial_2 A^p - \partial_p A^2, \quad \frac{1}{\rho} \partial_p(\rho A^0) - \frac{1}{\rho} \partial_0 A^p \right]$$

$$\text{Spherical: } [\text{curl}(\vec{A})]^r = \frac{1}{r^2 \sin \theta} [\partial_\theta(r \sin \theta A^\phi) - \partial_\phi(r A^\theta)] = \frac{1}{r \sin \theta} [\partial_\theta(r \sin \theta A^\phi) - \partial_\phi(r A^\theta)]$$

Exercise: Compute remaining components

$$\text{Ans } [\text{curl}(\vec{A})]^\theta = \frac{1}{r \sin \theta} \partial_\phi A^r - \frac{1}{r} \partial_r(r A^\theta)$$

$$[\text{curl}(\vec{A})]^\phi = \frac{1}{r} \partial_r(r A^\theta) - \frac{1}{r} \partial_\theta A^r$$

Gauss's Theorem

$$\int_{\partial V} \vec{A} \cdot \hat{n} \, ds = \int_V \nabla \cdot \vec{A} \, dV$$

This is easy to prove for an infinitesimal cube, and then extended to finite volumes by summation, as in Stoke's case

⇒ left as exercise (but countless textbooks have it; still you should be able to construct the proof).

Explicit sample calculations using Stoke's & Gauss's theorem are given as part of Homework #1, and in problem session.

## Back to Space-Time (it's about time).

Coordinates in space-time:  $(x^0 = ct, \underbrace{x^1, x^2, x^3}_{\mathbf{r}})$

A point in space-time is called "an event"

Basic property of space-time: invariance of the interval.

Interval between  $(x, \mathbf{x})$  and  $(x+dx, \mathbf{x}+d\mathbf{x})$   $ds^2 = (dx^0)^2 - (dx^1)^2 - (dx^2)^2 - (dx^3)^2$

Notation: greek indices  $\mu, \nu, \dots$  range 0-3 (while latin indices  $i, j, \dots$  range 1-3)

Einstein summation convention as before, applies to any type of index.

Metric  $\eta_{\mu\nu}$  is 4x4, diagonal with  $\eta_{\mu\nu} = \begin{cases} +1 & \mu=\nu=0 \\ -1 & \mu=\nu=1,2,3 \end{cases}$

$$S_0 \quad ds^2 = \eta_{\mu\nu} dx^\mu dx^\nu = (dx^0)^2 - (dx^1)^2 - (dx^2)^2 - (dx^3)^2 \quad \text{ie, the more familiar looking } c^2(dt)^2 - (d\mathbf{r})^2$$

Lorentz transformations:  $x'^\mu = \Lambda^\mu_\nu x^\nu$ , are defined to be those that leave  $ds^2$  form invariant. As with rotations this means

$$\Lambda^\mu_\nu \text{ is a Lorentz transformation} \Leftrightarrow \eta_{\mu\nu} \Lambda^\mu_\rho \Lambda^\nu_\sigma = \eta_{\rho\sigma} \quad \text{or} \quad \Lambda^\mu_\nu \Lambda_\mu^\nu = 1 \quad \text{for short}$$

i.e., leave the metric invariant.

### Vectors & tensors

A (contravariant) vector transforms as  $a^\mu \rightarrow a'^\mu = \Lambda^\mu_\nu a^\nu$

Indices can be lowered with  $\eta_{\mu\nu}$ , e.g.  $a_\mu = \eta_{\mu\nu} a^\nu$

The inverse metric is denoted  $\eta^{\mu\nu}$ , i.e.  $\eta^{\mu\lambda} \eta_{\lambda\nu} = \delta_\nu^\mu$

A low index vector (or covariant vector, or really, a 1-form) transforms so that  $\omega'_\mu = \eta_{\mu\nu} \omega^\nu$  (invariant)

$$\omega'_\mu = \omega_\nu \Lambda^\nu_\mu \quad \text{Since } \eta^{\mu\rho} \eta_{\rho\nu} \eta_{\nu\lambda} = 1 \rightarrow \eta^{\mu\rho} \Lambda^\nu_\rho \eta_{\nu\lambda} \Lambda_\lambda^\lambda = \delta_\mu^\lambda \Rightarrow (\Lambda^{-1})^\lambda_\mu = \Lambda^\lambda_\mu$$

$$\text{or } \omega'_\mu = \Lambda_\nu^\mu \omega_\nu$$

Indices can be raised with  $\eta^{\mu\nu}$ :  $a^\mu = \eta^{\mu\nu} a_\nu$

$$\text{Shorthand: } a^2 = \eta_{\mu\nu} a^\mu a^\nu = a^\mu a_\mu = \eta^{\mu\nu} a_\mu a_\nu \quad ; \quad a \cdot b = \eta_{\mu\nu} a^\mu b^\nu = a^\mu b_\mu = a^\mu b_\mu = \eta^{\mu\nu} a_\mu b_\nu$$

$$\text{Tensors: } T^{\mu_1 \dots \mu_p}_{\nu_1 \dots \nu_q} = \Lambda^{\mu_1}_{\kappa_1} \dots \Lambda^{\mu_p}_{\kappa_p} \Lambda^{\nu_1}_{\lambda_1} \dots \Lambda^{\nu_q}_{\lambda_q} T^{\kappa_1 \dots \kappa_p}_{\lambda_1 \dots \lambda_q}$$

$$\text{eg } T^{\mu\nu} = \Lambda^\mu_\rho \Lambda^\nu_\sigma T^{\rho\sigma} \quad \text{Again } \eta, \eta^{-1} \text{ lower, raise indices, eg } T^\mu_\nu = \eta_{\nu\rho} T^{\mu\rho}$$

Many generalizations from the discussion of rotations are straightforward, e.g.:

- A field is a function of spacetime. A scalar field  $\phi(x^m)$  (or simply  $\phi$ ) satisfies

$$\phi'(x') = \phi(x) \quad \text{under } x' = \Lambda x$$

This is often written as

$$\phi'(x) = \phi(\Lambda^{-1}x)$$

A vector field satisfies

$$A'^\mu(x) = \Lambda^\mu_\nu A^\nu(\Lambda^{-1}x)$$

$$\text{and } B'_\mu(x) = \Lambda_\mu^\nu B_\nu(\Lambda^{-1}x)$$

- The gradient is a (co-variant) vector:  $\partial_\mu \phi$  transforms as  $B_\mu$  above.

Some things are a little different.

- $\epsilon_{ijk}$  is not an invariant tensor. Neither is  $\epsilon_{\mu\nu\rho}$ .

But  $\epsilon_{\mu\nu\rho}$  is invariant under transformations with  $\det(\Lambda) = +1$ .

As before  $\det(\Lambda) = \pm 1$

Exercise: show this.

But now there are 4 connected components of the group of Lorentz transformations:

- Space inversion: as before  $\vec{x}' = -\vec{x}$  (and  $t' = t$ ) gives  $\det(\Lambda) = -1$

but now

- Time reflection:  $t' = -t$  and  $\vec{x}' = \vec{x}$  also gives  $\det(\Lambda) = -1$ .

Are these disconnected? To understand the meaning of the question go back to rotations for a moment. Are

$$P = \begin{pmatrix} -1 & & \\ & -1 & \\ & & -1 \end{pmatrix} \quad \text{and} \quad P_z = \begin{pmatrix} 1 & & \\ & 1 & \\ & & -1 \end{pmatrix}$$

in disconnected components, both with  $\det(P) = -1$ ? The answer is no:

$$P_z = R_z P = \begin{pmatrix} -1 & & \\ & -1 & \\ & & 1 \end{pmatrix} \begin{pmatrix} -1 & & \\ & -1 & \\ & & -1 \end{pmatrix} \quad \text{with } R_z \text{ a } 180^\circ \text{ rotation about the } z \text{ axis}$$

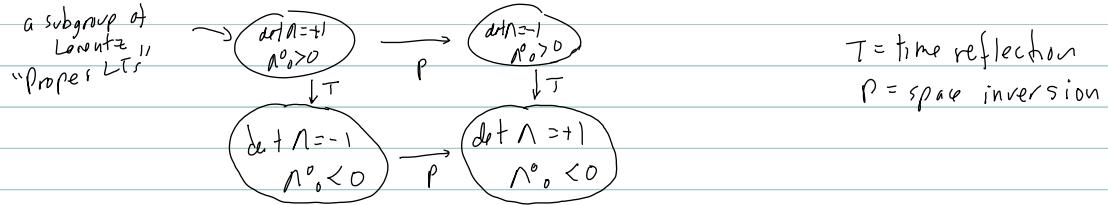
Back to 4D & Lorentz Transformations:

$$\text{Since } M_{\mu\nu} = \eta_{\mu\nu} \Lambda^\rho_\mu \Lambda^\sigma_\nu, (\Lambda^0_0)^2 - \sum (\Lambda^i_0)^2 = 1 \Rightarrow \Lambda^0_0 = \pm \sqrt{1 + \sum (\Lambda^i_0)^2}$$

$\Rightarrow$  cannot smoothly connect  $\Lambda^0_0 \leq -1$  to  $\Lambda^0_0 \geq +1$

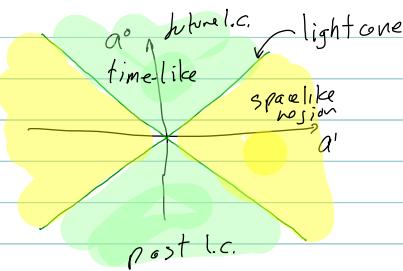
And for fixed sign of  $\Lambda^0_0$ , a space-inversion gives a flip in sign of  $\det \Lambda$

So there are 4-connected components



Since  $a^2$  is invariant, if  $a^2 > 0$  then  $a^0 > |\vec{a}|$  or  $a^0 < -|\vec{a}|$

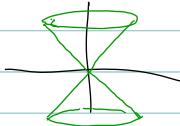
Light-cone diagram



Remarks (i) This diagram is for an arbitrary vector, not necessarily coordinates

(ii) We shall call the regions by their coordinate analogs (i.e., spacelike and timelike regions). We also say  $a^\mu$  is timelike/lightlike/spacelike according to whether  $a^2 > 0$ ,  $a^2 = 0$ ,  $a^2 < 0$ .

(iii) The 2dim image above is limited: should draw 4dim, but I can't. Sorry!  
But it should be clear that the light cone is a cone, a 3dim hypersurface.  
We can at least draw a 2dim hypersurface in a 3dim spacetime:



This should make it clear that the spacelike region is connected, while future and past light cones are not (they "touch" at the origin).

Now, if  $\Lambda : a^0 \rightarrow -a^0$ ,  $a^i \rightarrow a^i$  and  $a^2 > 0$ , it maps future light cone  $\hookrightarrow$  past light cone. Cannot go from one to the other continuously (going through origin is not allowed, since  $\Lambda = 0$  is not invertible, and does not leave  $a^2$  invariant).

## Explicit form of Lorentz Transformations.

Find explicit solutions to  $\gamma_{\mu\nu} = \eta_{\mu\rho} \Lambda^\rho_\nu \Lambda^\sigma_\nu$

That is

$$\text{for } v=0: (\Lambda^0_0)^2 - \sum_{i=1}^3 (\Lambda^i_0)^2 = 1 \quad (1)$$

$$\text{for } v=v_i: \Lambda^0_0 \Lambda^i_0 - \sum_k \Lambda^k_0 \Lambda^i_k = 0 \quad (2)$$

$$\text{for } v=v_{ij}: \Lambda^0_i \Lambda^0_j - \sum_k \Lambda^k_i \Lambda^k_j = -\delta_{ij} \quad (3)$$

Observations, including some solutions:

(i) Rotations:  $\Lambda^0_0 = 1$ ,  $\Lambda^0_i = \Lambda^i_0 = 0$ ,  $\Lambda^i_j = R^i_j$  with  $R \in O(3)$

e.g., rotations about z-axis ( $z=x^3$ )

$$\Lambda = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & c & -s & 0 \\ 0 & s & c & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad c = \cos\theta \quad s = \sin\theta$$

$$x'^0 = \Lambda^0_\nu x^\nu \text{ is} \\ ct' = ct \quad z' = z \\ x' = c\theta x - s\theta y \\ y' = s\theta x + c\theta y$$

(ii) Boosts:  $\Lambda^0_0 = \cosh\eta$ ,  $\sum_{i=1}^3 (\Lambda^i_0)^2 = \sinh^2\eta$  solves (1)

e.g. in x direction ( $x=x^1$ )

$$\Lambda = \begin{pmatrix} \cosh\eta & \sinh\eta & 0 & 0 \\ \sinh\eta & \cosh\eta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \quad \text{or} \\ ct' = \cosh\eta ct + \sinh\eta x \\ x' = \sinh\eta ct + \cosh\eta x \\ y' = y \\ z' = z$$

Solving  $x=0$ , the origin of unprimed system is moving with velocity  $v = \beta c = ctanh\eta$  as measured in primed system  $x' = (c \sinh\eta)t = \left(\frac{\cosh\eta}{\sinh\eta}\right)t'$   
 $|1 - \tanh^2 \eta| = \frac{1}{\cosh^2 \eta} \Rightarrow f \equiv \cosh\eta = \frac{1}{\sqrt{1-\beta^2}}$  and  $\sinh\eta = \tanh\eta \cdot \cosh\eta = f\beta$

(iii) Counting: how many independent parameters for LT's?

Warm-up: for rotations first  $R^T R = 1$ .  $R$  is  $3 \times 3 = 9$  entries, the condition is on a

symmetric matrix, i.e. on  $\frac{3 \times 3}{2} = 6$  entries  $\Rightarrow 9-6=3$  independent parameters  $\rightarrow$  Euler Angles!

Similarly  $\Lambda^T \eta \Lambda = \eta$  puts  $\frac{4 \times 5}{2} = 10$  constraints on  $4 \times 4 = 16$  matrix  $\Rightarrow 16-10=6$  independent parameters  $\Rightarrow 3$  Euler angles + 3 boosts

(iv) Product of two transformations is a transformation

While obvious physically, this can be expressed and shown mathematically:

Exercise: If  $A_1, A_2$  are LT's show that  $A_1 A_2$  is an LT. (ie, satisfies  $A^T \eta A = \eta$ ).

This makes LT's a group (called  $O(3,1)$ ).

One can use this to build LT's out of infinitesimal ones

$$\tilde{A} = 1 + \epsilon \quad \text{with } \epsilon \text{ infinitesimal}$$

$$\tilde{A}^T \eta \tilde{A} = \eta \Rightarrow (1 + \epsilon)^T \eta (1 + \epsilon) = \eta \Rightarrow \epsilon^T \eta + \eta \epsilon = 0$$

Or (lowering indices)  $\epsilon_{\mu\nu} = \eta_{\mu\lambda} \epsilon^{\lambda}_{\nu}$  this is  $\epsilon_{\mu\nu} + \epsilon_{\nu\mu} = 0 \Rightarrow$  anti-symmetric

A  $4 \times 4$  anti-symmetric matrix has  $\frac{4 \times 3}{2} = 6$  independent parameters  $\rightarrow$  same counting as above!

Now  $\tilde{A}^n = (1 + \epsilon)(1 + \epsilon) \dots (1 + \epsilon)$  is a transformation

and one can show

$$A = \lim_{N \rightarrow \infty} \left(1 + \frac{1}{N} \omega\right)^N = \exp(\omega)$$

is a general expression for LT's. See eg Jackson.

Exercise: What is the analog in the case of rotations?

Tensors & pseudo-tensors.

Tensors & pseudo-tensors transform the same way under proper LT's:

$$\bar{T}^{M_1 \dots} \nu_1 \dots = A^{M_1}_{\mu_1} \dots A_{\nu_1}{}^P \dots T^{P_1 \dots} \rho_1 \dots$$

Tensors still transform this way under any (not necessarily proper) LT. But for a pseudo-tensor under space inversion

$$T^{M_1 \dots} \nu_1 \dots = - P^{M_1}_{\mu_1} \dots P_{\nu_1}{}^P T^{P_1 \dots} \rho_1 \dots$$

where  $P^{M_1}_{\mu_1} = \text{diag}(1, -1, -1, -1)$ , and a pseudo-tensor under time-reversal

$$T^{M_1 \dots} \nu_1 \dots = - X^{M_1}_{\mu_1} \dots X_{\nu_1}{}^P T^{P_1 \dots} \rho_1 \dots$$

where  $X = \text{diag}(-1, 1, 1, 1)$ .

Ex:  $\epsilon^{\mu\nu\lambda\sigma} = \epsilon^{\nu\lambda\sigma}$  is an invariant pseudo-tensor (under both  $P$  &  $T$ ).

Particular examples:

$$\text{A vector } V^{\mu} = (V^0, \vec{v}) = (V^0, \vec{r})$$

$$\text{has } V'^0 = V^0 \text{ and } \vec{V}' = -\vec{V} \text{ under } P$$

$$V'^0 = -V^0 \text{ and } \vec{V}' = \vec{V} \text{ under } T$$

An "axial" vector is a pseudo-vector under parity:  $A^{\mu} = (A^0, \vec{A})$  has

$$A'^0 = -A^0 \text{ and } \vec{A}' = \vec{A} \text{ under } P$$

## Electrodynamics:

$$\text{Field-strength tensor } F^{\mu\nu} = -F^{\nu\mu}$$

$$F_{0i} = -F^{0i} = E^i \quad F_{ij} = F^{ij} = -\epsilon^{ijk} B^k$$

I do not think much is gained by writing this as a  $4 \times 1$  matrix but most textbooks do.

$$F_{\mu\nu} = \begin{pmatrix} 0 & E^1 & E^2 & E^3 \\ 0 & 0 & -B^3 & B^2 \\ \text{anti-sym} & 0 & 0 & -B^1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad F_{\mu\nu} = \begin{pmatrix} 0 & E^1 & E^2 & E^3 \\ 0 & 0 & -B^3 & B^2 \\ \text{anti-sym} & 0 & 0 & -B^1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Charge-current (or 4-current - much like 4-momentum for  $\rho^\mu = (\frac{1}{c}E, p^i)$ ).

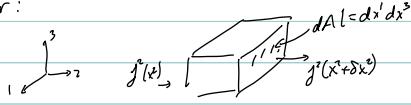
$$j^\mu = (cp, j^i)$$

Ex: Check the units (ie, engineering dimensions)  $[cp] = [j^i]$ .

## Charge conservation

$$\frac{\partial \rho}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0$$

Reminder:



In this infinitesimal volume

in time dt

change in charge  $\Delta q = \text{charge in} - \text{charge out}$

$$= j^3(x) dA dt - j^3(x+dx^3) dA dt$$

+ similar for other 2 pairs of faces

$$= -dt \left( \frac{\partial j^3}{\partial x^3} dx^1 dx^2 dx^3 + 2 \text{ terms} \right) = -dt dV \vec{\nabla} \cdot \vec{j}$$

This is

$$\boxed{\partial_\mu j^\mu = 0}$$

## Maxwell's Equations

$$\partial_\nu F^{\nu\mu} = \frac{4\pi}{c} j^\mu \quad \rightarrow \text{non-homogeneous} \quad \begin{matrix} \text{Gauss} \\ \text{Ampere} \end{matrix}$$

$$\text{curl} \partial_\nu F_{\nu\mu} = 0 \quad \rightarrow \text{homogeneous}$$

$\begin{matrix} \text{Faraday} \\ \text{Gauss for absence of} \\ \text{magnetic "charge"} \end{matrix}$

Exercise: Verify this by writing the 4 equations corresponding to the 2 cases  $\mu=0$  and  $\mu=i$  ( $\times 2$  equations).

Current conservation is required for consistency of Maxwell's equations:

$$\partial_\mu j^\mu = \partial_\mu (\partial_\nu F^{\nu\mu}) = \underbrace{\partial_\mu \partial_\nu}_{\text{symmetric}} \underbrace{F^{\nu\mu}}_{\text{anti-symmetric}} = 0$$

Note: This equation is, equivalently,  $\partial_\nu F_{\nu\mu} + \partial_\lambda F_{\mu\lambda} + \partial_\sigma F_{\sigma\mu} = 0$

We are finally in a position to say something interesting in a brief sort of way:

Maxwell equations are form-invariant Lorentz transformations:

$$F'^{\mu\nu}(x) = \Lambda^{\mu}_{\rho} \Lambda^{\nu}_{\lambda} F^{\rho\lambda}(\Lambda' x)$$

$$J'^{\mu}(x) = \Lambda^{\mu}_{\rho} J^{\rho}(\Lambda' x)$$

Exercise: although fairly explicit, you should know how to verify this statement!

We can explore some consequences by looking at components for specific transformations.

We know everything (?) about rotation, so concentrate on boosts. Take boosts in  $x=x$ -dir

$$-E'^1 = F'^0 = \Lambda^0_{\mu} \Lambda^1_{\nu} F^{\mu\nu}$$

$$\text{with } \Lambda = \begin{pmatrix} c & -s & 0 & 0 \\ s & c & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

$$c = \cos\eta$$

$$s = \sin\eta$$

$$(x' = cx - sx^0 = \gamma(x - vt) \text{ so } x' = 0 \text{ if } x = vt \Rightarrow \beta = \frac{v}{c} \text{ is rel vel})$$

Skip the following tedious calculation in class!

$$-E'^1 = \Lambda^0_{\mu} \Lambda^1_{\nu} F^{\mu\nu} = \Lambda^0_0 \Lambda^1_1 F^{00} + \Lambda^0_1 \Lambda^1_0 F^{01} = c^2(-E^1) + s^2(E^1) = -E^1$$

$$-E'^2 = \Lambda^0_{\mu} \Lambda^2_{\nu} F^{\mu\nu} = \Lambda^0_0 \Lambda^2_2 F^{00} + \Lambda^0_2 \Lambda^2_0 F^{02} = c(-E^2) + (-s)(-B^3) = -cE^2 - sB^3$$

$$-E'^3 = \text{idem, note that } F^{13} = +B^2 = -cE^3 + sB^2$$

$$-B'^3 = F'^1 = \Lambda^1_{\mu} \Lambda^2_{\nu} F^{\mu\nu} = \Lambda^1_0 F^{02} + \Lambda^1_2 F^{12} = (-s)(-E^2) + c(-B^3) = -cB^3 - sE^2$$

$$B'^2 = F'^1 = \text{idem} = cB^2 + sE^3$$

$$-\beta'^1 = F'^2 = \Lambda^2_{\mu} \Lambda^3_{\nu} F^{\mu\nu} = F^{23} = -\beta'$$

$$\text{or } \vec{E}'_{||} = \vec{E}_{||} \quad \vec{E}'_{\perp} = \gamma (\vec{E}_{\perp} + \vec{\beta} \times \vec{B})$$

$$\vec{B}'_{||} = \vec{B}_{||} \quad \vec{B}'_{\perp} = \gamma (\vec{B}_{\perp} - \vec{\beta} \times \vec{E})$$

where  $\vec{\beta} = \frac{1}{c}(\text{velocity of unprimed system in primed one}) = -\frac{1}{c}(\text{vel. of primed system as seen from unprimed}).$

Note:  $\vec{E} + \vec{B}$  are clearly NOT components of some " $E^m$ " & " $B^m$ " 4-vectors.

Properties under discrete transformations  $P \& T$ .

To determine transformation properties of  $\vec{E} \& \vec{B}$ , start from  $\varphi = j^0$ . We know  $j^0 = j^0$  under both  $P \& T$

So  $j^m = (j^0 = j^0, \vec{j})$  is a pseudo-tensor under  $T$  but not under  $P$ .

So we should have  $\vec{j}' = -\vec{j}$ , and this is physically sensible:  $P$  reverses current direction, as does  $T$  (running movie backwards).

Now  $\vec{j}$  is a vector (not pseudo). So is

$$\partial_\mu F^{\mu\nu} = \frac{q}{c} \vec{j}^\nu$$

we'll better have that  $F'^{\mu\nu} = \underbrace{\Lambda^\mu_\rho \Lambda^\nu_\sigma F^{\rho\sigma}}_{(+1)} \quad$  for any LT:  $F^{\mu\nu}$  is also not-pseudo.

So what does this mean for  $\vec{E} \& \vec{B}$ ?

$$E'^i = F'^{i0} = -F^{i0} = -E^i \text{ under } P, \quad E'^i = E^i \text{ under } T$$

$$B'^i = F'^{jk} = \underset{(cycle)}{F^{jk}} = B^i \text{ under } P, \quad B'^i = -B^i \text{ under } T$$

In accord with intuition.

Exercise: verify that the Lorentz force equation is covariant under  $P \& T$

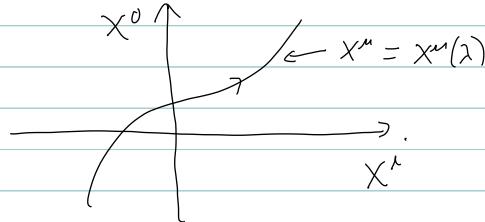
That Laws of Nature are invariant under any symmetries is an empirically determined fact. Could it be that they are only invariant under proper LT's but not under  $P$  nor  $T$ ? YES, weak interactions do not respect either.

But electromagnetic, strong, and gravitational interactions do respect both  $P \& T$ .

This will come handy in solving problems, modeling systems, etc.

## Kinematics of Relativistic Particle

World-line: or particle trajectory



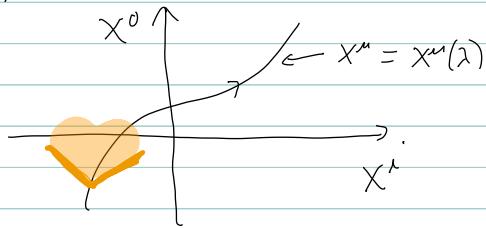
Not every collection of 4 functions  $(x^0(\lambda), x^i(\lambda))$  is acceptable as describing the motion of a point particle:

(i) Move forward in time, or  $\frac{dx^0}{d\lambda} > 0$

(ii) Move no faster than speed of light:  $|\vec{v}| \leq c \Leftrightarrow \frac{|dx|}{dt} \leq c \Leftrightarrow \frac{dx^0}{d\lambda} \cdot \frac{dx^i}{d\lambda} \leq 0$

(i)+(ii) i.e., it lies in

future light cone at each point of world line



Above we used condition (i) to implicitly invert  $x^0 = x^0(\lambda)$  to give  $\lambda = \lambda(x^0)$  (or  $\lambda = \lambda(t)$ ) to write  $\frac{d\vec{x}}{dt} = \frac{d\vec{x}}{d\lambda} \cdot \frac{d\lambda}{dt}$ .

We could have equally chosen a different parametrization of the same physical trajectory in the same coordinate frame:

Say the functions  $x_1^{\mu}(\lambda_1)$  &  $x_2^{\mu}(\lambda_2)$  are physically the same.

It must be that if we write  $\lambda_2$  in terms of  $\lambda_1$ , then  $x_2(\lambda_2)$  agrees with  $x_1(\lambda_1)$ :

$$x_2^{\mu}(\lambda_2(\lambda_1)) = x_1^{\mu}(\lambda_1)$$

That is, they both give the same trajectory  $\vec{x}(t)$  as function of time  $t = \frac{x^0}{c}$ .  
Two common preferred parameters:

(i)  $\lambda = x^0$ , so  $x^{\mu}(\lambda) = (x^0, x^i(\lambda))$

(ii)  $\lambda = \tau$  (proper time)  $x^{\mu}(\tau)$ , so that  $\frac{dx^{\mu}}{d\tau} \frac{dx^{\nu}}{d\tau} = 1$

(Note, I use  $\tau$  in units of space; often elsewhere  $d\tau^2 = \frac{1}{c^2} dx^{\mu} dx_{\mu}$ ).

To be clear  $d\tau^2 = g_{\mu\nu} dx^\mu dx^\nu$ . We often use the symbol  $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$  for general intervals, and reserve  $d\tau$  for intervals that satisfy  $d\tau^2 > 0$ .

Given a parametrization  $x^\mu = x^\mu(\lambda)$  we can give the proper distance between two events  $x^\mu(\lambda_1)$  and  $x^\mu(\lambda_2)$  along  $x^\mu(\lambda)$  by

$$S(\lambda_1, \lambda_2) = \int_{\lambda_1}^{\lambda_2} ds$$

$$\text{or } S(\lambda_1, \lambda_2) = \int_{\lambda_1}^{\lambda_2} \sqrt{\frac{dx^\mu}{d\lambda} \frac{dx_\mu}{d\lambda}} d\lambda$$

$\frac{dx^\mu}{d\lambda}$  is a tangent vector to  $x^\mu(\lambda)$

When  $x^\mu$  is parametrized by  $\tau$  we call this a 4-velocity

$$u^\mu \equiv \frac{dx^\mu}{d\tau}$$

It satisfies  $u^2 = 1$

It's  $\tau$  derivative is the 4-acceleration  $a^\mu \equiv \frac{du^\mu}{d\tau}$

Since

$$\frac{d(u^2)}{d\tau} = \frac{d1}{d\tau} = 0 \quad \text{we have } a^\mu u_\mu = 0$$

A particle of mass  $m$  has 4-momentum  $p^\mu \equiv mcu^\mu$

It satisfies  $p^2 = m^2c^2$ .  $m$  is a Lorentz invariant

(since  $u^\mu$  is a 4-vector and we want our definition of  $p^\mu$  to also give a 4-vector). The zeroth component is called

$$\text{energy } p^\mu = (p^0, p^i) = \left( \frac{E}{c}, p^i \right)$$

We often reserve the symbol  $v^i$  for a 3-vector that corresponds to the velocity of the particle:  $v^i = \frac{dx^i}{dt}$

$$v^i = \frac{dx^i}{d\tau} = \frac{dx^i}{dt} \frac{dt}{d\tau} \quad v^0 = \frac{dx^0}{d\tau} = c \frac{dt}{d\tau} \Rightarrow v^i = \frac{v^i}{c} v^0$$

$$\text{Since } v^2 = 1 \quad v^{0^2} - \vec{v}^2 = v^{0^2} \left(1 - \frac{\vec{v}^2}{c^2}\right) = 1 \Rightarrow v^0 = \frac{1}{\sqrt{1 - \vec{v}^2/c^2}}$$

It is customary (and sometimes confusing, beware!) to use the same symbols here as for Lorentz transformations:  $\beta = \vec{v}/c$ ,  $\gamma = \frac{1}{\sqrt{1 - \beta^2}}$  so that

$$u^m = (\gamma, \gamma \beta^i) \quad \text{and} \quad p^m = (\gamma mc, \gamma \beta^i mc)$$

$$\text{or} \quad E = \frac{mc^2}{\sqrt{1 - \vec{v}^2/c^2}} \quad \text{and} \quad \vec{p} = \frac{mv}{\sqrt{1 - v^2/c^2}}.$$

This Lorentz-boost notation is natural in that one can obtain these results from boosting to an arbitrary frame with velocity  $\vec{v}$  from the (possibly instantaneous) rest frame

$$u^m = (1, 0)$$

In the instantaneous rest frame,  $\vec{p} = 0$ ,  $E = mc^2$  and  $a^m = (0, a^i)$

### Lorentz Force

We are ready to write  $\vec{F} = q(\vec{E} + \frac{\vec{v}}{c} \times \vec{B})$  in covariant form.

It is easy to "derive" the covariant form.

Since  $\frac{d\vec{p}}{d\tau} = \vec{F}$ , we look for an equation with

$$c \frac{dp^m}{d\tau} = \text{4 vector made of } \vec{E}, \vec{B} \text{ and } \vec{v}$$

Now  $\vec{E}, \vec{B}$  are enclosed in the 2-index tensor  $F^{\mu\nu}$ , and  $\vec{v}$  in the 4-vector  $u^m$ . The 4-vector we search for must be linear in  $F^{\mu\nu}$  (since  $\vec{F}$  is linear in  $\vec{E}, \vec{B}$ ); it is not necessarily linear in  $u^m$  since  $v^2 = 1$ . Possibilities

$$F^{\mu\nu} u_\nu, \quad F^{\sigma\nu} u_\sigma u_\nu u^\mu = 0, \quad F^{\sigma\nu} m_{\sigma\nu} u^\mu = 0$$

(the last two vanish by  $F^{\sigma\nu} = -F^{\nu\sigma}$ ,  $u^\sigma u^\nu = u^\nu u^\sigma$ ,  $m_{\sigma\nu} = m_{\nu\sigma}$ )

So  $\frac{dp^m}{d\tau} \propto F^{\mu\nu} u_\nu$ . The proportionality constant is  $q$ :

$$\boxed{\frac{dp^m}{d\tau} = q F^{\mu\nu} u_\nu}$$

It is understood that the field  $F^{\mu\nu}(x)$  is at  $x^m = x^m(z)$ .

$$\text{Note } c \frac{dp^i}{dt} = q(F^{io}v^o - F^{ij}v^j) \\ = q(F^{io}v^o + \epsilon^{ijk}\beta^k v^j) \\ = qv^o(\vec{E} + \frac{\vec{v}}{c} \times \vec{B})$$

$$\text{and since } c \frac{dp^i}{dt} = \frac{dp^i}{dt} v^o \Rightarrow \frac{d\vec{p}}{dt} = q(\vec{E} + \frac{\vec{v}}{c} \times \vec{B})$$

What about  $c \frac{dp^0}{dt} = \frac{1}{c} v^0 \frac{dE}{dt}$ ? It is not really an independent equation

$$\text{since } v^0 q_m = 0 \Rightarrow v^0 \frac{dp^0}{dt} = v^i \frac{dp^i}{dt} \Rightarrow \frac{dE}{dt} = c \frac{v^i}{v^0} \frac{dp^i}{dt} = \vec{v} \cdot \frac{d\vec{p}}{dt} = \frac{d}{dt}(\frac{1}{2} m \vec{v}^2)$$

The right hand side is,  $q(F^{0i}v_i) = q(-F^{i0}v_i) = q\vec{E} \cdot (\frac{1}{c}\vec{v})$

$$\text{so } \frac{d\vec{E}}{dt} = q \vec{v} \cdot \vec{E} \quad (\vec{E} \text{ on LHS is energy, } \vec{E} \text{ on RHS is electric field}).$$

This follows trivially from  $\frac{d}{dt}(\frac{1}{2} m \vec{v}^2) = \vec{v} \cdot \vec{F}$  with  $\vec{F} = \frac{d}{dt}(m\vec{v})$ .

Note that  $\frac{d\vec{p}}{dt} = q(\vec{E} + \frac{\vec{v}}{c} \times \vec{B})$  is NOT  $\frac{d\vec{x}}{dt} = \vec{v}(\vec{E} + \frac{\vec{v}}{c} \times \vec{B})$

$$\text{because } \frac{d\vec{p}}{dt} = m \frac{d}{dt} \left( \frac{\vec{v}}{\sqrt{1 - \vec{v}^2/c^2}} \right)$$

Exercise: Show

$$m \ddot{\vec{x}} = q \sqrt{1 - \vec{v}^2/c^2} \left[ \vec{E} - \left( \frac{\vec{v}}{c} \cdot \vec{E} \right) \frac{\vec{v}}{c} + \frac{\vec{v}}{c} \times \vec{B} \right]$$

## Vector Potential & 4-vector potential,

Recall from electrostatics,  $\vec{\nabla} \times \vec{E} = 0 \Rightarrow \vec{E} = -\vec{\nabla} \phi$  for some electrostatic potential  $\phi = \phi(\vec{x})$ .

We'd like to generalize this to include  $\vec{B}$  field & time dependence.

$$\vec{\nabla} \times \vec{E} + \frac{1}{c} \frac{\partial \vec{B}}{\partial t} = 0 \quad (3)$$

We can also use the other homogeneous equation

$$\vec{\nabla} \cdot \vec{B} = 0 \quad (4)$$

Start from (4): since  $\vec{\nabla} \cdot (\vec{\nabla} \times \vec{A}) = 0$  for any  $\vec{A}$ , we propose  $\vec{B} = \vec{\nabla} \times \vec{A}$ . Can this hold for any  $\vec{B}$ ? Let (I am not balancing indices, using  $\delta_{\mu\nu}$  for metric):

$$A^i = -\frac{1}{\sqrt{g}} (\vec{\nabla} \times \vec{B})^i \Rightarrow (\vec{\nabla} \times \vec{A})^i = -\epsilon^{ijk} \delta_j \left( \frac{1}{\sqrt{g}} \epsilon^{kmn} \partial_m B^n \right) = -\frac{1}{\sqrt{g}} (\partial_i \vec{\nabla} \cdot \vec{B} - \nabla^2 B^i)$$

So, provided  $\vec{\nabla} \cdot \vec{B} = 0$  and  $\nabla^2$  is invertible (ie  $\vec{B}$  is well behaved) then  $\vec{B} = \vec{\nabla} \times \vec{A}$  is always possible. It is not unique:

$\vec{B} = \vec{\nabla} \times \vec{A}' = \vec{\nabla} \times \vec{A} \Leftrightarrow \vec{\nabla} \times (\vec{A}' - \vec{A}) = 0$ . We know the solution to this, it is just like electostatics:  $\vec{A}' - \vec{A} = -\vec{\nabla} \omega$  for some scalar  $\omega$ .

Now for (3): using  $\vec{B} = \vec{\nabla} \times \vec{A}$

$$\vec{\nabla} \times \vec{E} + \frac{1}{c} \frac{\partial (\vec{\nabla} \times \vec{A})}{\partial t} = 0 \text{ or } \vec{\nabla} \times \left( \vec{E} + \frac{1}{c} \frac{\partial \vec{A}}{\partial t} \right) = 0 \Rightarrow \vec{E} + \frac{1}{c} \frac{\partial \vec{A}}{\partial t} = -\vec{\nabla} \phi \text{ as before.}$$

Summary: (3) & (4) insure we can write

$$\vec{E} = -\vec{\nabla} \phi - \frac{1}{c} \frac{\partial \vec{A}}{\partial t} \quad \vec{B} = \vec{\nabla} \times \vec{A}$$

Name:  $\vec{A}$  "vector potential".

Note: if  $\vec{A} \rightarrow \vec{A}' = \vec{A} - \vec{\nabla} \omega$  and  $\phi \rightarrow \phi' = \phi + \frac{1}{c} \frac{\partial \omega}{\partial t}$  then  $\vec{E} \rightarrow \vec{E}'$  &  $\vec{B} \rightarrow \vec{B}'$

This is called "gauge invariance" of  $\vec{E}$  &  $\vec{B}$ .

## Relativistic extension

We can combine the above expressions as follows:

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

Check:  $F_{0i} = E^i$ ,  $F_{0i} = \partial_0 A_i - \partial_i A_0 = -\partial_i A^0 - \partial_0 A^i = -\frac{1}{c} \frac{\partial A^i}{\partial t} - \partial_i \phi$

works! provided  $\phi = A^0 = A_0$ ; and  $\vec{A} \mapsto A^i$

$$F_{ij} = -\epsilon_{ijk} B^k = \partial_i A_j - \partial_j A_i = -(\partial_i A^j - \partial_j A^i)$$

or  $B^3 = \partial_1 A^2 - \partial_2 A^1$  etc ✓

Alternatively,  $\epsilon^{\mu\nu\rho\sigma} \partial_\nu F_{\rho\sigma} = 0 \Leftrightarrow F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$

(This is the 4D generalization of  $\nabla_\lambda \vec{B} = 0 \Leftrightarrow \vec{B} = \vec{\nabla} \times \vec{A}$ ).

$A_\mu$  is also called "vector potential", often also called a gauge field.

Gauge invariance:  $A_\mu \rightarrow A_\mu + \partial_\mu \omega$

Trivially seen directly:  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \Rightarrow \partial_\mu (A_\nu + \partial_\nu \omega) - \partial_\nu (A_\mu + \partial_\mu \omega) = \partial_\mu A_\nu - \partial_\nu A_\mu$

since  $\partial_\mu \partial_\nu \omega - \partial_\nu \partial_\mu \omega = 0$ .

Non-homogeneous equations in terms of  $A_\mu$ :

Now  $\partial_\mu F^{\mu\nu} = \partial_\mu (\partial^\mu A^\nu - \partial^\nu A^\mu) = \partial^2 A^\nu - \partial^\nu \partial^\mu A_\mu$

Here  $\partial^2 \equiv \mu\nu \partial_\mu \partial_\nu = \frac{1}{c^2} \frac{\partial^2}{\partial t^2} - \vec{\nabla}^2$  is also " $\square$ " or " $D^2$ " in textbooks

is the "d'Alembert operator", or "d'Alembertian" which appears prominently in the wave equation.

(Also  $\partial \cdot A = \eta^{\mu\nu} \partial_\mu A_\nu$ ). So Maxwell (1)+(2) are

$$\partial^2 A_\mu - \partial_\mu (\partial^\mu A) = \frac{4\pi}{c} f_\mu \quad (X)$$

An application of gauge invariance: since  $F_{\mu\nu}$  is invariant under  $A_\mu \rightarrow A'_\mu = A_\mu + \partial_\mu \omega$ , given a solution  $A_\mu$  of (X) above we can

find another one,  $A'_\mu$ , such that  $\partial \cdot A' = 0$ , since

in  $\partial \cdot A' = \partial \cdot A + \partial^2 \omega = 0$  provided the solution to

$$\omega = -\frac{1}{\partial^2} \partial \cdot A \quad \text{exists.}$$

This is generally the case. So we can look for solutions to (X) that satisfy both (X) and  $\partial \cdot A = 0$ . But the latter means the former

is  $\partial^2 A_\mu = \frac{4\pi}{c} j_\mu \Rightarrow \boxed{A_\mu = \frac{4\pi}{c} \frac{1}{\partial^2} j_\mu}$

The procedure above is called "gauge fixing", and the field  $A_\mu$  that satisfies  $\partial \cdot A = 0$  is said to be in "covariant" or "Lorentz" gauge.

## Inverting differential operators. Green functions. Distribution.

We have seen a need for inverting  $\nabla^2$  &  $\partial^2$ . Let's!

For  $\nabla^2$  we may as well put it in the important context of solving the Poisson Equation. This arises in electostatics (and in Newtonian gravitation).

Since  $\vec{E} = -\nabla\phi$  for static fields, we have then

$$\text{Gauss's law } \vec{\nabla} \cdot \vec{E} = 4\pi\rho \Rightarrow -\nabla^2\phi = 4\pi\rho \Rightarrow \phi = -4\pi \frac{1}{3}\rho$$

$$\text{Solve } \nabla^2\phi = -4\pi\rho$$

"Solve" means, given  $\rho = \rho(\vec{x})$ , determine  $\phi(\vec{x})$ ; there are other related problems, in which we specify  $\rho = \rho(\vec{x})$  and a boundary to the volume under consideration, and specify a boundary condition. In our case, we want  $\rho(\vec{x})$  with compact support and  $\phi = \phi(\vec{x})$  everywhere in space, up to solutions to  $\nabla^2\phi = 0$ .

$\rho$  has compact support, and is sufficiently well behaved, so  $\int d^3x \rho^2 < \infty \Rightarrow$  we can find its Fourier transform

$$\tilde{\rho}(\vec{k}) \equiv \int d\vec{x} e^{i\vec{k} \cdot \vec{x}} \rho(\vec{x}) \Rightarrow \rho(\vec{x}) = \frac{1}{(2\pi)^3} \int d^3k e^{-i\vec{k} \cdot \vec{x}} \tilde{\rho}(\vec{k})$$

Do this for  $\phi$  as well. Then

$$\begin{aligned} \nabla^2\phi &= \frac{1}{(2\pi)^3} \vec{\nabla}^2 \int d^3k e^{-i\vec{k} \cdot \vec{x}} \tilde{\phi}(\vec{k}) = \frac{1}{(2\pi)^3} \int d^3k (-k^2) \tilde{\phi}(\vec{k}) \\ \Rightarrow -k^2 \tilde{\phi}(\vec{k}) &= -4\pi \tilde{\rho}(\vec{k}) \Rightarrow \tilde{\phi}(\vec{k}) = -\frac{1}{k^2} (-4\pi \tilde{\rho}(\vec{k})) = \frac{4\pi}{k^2} \tilde{\rho}(\vec{k}) \end{aligned}$$

$$\text{and } \phi(\vec{x}) = \frac{4\pi}{(2\pi)^3} \int d^3k e^{-i\vec{k} \cdot \vec{x}} \frac{1}{k^2} \tilde{\rho}(\vec{k})$$

We can express this back in terms of  $\rho(\vec{x})$ :

$$\phi(\vec{x}) = \frac{4\pi}{(2\pi)^3} \int d^3k e^{-i\vec{k} \cdot \vec{x}} \frac{1}{k^2} \int d^3y e^{i\vec{k} \cdot \vec{y}} \rho(\vec{y}) = \int d^3y G(\vec{x}, \vec{y}) \rho(\vec{y})$$

Here  $G(\vec{x}, \vec{y})$  is a Green function, satisfying  $\nabla_{\vec{x}}^2 G(\vec{x}, \vec{y}) = -4\pi \delta^{(3)}(\vec{x} - \vec{y})$

where  $\nabla_{\vec{x}}^2$  means it is the Laplacian with respect to  $\vec{x}$  (to distinguish from  $\vec{y}$ ), and  $\delta^{(3)}(\vec{x})$  is the 3D Dirac-delta function.

$$\delta^{(3)}(\vec{x}) = \delta(x) \delta(y) \delta(z), \text{ with } \delta(x)=0 \text{ for } x \neq 0 \text{ and } \int_{-\infty}^{\infty} dx \delta(x)=1$$

so that  $\int d^3x \delta^{(3)}(\vec{x})=1$

Just like  $\int_{-\infty}^{\infty} dw e^{iwt} = 2\pi \delta(t)$ , we have  $\int d^3k e^{i\vec{k} \cdot \vec{x}} = (2\pi)^3 \delta^{(3)}(\vec{x})$

Now, we need off from above

$$G(\vec{x}, \vec{y}) = \frac{4\pi}{(2\pi)^3} \int d^3k e^{i\vec{k} \cdot (\vec{y}-\vec{x})} \frac{1}{k^2} \quad (G)$$

from which we learn that  $G(\vec{x}, \vec{y}) = G(\vec{x}-\vec{y})$  reflecting invariance under  $\vec{x} \rightarrow \vec{x} + \vec{a}$   $\vec{a}$  = constant vector of Poisson equation.

Exercise: verify that  $G$  satisfies  $\nabla^2 G = -4\pi \delta^{(3)}$  by direct computation

Note that we can add to this any solution to the associated homogeneous equation. If  $\nabla^2 F = 0$  then  $\nabla^2(G+F) = -4\pi \delta^{(3)}$  (provided  $\nabla^2 G = -4\pi \delta^{(3)}$ ).

We'll take a brief look at  $\nabla^2 \phi = 0$  and boundary value problems in electostatics later.

Physical interpretation: compare  $\nabla_x^2 G(x, y) = -4\pi \delta^{(3)}(\vec{x}-\vec{y})$  with  $\nabla^2 \phi = -4\pi \rho$

$\Rightarrow G$  is the electric potential due to a charge distribution  $\rho(\vec{x}) = \delta^{(3)}(\vec{x}-\vec{y})$

That is  $\rho=0$  everywhere except at  $\vec{x}=\vec{y}$ , and  $\int d^3y \rho = 1$

$\Rightarrow$  a unit charge at  $\vec{y}$ .

But we know  $\phi = \frac{q}{r}$  for charge at origin: the  $\frac{1}{r}$  is from Coulomb's law

the constant of proportionality from  $\int_V \vec{\nabla} \cdot \vec{E} dV = \int_V \vec{E} \cdot \vec{n} da$  using  $\vec{\nabla} \cdot \vec{E} = 4\pi \rho$

so that LHS =  $4\pi q$  and  $\vec{E} = -\nabla \phi$  with  $V$  = a sphere so RHS =  $\int R^2 d\Omega \left( \frac{\partial}{\partial r} \left( -\frac{q}{r} \right) \right) \Big|_{r=R} = 4\pi q R$ .

$\Rightarrow \phi = \frac{q}{|\vec{x}-\vec{y}|}$  for charge  $q$  at  $\vec{y}$ . Setting  $q=1$ ,  $G(\vec{x}-\vec{y}) = \frac{1}{|\vec{x}-\vec{y}|}$

Exercise:

(i) Check  $\nabla^2 \frac{1}{|\vec{x}|} = 0$  for  $\vec{x} \neq 0$ , and  $\int \nabla^2 \frac{1}{|\vec{x}|} d^3x = -4\pi$

(ii) Verify by direct integration of (G) that  $G(\vec{x}) = \frac{1}{|\vec{x}|}$ .

Now, let's try to do for  $\partial^2$  what we did for  $\nabla^2$ :

Let  $-\partial^2 \phi = 4\pi\rho$  (which is Gauss's Law in Lorentz gauge).

We try the same technique

$$\tilde{\rho}(k) = \int d^4x e^{-ik \cdot x} \rho(x) \quad \text{where } k^m \text{ is a 4-vector, } k \cdot x = k^m x_m$$

Incidentally,  $k \cdot x = k^0 x^0 - \vec{k} \cdot \vec{x} = \omega t - \vec{k} \cdot \vec{x}$  so  $\omega = k^0 c$  has the interpretation of frequency (while  $\vec{k}$  is still a wave-vector).

$$\text{and } \rho(x) = \frac{1}{(2\pi)^4} \int d^4k e^{ik \cdot x} \tilde{\rho}(k)$$

$$\text{As before } \partial^2 e^{ik \cdot x} = -k^2 e^{ik \cdot x}$$

$$\text{and } \phi(x) = \int d^4y G(x, y) \rho(y)$$

$$\text{with } G(x, y) = G(x-y) \quad \text{and } \partial^2 G(k) = -4\pi \delta^{(4)}(x)$$

But there is one interesting twist: while formally

$$G(x) = \frac{4\pi}{(2\pi)^4} \int d^4k e^{ik \cdot x} \frac{1}{k^2} \Rightarrow \partial^2 G = \frac{4\pi}{(2\pi)^4} \int d^4k e^{ik \cdot x} (-k^2) \frac{1}{k^2} = -4\pi \delta^{(4)}(x)$$

the actual integral is ill-defined:

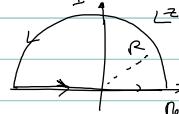
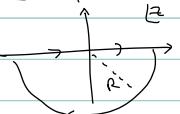
$$\frac{1}{k^2} = \frac{1}{(k^0)^2 - (\vec{k})^2} \quad \text{diverges for } (k^0)^2 = (\vec{k})^2$$

Consider the  $k^0$  integration:

$$\int_{-\infty}^{\infty} dk^0 \frac{e^{ik^0 x^0}}{(k^0)^2 - (\vec{k})^2}$$

Consider the complex variable integration

$$\int_C dz \frac{e^{izx^0}}{z^2 - \vec{k}^2}$$

where  $C$  is  for  $x^0 > 0$  and  for  $x^0 < 0$ .

These choices guarantee  $e^{izx^0} = e^{-R|x^0|}$  on the circle and vanishes exponentially fast as  $R \rightarrow \infty$ .

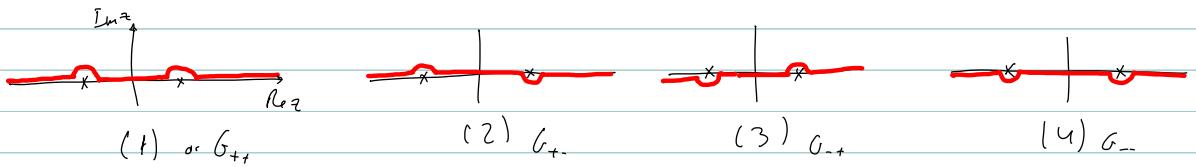
This allows us to analyze our integral using Cauchy's theorem.  
The only problem is that there are poles at

$$z = +|\vec{k}| \text{ and } z = -|\vec{k}|$$

and the contour of integration goes through them.

So let's propose the following:  $G$  is defined by a choice of deformation of the contour to go just above or just below the poles.

There are 4 possibilities:



By Cauchy's theorem the size of the deformation does not matter. So it can be infinitesimal, and the resulting integrals should all give

Green functions  $\Rightarrow$  the difference between any two should be a solution to the homogeneous equation. Easy to see. Take (1) - (2). Same as (1) followed by reversing direction of (2); cancels everywhere except at  $z = +|\vec{k}|$



But this is an easy integral:  $\int_{\text{little circle}} dz \frac{e^{izx^0}}{(z-|\vec{k}|)(z+|\vec{k}|)} = 2\pi i \left. \frac{e^{izx^0}}{z+|\vec{k}|} \right|_{z=|\vec{k}|} = 2\pi i \frac{e^{i|\vec{k}|x^0}}{2|\vec{k}|}$

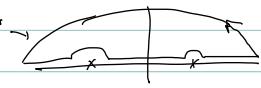
and  $\Delta G = \frac{4\pi}{(i\mu)^3} \int d^3 k \frac{e^{-i\vec{k}\cdot\vec{x} + i|\vec{k}|x^0}}{2|\vec{k}|}$

This is a well defined integral and has  $\partial^2(\Delta G) = 0$ . In fact

Exercise: if  $F(x) = \int d^3 k f(\vec{k}) e^{i\omega x^0 - i\vec{k}\cdot\vec{x}}$  is well defined, show that

$$\partial^2 F = 0 \text{ provided } \omega = \pm |\vec{k}|$$

So all 4 Green functions are good candidates. But they have interesting physically distinguishing properties.

(1)  $G_{++}$ . Since there are no poles inside  $G$  for  corresponding to  $x > 0$

we have  $G_{++} = 0$  for  $x > 0$ . This is called the "Advanced" Green function.

(2)  $G_{--}$ . Similarly  $G_{--} = 0$  for  $x < 0$ . "Retarded" Green functions

(3) Appears naturally in Quantum field theory, "Feynman" Green function and has the peculiar property that it can be deformed into an integral along imaginary axes. Not of interest to us for now.

Before we compute  $G_{\text{Adv}}$  and  $G_{\text{ret}}$  explicitly, note physical interpretation:

Since

$$\phi(x) = \int d^y G(x-y) \rho(y)$$

$$\text{we have } \phi(\vec{x}, x^0) = \int d^y_{\text{ret}} G(x, y) \rho(\vec{y}, y^0) = \int d^y_{\text{ret}} \int_{-\infty}^{x^0} dy^0 G_{\text{ret}}(\vec{x}-\vec{y}, x^0-y^0) \rho(\vec{y}, y^0)$$

$\Rightarrow \phi(\vec{x}, t)$  depends on  $\rho(\vec{x}, t')$  only for times  $t'$  before  $t$ , i.e.,  $t' < t$ . This makes sense for future evolution: one must know the history of the charge distribution in the past to predict the future.

Similarly  $G_{\text{Adv}}$  gives  $\phi(\vec{x}, t)$  from knowledge of the future charge distribution which can be of interest in, say, scattering if we know the future outcome.

Explicit computation.

$$\begin{aligned} \text{We have } G_{\text{ret}} &= \frac{4\pi}{(2\pi)^4} \int d^3 k e^{-i\vec{k}\cdot\vec{x}} \int dz e^{izx^0} \frac{1}{z^2 - \vec{k}^2} \rightarrow \text{Diagram with a pole at } z=0 \\ &= \frac{4\pi}{(2\pi)^3} i \int d^3 k e^{-i\vec{k}\cdot\vec{x}} \left( \frac{e^{iklx^0}}{2ikl} + \frac{e^{-iklx^0}}{-2ikl} \right) \\ &= \frac{i}{(2\pi)^2} \int d^3 k \frac{1}{|k|} e^{-i\vec{k}\cdot\vec{x}} (e^{iklx^0} - e^{-iklx^0}) \end{aligned}$$

$$\text{Now } \int d^3k \frac{e^{-ik\vec{x}}}{|\vec{k}|} e^{ikx^0} = 2\pi \int_0^\infty dk k \int_{-1}^1 d\cos\theta \frac{1}{k} e^{ikx^0} e^{-ikx \cos\theta} \quad x=|\vec{x}| \text{ for short}$$

$$= 2\pi \frac{1}{(-ix)} \int_0^\infty dk e^{ikx^0} (e^{ikx} - e^{-ikx})$$

Combining

$$G_{\text{ret}} = \frac{i}{(2\pi)^2} \frac{2\pi}{|x|} \int_0^\infty dk (e^{ikx} - e^{-ikx})(e^{ikx^0} - e^{-ikx^0})$$

$$= \frac{1}{2\pi} \frac{1}{|x|} \cdot \frac{1}{2} \int_{-\infty}^\infty dk (e^{ikx} - e^{-ikx})(e^{ikx^0} - e^{-ikx^0})$$

since integrand is even under  $k \rightarrow -k$ .

$$= \frac{1}{2\pi} \frac{1}{|x|} \frac{1}{2} 2\pi [2\delta(|\vec{x}| - x^0) + 2\delta(|\vec{x}| + x^0)]$$

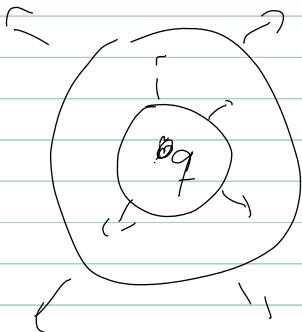
and since this is only for  $x^0 > 0$ ,  $\delta(|\vec{x}| + x^0) = 0$  so

$$G_{\text{ret}}(\vec{x}, x^0) = \frac{\delta(x^0 - |\vec{x}|)}{|\vec{x}|}$$

Ex: show that

$$G_{\text{adv}}(\vec{x}, x^0) = -\frac{\delta(x^0 + |\vec{x}|)}{|\vec{x}|}$$

A charge that appears for an instant and then disappears (not physical!), at  $t=0$ , at the origin, produces a potential field that propagates at the speed of light, spherically outward from the origin, with amplitude  $\frac{1}{|\vec{x}|}$  concentrated on a shell at  $|\vec{x}| = ct$ :



Cool! Moreover, easy to see real physical applications: start from charge distribution at rest and then have it start moving.

## Action Integral, Lagrangian, Conservation Laws

Obtaining the equations of motion (EOM) as extrema of an action integral  $S$ ,

is useful in various ways: exploring symmetries, quantization, extension to other models of reality, and so on.

One of the nice things about it (not emphasized in kindergarten) is that covariance of EOM under some transformation corresponds to invariance of  $S$ . This is powerful stuff: if you have a good reason to suspect nature is symmetric under a group  $G$  of transformations, then make sure  $S$  is invariant under  $G$ .

(Digression: for those of you who know group theory, the set of physical transformations on a system forms a group,  $G$ , with multiplication given as one transformation followed by the other:

- (i) there is an identity element  $e \in G$ , the "do nothing" transformation
- (ii) for every transformation  $g \in G$  there is an inverse  $g^{-1} \in G$  such that  $g \cdot g^{-1} = g^{-1} \cdot g = e$ , the "undo" what you "did"
- (iii) if  $g_1 \in G, g_2 \in G \Rightarrow g_1 \cdot g_2 \in G$ .

An example is the EOM for a relativistic free particle (we'll add EM interactions later). It has world-line  $x^\mu(\lambda)$ . We want a functional

$$S[x^\mu(\lambda)]$$

that is invariant under Lorentz transformations. It should also be independent of the way in which we parametrize the world-line, ie., it should be reparametrization invariant. We know the interval  $ds$  is invariant,  $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$  and written this way it is independent of  $\lambda$ .

We therefore have a simplest invariant action integral

$$S[x^\mu(\lambda)] = \int ds \quad \text{with } \lambda \text{ some constant.}$$

If we want to be more careful we can specify that this is for paths between  $x_1^\mu$  and  $x_2^\mu$  so that  $x^\mu(0) = x_1^\mu$  and  $x^\mu(1) = x_2^\mu$  are fixed end-points and write

$$S[x(\lambda); x_1, x_2] = \int_{x_1}^{x_2} ds$$

b.t often we leave  $x_1, x_2$  as implicitly understood. Note that, eg,  $\int ds^2$  makes no sense (the square of an infinitesimal integrates to zero).

For computations use  $dS^2 = \eta_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda} d\lambda^2 > 0$

$$S[x(\lambda)] = k \int_0^1 d\lambda \sqrt{\eta_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}}$$

$$\text{Now } S[x + \delta x] - S[x] = k \int_0^1 d\lambda \left( \sqrt{\eta_{\mu\nu} (x + \delta x)^\mu (x + \delta x)^\nu} - \sqrt{\eta_{\mu\nu} x^\mu x^\nu} \right) \quad \text{where } \dot{x} = \frac{dx}{d\lambda}$$

$$= k \int_0^1 d\lambda \eta_{\mu\nu} \frac{\frac{dx^\mu}{d\lambda} \frac{d\delta x^\nu}{d\lambda}}{\sqrt{\eta_{\mu\nu} x^\mu x^\nu}}$$

$$= -k \int_0^1 d\lambda \delta x^\mu \frac{d}{d\lambda} \left[ \frac{\eta_{\mu\nu} \frac{dx^\nu}{d\lambda}}{\sqrt{\eta_{\mu\nu} x^\mu x^\nu}} \right] + \text{boundary terms}$$

So that the EOM is

$$\frac{d}{d\lambda} \left[ \frac{k}{\sqrt{\eta_{\mu\nu} \frac{dx^\mu}{d\lambda} \frac{dx^\nu}{d\lambda}}} \frac{dx^\mu}{d\lambda} \right] = 0 \quad (\#)$$

If we choose  $\lambda = x^0$ , then this is

$$\frac{d}{dt} \left( \frac{k}{\sqrt{1 - \vec{v}/c^2}} \right) = 0 \quad \frac{d}{dt} \left[ \frac{k}{\sqrt{1 - \vec{v}/c^2}} \vec{v} \right] = 0 \quad (\#)$$

but no  $x^0 = 0$  equation because there is no variation  $dx^0$  of the trajectory (since  $x^0(\lambda) = \lambda$  is fixed). Using  $\lambda = x^0$  we can connect to Lagrangian mechanics; generally

$$S[q(t_1, t_2)] = \int_{t_1}^{t_2} dt L(q, \dot{q}) \quad \text{Lagrangian, a function of two variables}$$

and EOM's are  $\frac{d}{dt} \frac{\partial L}{\partial \dot{q}} - \frac{\partial L}{\partial q} = 0$  and momentum  $p = \frac{\partial L}{\partial \dot{q}}$

The relativistic particle has, from above

$$S = kc \int_{t_1}^{t_2} \sqrt{1 - \vec{v}/c^2} dt \quad \text{so} \quad L(x^i, v^i) = kc \sqrt{1 - \vec{v}/c^2}, \quad p^i = \frac{\partial L}{\partial v^i} = \frac{1}{\sqrt{1 - \vec{v}/c^2}} (kv^i/c)$$

We see that with  $k = mc$  this corresponds to momenta as found earlier (plus  $S$  then has the proper dimensions). For EOM we have

$$\frac{\partial L}{\partial v^i} = \frac{1}{\sqrt{1 - \vec{v}/c^2}} mv^i \quad \frac{\partial L}{\partial x^i} = 0 \Rightarrow \frac{d}{dt} \left( \frac{m}{\sqrt{1 - \vec{v}/c^2}} v^i \right) = 0 \quad \text{as above.}$$

Note that this is just  $\frac{d\tilde{p}}{dt} = 0$

The non-relativistic limit  $L = -mc^2 \sqrt{1-v^2/c^2} = -mc^2 \left[ 1 - \frac{1}{2} \frac{v^2}{c^2} + \dots \right] \approx -mc^2 + \frac{m}{2} v^2 + \dots$

$-mc^2$  is an irrelevant, BUT interesting, constant: if we write  $L = T - V$  where  $T = \frac{1}{2}mv^2$  is kinetic and  $V$  is potential energies, then  $V = mc^2$ , which the student should recognize as the rest energy of a particle in special relativity.

The Hamiltonian (or energy, since there is no explicit time dependence) is

$$H(q, p) = \dot{q}p - L$$

where  $\dot{q} = \dot{q}(q, p)$  is obtained from  $p = \frac{\partial L}{\partial \dot{q}}$ .

In the present case ( $H = H(q, p)$ ), so rewrite by solving  $\dot{q}$  in terms of  $\vec{p}$ )

$$\begin{aligned} H &= \frac{v \cdot m v^i}{\sqrt{1-v^2/c^2}} - \left( -mc^2 \sqrt{1-\vec{v}^2/c^2} \right) \\ &= \frac{mc^2}{\sqrt{1-v^2/c^2}} \left[ \left( 1 - \frac{\vec{v}^2}{c^2} \right) + \frac{v^2}{c^2} \right] = \frac{mc^2}{\sqrt{1-v^2/c^2}} = E \end{aligned}$$

The Hamiltonian should be written in terms of  $\vec{p}$ ,

$$\frac{\vec{p}^2}{m c^2} = \frac{\vec{v}^2/c^2}{1-\vec{v}^2/c^2} \Rightarrow \frac{\vec{v}^2}{c^2} \left( 1 + \frac{\vec{p}^2}{m c^2} \right) = \frac{\vec{p}^2}{m c^2} \Rightarrow \frac{\vec{v}^2}{c^2} = \frac{\vec{p}^2}{m^2 c^2 + \vec{p}^2} \Rightarrow 1 - \frac{\vec{v}^2}{c^2} = \frac{m^2 c^2}{m^2 c^2 + \vec{p}^2}$$

So  $E = \sqrt{(mc^2)^2 + \vec{p}^2/c^2}$ . No surprise!

Note that (2) above is  $\frac{dE}{dt} = 0$ .

The variation (functional derivative) defines the 4-momentum

$$\frac{\delta S}{\delta x^\mu(s)} = -p_\mu \frac{dx^\mu}{ds}$$

This makes it clear

that  $p_\mu$  transforms as a lower index 4-vector. Using  $\lambda^\mu$  the components of  $p^\mu$  are what we saw above

$$p^\mu = \left( \frac{E}{c}, \vec{p} \right)$$

so they form a 4-vector. Using the expression for  $E$  above,  $\vec{p}^2 = \frac{E^2}{c^2} - \vec{p}^2 = (mc)^2$

## Conservation Laws & Continuous Symmetries

Let us write generically

$$S[x^{\mu}] = \int d\lambda \mathcal{L}(x^{\mu}, \frac{dx^{\mu}}{d\lambda})$$

where  $\mathcal{L}(x^{\mu}, v^{\mu})$  is a function of these two variables. If the parameter were time ( $\lambda = t$ ) then  $\mathcal{L}$  would correspond with the Lagrangian.

We can recover equations of motion by extremizing  $S$ :

$$\delta S = 0 \Rightarrow \int d\lambda \left[ \frac{\partial \mathcal{L}}{\partial x^{\mu}} \delta x^{\mu} + \frac{\partial \mathcal{L}}{\partial v^{\mu}} \frac{dv^{\mu}}{d\lambda} \right] = 0$$

Here  $\frac{\partial \mathcal{L}}{\partial x^{\mu}}$  and  $\frac{\partial \mathcal{L}}{\partial v^{\mu}}$  are understood to be evaluated on  $v^{\mu} = \frac{dx^{\mu}}{d\lambda}$ . Integrating by parts:

$$\left. \frac{\partial \mathcal{L}}{\partial x^{\mu}} \delta x^{\mu} \right|_0^1 + \int d\lambda \left[ \frac{\partial \mathcal{L}}{\partial x^{\mu}} - \frac{d}{d\lambda} \left( \frac{\partial \mathcal{L}}{\partial v^{\mu}} \right) \right] \delta x^{\mu} = 0$$

The first term vanishes because  $\delta x^{\mu} = 0$  at  $\lambda = 0, 1$ . The second term must then vanish, for any  $\delta x^{\mu}(\lambda) \rightarrow$  the  $[..]$  must vanish at each  $\lambda$ . This is a version of the Euler-Lagrange equation:

$$\boxed{\frac{\partial \mathcal{L}}{\partial x^{\mu}} - \frac{d}{d\lambda} \left( \frac{\partial \mathcal{L}}{\partial v^{\mu}} \right) = 0} \quad (\text{EOM})$$

We define 4-momentum by

$$p_{\mu} = -\frac{\partial \mathcal{L}}{\partial v^{\mu}}$$

Symmetries: suppose that  $x^{\mu} \rightarrow x^{\mu} + \epsilon^{\mu}(\lambda) + \theta(\epsilon)$  is a symmetry of  $S$ , that is  $S[x'] = S[x]$ . Then

$$0 = S[x'] - S[x] = \int d\lambda \left[ \frac{\partial \mathcal{L}}{\partial x^{\mu}} \epsilon^{\mu}(\lambda) + \frac{\partial \mathcal{L}}{\partial v^{\mu}} \frac{d\epsilon^{\mu}(\lambda)}{d\lambda} \right]$$

Now, evaluating this for solutions of the EOM,  $\frac{\partial \mathcal{L}}{\partial x^{\mu}} = \frac{d}{d\lambda} \left( \frac{\partial \mathcal{L}}{\partial v^{\mu}} \right)$  we have

$$0 = \int d\lambda \left[ \frac{d}{d\lambda} \left( \frac{\partial \mathcal{L}}{\partial v^{\mu}} \right) \epsilon^{\mu} + \frac{\partial \mathcal{L}}{\partial v^{\mu}} \frac{d\epsilon^{\mu}}{d\lambda} \right] = \int d\lambda \frac{d}{d\lambda} \left[ \frac{\partial \mathcal{L}}{\partial v^{\mu}} \epsilon^{\mu}(\lambda) \right] = \frac{\partial \mathcal{L}}{\partial v^{\mu}} \epsilon^{\mu} \Big|_{in}^{out} = -p_{\mu} \epsilon^{\mu} \Big|_{in}^{out}$$

or  $p_{\mu} \epsilon^{\mu}(\text{final}) = p_{\mu} \epsilon^{\mu}(\text{initial})$

$\beta$ , i.e. "final" is arbitrary along world line  $x^{\mu}(\lambda)$ : this just means  $\boxed{p_{\mu} \epsilon^{\mu}(\lambda) = \text{constant along } x^{\mu}(\lambda)}$

Translations! Suppose  $x^m \rightarrow x^m + \epsilon^m$  with  $\epsilon^m = \text{constant}$  is a symmetry.

Then  $p_m \epsilon_m = \text{constant}$ . Since  $\epsilon_n$  is an arbitrary fixed vector this implies:

$p_m = \text{constant}$  : Conservation of momentum (as a result of translational invariance in space-time)

### Angular-Momentum

Assume invariance under Lorentz transformations  $x'^n = \gamma^n_{\mu} x^\mu$ . The infinitesimal version is  $x'^m = x^m + \epsilon^m$ ,  $x^\nu$  with  $\epsilon_{\mu\nu} = -\epsilon_{\nu\mu}$  ( $\epsilon_{\mu\nu} = \eta_{\mu\nu} \epsilon^{\nu}$ )

$$\Rightarrow p_\mu \epsilon^\nu x^\nu = \text{constant}$$

$$\Rightarrow \epsilon_{\mu\nu} x^\mu p^\nu = \text{constant}$$

Since  $\epsilon_{\mu\nu}$  is an arbitrary anti-symmetric tensor, it has 6 independent components that allow us to impose 6 independent conditions on  $x^\mu p^\nu$ . Since

$$x^\mu p^\nu = \frac{1}{2} (x^\mu p^\nu + x^\nu p^\mu) + \frac{1}{2} (x^\mu p^\nu - x^\nu p^\mu)$$

and  $\epsilon_{\mu\nu} s^{\mu\nu} = 0$  for any  $s^{\mu\nu} = s^{\nu\mu}$ , it follows that the 6 components of

$$M^{\mu\nu} = x^\mu p^\nu - x^\nu p^\mu$$

are constrained by the above,  $M^{\mu\nu} = \text{constant}$ . This 2-index antisymmetric tensor contains angular momentum:  $M^{ij} = x^i p^j - x^j p^i = \epsilon^{ijk} L_k$ , where  $L_k = \epsilon_{ijk} x^i p^k$  or  $L = \vec{r} \times \vec{p}$ , as usual. But what is  $M^{ii}$ ?

$$M^{ii} = x^i p^i - x^i p^0 = \text{constant} \Rightarrow x^i = \left( \frac{p^i}{p^0} \right) x^0 + \text{constant}$$

In the non-relativistic limit:  $p^0 = mc$  and  $x^i = \frac{p^i}{m} t$ , an elementary equation.

For many particles this is interesting:

$$M^{oi} = \sum_n M_n^{oi} = x^o \sum_n p_n^i - \sum_n x_n^i p_n^0 = x^o P_{tot}^i - \sum_n x_n^i p_n^0$$

Define the center of energy (cm)

$$x_{cm}^i = \frac{\sum_n x_n^i p_n^0}{\sum_n p_n^0} \quad \text{and total energy } E^0 = \sum_n p_n^0$$

$$\text{Then } x^o P^i = x_{cm}^i p^0 \quad \text{or}$$

$$x_{cm}^i = \left( \frac{P^i}{E^0} \right) x^o = \frac{P^i}{E/c^2} t$$

This is the relativistic generalization of center of mass.

## Interactions with EM fields.

The non-relativistic limit of a point charge interacting with (i.e., moving under the effect of a) electric field described by a potential  $\phi = A^0$  is described by a Lagrangian

$$L = \frac{1}{2} m \vec{v}^2 - q \phi(\vec{x}) \quad (\text{recall } L = T - V)$$

Relativistic generalization:  $\frac{1}{2} m v^2$ , we have seen, is from  $-mc\sqrt{1-\vec{v}/c^2}$ . Now, we want  $S$  to be invariant under Lorentz transformations. The kinetic term is explicitly invariant if we write again

$$S = -mc \int ds = -mc \int d\lambda \sqrt{\eta_{\mu\nu} v^\mu v^\nu}$$

$$\text{with } v^\mu = \frac{dx^\mu}{d\lambda}.$$

But  $q\phi = qA^0$  is the 0-th component of a 4-vector. Now

$$v^\mu = \frac{dx^\mu}{ds} \text{ has } v^0 = \frac{1}{\sqrt{1-v^i/c^2}} \rightarrow v^i = \frac{v^i/c}{\sqrt{1-v^i/c^2}}$$

In the NR limit  $v^0 \approx 1$  and  $v^i = v^i$ , so we can write

$$-q v^\mu A_\mu \approx -q\phi + \mathcal{O}(\vec{v})$$

The relativistic generalization is then

$$\int dt (-q\phi(\vec{x})) = \int dt \left( -q \frac{1}{c} \frac{dx^0}{dt} \phi \right) \rightarrow \int dt \left( -\frac{q}{c} \frac{dx^\mu}{dt} A_\mu \right)$$

or simply

$$\begin{aligned} S &= \int -mc ds - \frac{q}{c} \int dx^\mu A_\mu \\ &= \int d\lambda \left[ -mc \sqrt{\eta_{\mu\nu} v^\mu v^\nu} - \frac{q}{c} v^\mu A_\mu \right] \end{aligned}$$

Momentum conjugate; equation of motion (EOM)

Writing

$$S = \int dt \left[ -mc^2 \sqrt{1-v^i/c^2} - \frac{q}{c} \frac{dx^\mu}{dt} A_\mu \right] \Rightarrow L = -mc^2 \sqrt{1-\vec{v}^2/c^2} + \frac{q}{c} \vec{v} \cdot \vec{A} - qA^0$$

we find the canonical momentum  $\vec{P}$ :

$$\begin{aligned} P^i &= \frac{\partial L}{\partial v^i} = p^i + \frac{q}{c} A^i \quad \text{or} \quad \boxed{\vec{P} = \vec{p} + \frac{q}{c} \vec{A}} \\ &\text{as before} \end{aligned}$$

$$\text{Now } H = \vec{P} \cdot \vec{v} - L = \vec{P} \cdot \vec{v} + mc^2 \sqrt{1-\vec{v}^2/c^2} - \frac{q}{c} \vec{v} \cdot \vec{A} + qA^0$$

Writing  $\vec{p} = \vec{P} - \frac{q}{c} \vec{A}$  this is

$$H = \vec{p} \cdot \vec{v} + mc^2 \sqrt{1 - \frac{\vec{v}^2}{c^2}} - q A^0(\vec{x}, t)$$

The first two terms are as in the free case, so we can write immediately

$$H = \sqrt{\vec{p}^2 c^2 + (mc^2)^2} + q A^0$$

or

$$H = \sqrt{(\vec{P} - \frac{q}{c} \vec{A})^2 + (mc^2)^2} + q A^0$$

At low velocity

$$L = \frac{1}{2} m \vec{v}^2 + \frac{q}{c} \vec{v} \cdot \vec{A} - q A^0$$

and  $H = \frac{1}{2} m (\vec{P} - \frac{q}{c} \vec{A})^2 + q A^0$

For the EOM, we already have  $\frac{\partial L}{\partial \vec{v}} = \vec{P} = \vec{p} + \frac{q}{c} \vec{A}$

but now we also need  $\frac{\partial L}{\partial x^i}$ . Recall that  $A^0 = A^0(\vec{x})$  and  $A^i = A^i(\vec{x})$ , so we have

$$\frac{\partial L}{\partial x^i} = \frac{q}{c} v^j \frac{\partial A^i}{\partial x^j} - q \frac{\partial A^0}{\partial x^i}$$

So we have  $\frac{d}{dt} (p^i + \frac{q}{c} A^i) = \frac{q}{c} v^j \partial_j A^i - q \partial_i A^0$

We want an equation for  $\frac{d p^i}{dt}$  ( $p^i$  is shorthand for  $\frac{m v^i}{\sqrt{1 - v^2/c^2}}$ ). Now  $\vec{A} = \vec{A}(\vec{x}(t), t)$  so

$$\frac{d \vec{A}}{dt} = \frac{\partial \vec{A}}{\partial t} + \nabla \cdot \frac{\partial \vec{A}}{\partial \vec{x}} = \partial_t \vec{A} + \vec{v} \cdot \nabla \vec{A}$$

$$\Rightarrow \frac{d p^i}{dt} = \frac{q}{c} \left( \underbrace{v^j (\partial_i A^j - \partial_j A^i)}_{[\vec{v} \times (\nabla \times \vec{A})]^i = (\vec{v} \times \vec{B})^i} - \underbrace{q \partial_t A^i}_{q E^i} - q \partial_i A^0 \right)$$

or  $\frac{d \vec{p}}{dt} = q (\vec{E} + \frac{\vec{v}}{c} \times \vec{B})$

(Check of curl:  $\partial_i A_j - \partial_j A_i = \epsilon_{ijk} \epsilon_{kmn} \partial_m A_n = \epsilon_{ijk} (\nabla \times \vec{A})_k$ . Then this times  $v^j \Rightarrow \epsilon_{ijk} v^j (\nabla \times \vec{A})^k$ )

One can check by direct computation  $\frac{d \vec{E}_{kin}}{dt} = q \vec{v} \cdot \vec{E}$  (we know from  $V^m \frac{d p^m}{dt} = 0$ ) where  $E_{kin} = \frac{mc^2}{\sqrt{1 - v^2/c^2}}$

$$\left( \frac{d E_{kin}}{dt} = mc^2 \frac{1}{(1 - v^2/c^2)^{3/2}} \frac{1}{c^2} \vec{v} \cdot \frac{d \vec{v}}{dt}, \text{ and } \vec{v} \cdot \frac{d \vec{p}}{dt} = \frac{m}{\sqrt{1 - v^2/c^2}} \vec{v} \cdot \frac{d \vec{v}}{dt} + \frac{v^2}{c^2} \frac{d}{dt} \frac{mc^2}{\sqrt{1 - v^2/c^2}} \right)$$

$$\text{so } \vec{v} \cdot \frac{d \vec{p}}{dt} = \left( 1 - \frac{v^2}{c^2} \right) \frac{d E_{kin}}{dt} + \frac{v^2}{c^2} \frac{d E_{kin}}{dt} \Rightarrow \frac{d \vec{E}_{kin}}{dt} = \vec{v} \cdot \frac{d \vec{p}}{dt} = q \vec{v} \cdot \vec{E}.$$

Exercise: Obtain the covariant form of the EOM for using

$$S = \int d\lambda \left[ -mc\sqrt{v^2} - \frac{q}{c} v^\mu A_\mu \right]$$

in Euler-Lagrange.

Solution:

$$-\dot{p}_\alpha = \frac{\partial S}{\partial v^\alpha} = -mc \frac{v_\alpha}{\sqrt{v^2}} - \frac{q}{c} A_\alpha$$

$$\frac{\partial S}{\partial x^\alpha} = -\frac{q}{c} v^\beta \partial_\alpha A_\beta$$

$$\Rightarrow \frac{d}{d\lambda} \left( mc \frac{v_\alpha}{\sqrt{v^2}} + \frac{q}{c} A_\alpha \right) = \frac{q}{c} v^\beta \partial_\alpha A_\beta$$

$$\stackrel{(1)}{=} \frac{d p_\alpha}{d\lambda} = \underbrace{\frac{q}{c} (v^\beta \partial_\alpha A_\beta - \frac{d}{d\lambda} A_\alpha)}_{= \frac{dx^\beta}{d\lambda} \partial_\beta A_\alpha} = v^\beta \partial_\beta A_\alpha = v^\mu \partial_\mu A_\alpha$$

$$\Rightarrow \frac{dp_\alpha}{d\lambda} = \frac{q}{c} v^\beta (\partial_\alpha A_\beta - \partial_\beta A_\alpha) = \frac{q}{c} v^\mu F_{\alpha\mu}$$

as expected.

## Motion in constant crossed $\vec{E}, \vec{B}$ fields

Warm-up:  $\vec{E} = 0, \vec{B}$  constant

We know this from introductory courses:  $\frac{d\vec{p}}{dt} = \frac{q}{c} \vec{v} \times \vec{B}$

except now  $\vec{p} = \frac{m\vec{v}}{\sqrt{1-\vec{v}^2/c^2}}$ . However  $\frac{d\vec{p}_{kin}}{dt} = q\vec{v} \cdot \vec{E} = 0 \Rightarrow \vec{v} = \text{constant}$

$$\text{so } m \frac{d\vec{v}}{dt} = \sqrt{1-\frac{\vec{v}^2}{c^2}} q \frac{\vec{v}}{c} \times \vec{B}$$

$\Rightarrow \vec{v}$  has a constant component along  $\vec{B}$ , plus it has a circular trajectory in the plane  $\perp$  to  $\vec{B}$ .

$$\frac{v_\perp^2}{R} = \sqrt{1 - \frac{v_\parallel^2}{c^2}} \frac{q}{mc} v_\parallel B \quad v_\perp = \sqrt{1 - \frac{v_\parallel^2}{c^2}} \frac{qRB}{mc}$$

(or it gives  $R$  given initial  $\vec{v}$ ).

Now consider  $\vec{E} \perp \vec{B}$ , with  $\vec{E} \perp \vec{B}$ , both uniform and constant.

It is useful to 1<sup>st</sup> consider this in a frame moving in direction of  $\vec{E} \times \vec{B}$ . Let  $\vec{E} = (0, E^2, 0)$  &  $\vec{B} = (0, 0, B)$ , and consider boost in  $\vec{B} = (1, 0, 0)$  direction  $\Lambda = \begin{pmatrix} c-s \\ s \\ c \end{pmatrix}$  with  $s = -\beta B$ . We had computed

$$E'^1 = E^1 = 0, \quad E'^2 = cE^2 - sB^3, \quad E'^3 = cE^3 + sB^2 = 0$$

$$B'^1 = B^1 = 0, \quad B'^2 = cB^2 + sE^2 = 0, \quad B'^3 = cB^3 - sE^2$$

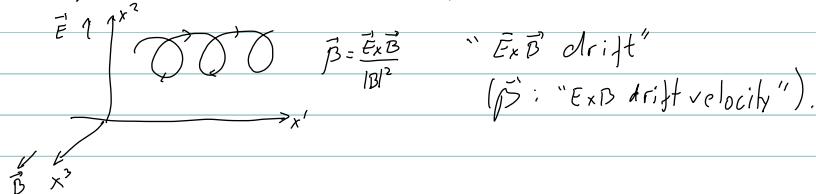
So  $\vec{E}'$  &  $\vec{B}'$  are still  $\perp$ , and along  $x^2$  &  $x^3$  axes, respectively. Now note that we can choose a frame

$$\vec{E}'^2 = 0 \quad \text{provided } \beta = \frac{s}{c} = \frac{E^2}{B^3} \quad \text{which must satisfy } \beta < 1, \text{ i.e., provided } |\vec{E}| < |\vec{B}|$$

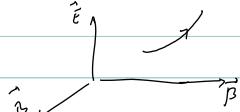
Similarly,  $|\vec{B}'| = 0$  in frame  $\vec{B} = \frac{B^2}{E^3}$ , provided  $|\vec{B}| < |\vec{E}|$ .

Motion

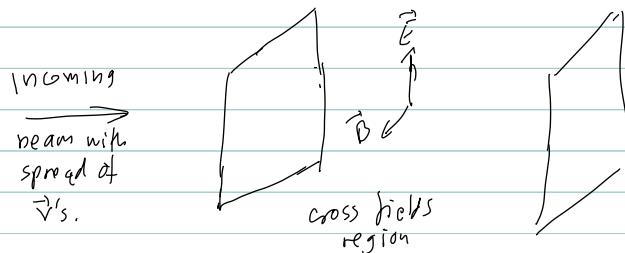
(i)  $|\vec{E}| < |\vec{B}|$ . In  $K'$  frame,  $\vec{E}' = 0, \vec{B}' = \text{constant} \Rightarrow$  circular motion in plane  $\perp$  to  $\vec{B}$ ; in  $K$  frame we have the boost of this motion



(ii)  $|\vec{E}| > |\vec{B}|$ . In  $K'$  frame,  $\vec{B}' = 0, \vec{E}' = \text{constant}$ . Rectilinear acceleration in  $K'$



## Velocity selector.



Consider one particle going into  $E \perp B$  region with velocity  $\vec{v}$ .  
 Let  $\vec{v}'_c = \frac{\vec{E} \times \vec{B}}{|B|}$  (to differentiate from  $\vec{v}$ ).

$$\text{In } K', \text{ where } \vec{E}' = 0, \quad \vec{v}' = \frac{\vec{v} - \vec{v}}{1 - \frac{\vec{v} \cdot \vec{v}}{c^2}} \quad \text{or since all are in } x\text{-direction} \quad v' = \frac{v - v}{1 - v v/c^2}.$$

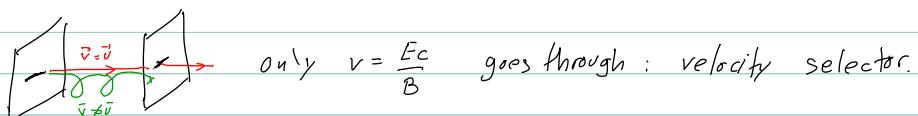
In  $K'$  this is circular motion with radius

$$R = \frac{mc v'}{\sqrt{1 - v'^2/c^2} q B}$$

For  $v' = 0$ , i.e.,  $\vec{v} = \vec{v}'$  the trajectory in  $K$  is a straight line!

For  $v \neq 0$  the trajectory is not straight, but

So use slits:



This followed by a magnetic spectrometer (pure  $B = \text{constant}$ ), that selects  $\vec{p}$  can be used for simultaneous  $\vec{v}$  and  $\vec{p}$  measurement  $\Rightarrow$  measure mass and charge.

(The  $B = \text{constant}$  region selects  $\frac{mv}{\sqrt{1 - v'^2/c^2}} = p = \frac{qB}{R}$  by measuring  $R$ .)

## Elements of Classical Relativistic Field Theory

Before giving an action principle for  $E$  &  $B$ , let's do a more general analysis - and simpler: consider first the continuum mechanics of a scalar field  $\phi(x^\mu)$  ( $\phi(x)$  for short).

$S[\phi]$  is a functional  $\{ \text{space of } \phi(x) \} \rightarrow \mathbb{R}$ .

Lagrangian density  $\mathcal{L}$ :

$$S[\phi] = \int dt \mathcal{L} = \int d^4x \mathcal{L}$$

with  $\mathcal{L} = \mathcal{L}(\phi, \partial_\mu \phi)$  a function of (5) variables,  $\phi$  and  $\partial_\mu \phi$ .

(Again, think of this as a function  $\mathcal{L}(\phi, q_\mu)$  which we evaluate at  $q_\mu = \partial_\mu \phi$ .)

EOM (Euler-Lagrange equations):

$$\delta S[\phi] = 0 \Rightarrow \int d^4x \left[ \frac{\partial \mathcal{L}}{\partial \phi} \delta \phi + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \partial_\mu \delta \phi \right] = 0$$

(we have computed  $S[\phi + \delta \phi] - S[\phi]$  for arbitrary function  $\delta \phi(x)$ ).

There are implicit boundary conditions:

\* initial/final:  $\phi(\vec{x}, t_1) = \phi_1(\vec{x})$ ,  $\phi(\vec{x}, t_2) = \phi_2(\vec{x})$  are initial and final field values.

\* spatial infinity: assume  $\phi(\vec{x}, t)$  is localized:  $\phi(x^\mu) \rightarrow 0$  as  $|x| \rightarrow \infty$ .

Integrate by parts and ignore boundary terms since  $\delta \phi(x) = 0$  on boundaries (either  $t = t_{1,2}$  or  $|\vec{x}| = \infty$ ):

$$\int d^4x \delta \phi(x) \left[ \frac{\partial \mathcal{L}}{\partial \phi} - \partial_\mu \left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \right) \right] = 0$$

$\delta \phi(x)$  is arbitrary:

$$\boxed{\frac{\partial \mathcal{L}}{\partial \phi} - \partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} = 0} \quad (\text{EOM})$$

Example:

$$\mathcal{L} = \frac{1}{2} \eta^{\mu\nu} \partial_\mu \phi \partial_\nu \phi = \frac{1}{c^2} \left( \frac{\partial \phi}{\partial t} \right)^2 - \vec{\nabla} \phi \cdot \vec{\nabla} \phi$$

Then  $\frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} = \eta^{\mu\nu} \partial_\nu \phi$  and  $\frac{\partial \mathcal{L}}{\partial \phi} = 0$

$\Rightarrow$  EOM is  $\partial_\mu (\eta^{\mu\nu} \partial_\nu \phi) = 0$  or  $\partial^2 \phi = 0$  i.e.  $\phi$  satisfies wave equation.

$$\text{Exercise: } \mathcal{L} = \frac{1}{2} m v^2 - \frac{1}{2} \phi^2$$

gives EOM  $\partial^2\phi + m^2\phi = 0$  "Klein-Gordon" equation.

(often written  $(\partial^2 + m^2)\phi = 0$ ).

Canonical momentum, Hamiltonian density

Define  $\pi = \frac{\partial \ell}{\partial (\hat{y}_t \hat{p})}$  (  $\hat{p}$  instead of  $y_t$  just rescales how we measure).

$$\text{and } \mathcal{H}(\pi, \phi) = \pi \partial_\phi \phi - \mathcal{L}$$

Hans-Han's equations follow from extremes of  $J^N = \int d^4x (\Pi \partial_\mu \phi - \mathcal{H})$

$$\text{Ex: } \mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{m^2}{2} \phi^2 = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} (\bar{\phi} \phi)^2 - \frac{1}{2} \phi^2$$

$$\pi = \partial_\phi \phi$$

$$\mathcal{H} = \pi \partial_\phi - \left( \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} (\vec{\nabla}\ell)^2 - \frac{\mu^2}{2} \ell^2 \right)$$

$$0' \quad \mathcal{H} = \underbrace{\frac{1}{2} \pi^2}_{\text{"K"}} + \underbrace{\frac{1}{2} (\vec{r} \phi)^2}_{\text{"V"}} + \frac{m^2}{2} \phi^2$$

Note that  $(\nabla \phi)^2$  is potential energy. The derivative is different in character than  $\partial_\mu$ : it is just the difference of two variables,  $\phi(x+\epsilon) - \phi(x)$ , for  $\epsilon = (0, \vec{\epsilon})$ , as  $\vec{\epsilon} \rightarrow 0$ . So  $(\nabla \phi)^2$  belongs with  $\mu^2 p^2$ !

$$N_{dw} \quad S = \int d^4x \left( \nabla \partial_0 \phi - \mathcal{H} \right)$$

$$\delta S = 0 \quad \Rightarrow \quad \partial_\mu \phi - \pi = 0$$

$\downarrow -\partial_\mu \pi + \vec{\nabla}^2 \phi - \mu^2 \phi = 0$

int by parts

$$\nabla \cdot \vec{v} = 0 \quad -\vec{\partial}_b^2 \phi + \vec{V}^2 \phi - \mu^2 \phi = 0 \quad \text{or} \quad -(\vec{\partial}_b^2 + \mu^2) \phi = 0$$

from 1st  
and  
Klein-Gordon ✓

## Continuous symmetries and Noether's theorem.

We'll do this by first looking at explicit symmetries and then generalizing.

Consider an action  $S = \int d^4x \mathcal{L}$  with  $\mathcal{L} = \mathcal{L}(\phi, \partial_\mu \phi)$  that does not depend explicitly on  $x^\mu$ .

Then this is invariant under  $x^\mu \rightarrow x'^\mu = x^\mu + \epsilon^\mu$ . In this case this invariance holds for any  $\epsilon^\mu$  independent of  $x$ . But we will consider infinitesimal, so that  $\delta\phi = \phi(x+\epsilon) - \phi(x) = \epsilon^\mu \partial_\mu \phi$

It is useful to consider  $\epsilon^\mu = \epsilon^\mu(x)$ , and only specialize to the case that  $\epsilon$  is x-independent at the end. We still have

$$\delta\phi = \epsilon^\mu \partial_\mu \phi$$

In addition, since  $\partial_\mu \phi$  is a function of  $x$

$$\delta \partial_\mu \phi = \epsilon^\nu \partial_\nu (\partial_\mu \phi)$$

Similarly since  $\mathcal{L}$  is implicitly a function of  $x$ , we have  $\delta \mathcal{L} = \epsilon^\mu \partial_\mu \mathcal{L}$ . So

$$\delta S = \int d^4x \epsilon^\mu \partial_\mu \mathcal{L} \quad (*)$$

Now, for any variation  $\delta\phi$ , we have

$$\delta S = \int d^4x \left[ \frac{\partial \mathcal{L}}{\partial \phi} \delta\phi + \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \delta \partial_\mu \phi \right]$$

and using the EOM

$$\delta S = \int d^4x \left[ \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \right) \delta\phi + \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} \delta \partial_\lambda \phi \right]$$

$$= \int d^4x \left[ \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \right) \delta\phi + \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} (\delta \partial_\lambda \phi - \partial_\lambda \delta\phi) \right]$$

$$\text{Using } x \rightarrow x + \epsilon = \int d^4x \left[ \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \right) \epsilon^\mu \partial_\mu \phi + \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} [\epsilon^\mu \partial_\mu \partial_\lambda \phi - \partial_\lambda (\epsilon^\mu \partial_\mu \phi)] \right]$$

$$= \int d^4x \epsilon^\mu \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \right) \partial_\mu \phi$$

Since this is equal to  $(*)$  we have

$$\int d^4x \epsilon^\mu \left[ \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \right) \partial_\mu \phi - \partial_\mu \mathcal{L} \right] = 0 \quad \text{for arbitrary } \epsilon^\mu, \text{ or}$$

$$\partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \right) \partial_\mu \phi - \partial_\mu \mathcal{L} = 0 \Rightarrow \partial_\lambda \left[ \frac{\partial \mathcal{L}}{\partial \partial_\lambda \phi} \partial_\mu \phi - \delta_\mu^\lambda \mathcal{L} \right] = 0$$

This means the tensor  $T^{\lambda}_{\mu} \equiv \frac{\partial \mathcal{L}}{\partial(\partial_{\lambda}\phi)} \partial_{\mu}\phi - \delta_{\mu}^{\lambda} \mathcal{L}$

defines  $\lambda$  conserved current:  $\partial_{\lambda} T^{\lambda\mu} = 0$

We have raised the second index  $T^{\lambda\mu} = \eta^{\mu\nu} T^{\lambda}_{\nu} = \eta^{\mu\nu} \frac{\partial \mathcal{L}}{\partial(\partial_{\nu}\phi)} \partial_{\lambda}\phi - \eta^{\lambda\mu} \mathcal{L}$

Example: With  $\mathcal{L} = \frac{1}{2} \eta^{\mu\nu} \partial_{\mu}\phi \partial_{\nu}\phi$

$$T_{\mu\nu} = \partial_{\mu}\phi \partial_{\nu}\phi - \frac{1}{2} \eta_{\mu\nu} \eta^{\alpha\beta} \partial_{\alpha}\phi \partial_{\beta}\phi$$

Now

$$\partial_{\mu} T^{\mu}_{\nu} = \partial^2 \phi \partial_{\nu}\phi + \eta^{\mu\alpha} \partial_{\mu}\phi \partial_{\alpha}\partial_{\nu}\phi - \eta^{\alpha\beta} \partial_{\alpha}\phi \partial_{\beta}\partial_{\nu}\phi = 0 \quad \checkmark$$

by EOM

Exercise: Find  $T_{\mu\nu}$  for  $\mathcal{L} = \frac{1}{2} \eta^{\mu\nu} \partial_{\mu}\phi \partial_{\nu}\phi - \frac{\mu^2}{2} \phi^2$ . Check explicitly, by use of EOM, that  $\partial_{\mu} T^{\mu}_{\nu} = 0$ .

The conserved "charges" are

$$P^{\mu} = \frac{1}{c} \int d^3x T^{\mu 0}$$

are interpreted as  $P^0 = E/c = \text{energy}/c$  and  $P^i = \text{momentum}$ .

$$[\text{Unit } [J]] = E \cdot T = P \cdot x, \quad [\text{fix } \mathcal{L}] = \frac{P \cdot x}{x^4} = \frac{P}{x^3} \quad \text{Same as } [T^{\mu\nu}]$$

Digression: (i) another approach

For spacetime symmetries,  $S[\phi'(x)] = S[\phi(x)]$  involves  $\int d^4x'$  vs  $\int d^4x$

In comparing  $\int d^4x' \mathcal{L}(\phi'(x'))$  to  $\int d^4x \mathcal{L}(\phi(x))$  we want the same

integration region, so we use  $\int d^4x \left[ \left( \frac{\partial x'^\mu}{\partial x^\nu} \right) \mathcal{L}(\phi'(x')) - \mathcal{L}(\phi(x)) \right] = 0$

$$\text{Now the Jacobian } \det \left( \frac{\partial x'^\mu}{\partial x^\nu} \right) = \det \left( \frac{\partial}{\partial \nu} (x^\mu + \epsilon^\mu(x)) \right) = \det (\delta^\mu_\nu + \partial_\nu \epsilon^\mu)$$

Using  $\det A = e^{\text{Tr} \ln A}$  (for any matrix  $\det A = \prod \lambda_n$  with  $\lambda_n$  be eigenvalues, so

taking the log,  $\ln \det A = \ln \prod \lambda_n = \sum_n \ln \lambda_n = \text{Tr} \ln A$ ), we have

$$\det(\delta^\mu_\nu + \partial_\nu \epsilon^\mu) = e^{\text{Tr} \ln(\delta^\mu_\nu + \partial_\nu \epsilon^\mu)} \approx e^{\partial_\nu \epsilon^\mu} = 1 + \partial_\nu \epsilon^\mu$$

So

$$\int d^4x \left[ \partial_\nu \epsilon^\mu \mathcal{L} + \frac{\partial \mathcal{L}}{\partial \phi} \epsilon^\mu \partial_\nu \phi + \frac{\partial \mathcal{L}}{\partial(\partial_\nu \phi)} \epsilon^\mu \partial_\nu \partial_\mu \phi \right] = 0$$

Since  $\epsilon^\mu$  is arbitrary, we will make it localized, and in particular  $\epsilon = 0$  at the boundaries. So we integrate by parts the first term. Use the EOM for the second, and

$$\int d^4x \epsilon^\mu(x) \left[ -\partial_\nu \mathcal{L} + \partial_\nu \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial_\mu \phi + \frac{\partial \mathcal{L}}{\partial(\partial_\nu \phi)} \partial_\nu \partial_\mu \phi \right] = 0$$

$$\int d^4x \epsilon^\mu(x) \partial_\nu \left[ -\delta_\nu^\mu \mathcal{L} + \frac{\partial \mathcal{L}}{\partial(\partial_\nu \phi)} \partial_\mu \phi \right] = 0$$

$$\Rightarrow T_{\mu\nu} = \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi)} \partial_\nu \phi - \delta_\mu^\nu \mathcal{L} \quad \text{are } (\mu=0, \dots) \text{ conserved.}$$

(ii) Since the EOM is derived from arbitrary variations, why do we get conservation laws from specific variations only after using the EOM?

ANS: For spacetime transformations (eg, translations  $x \rightarrow x' = x + \epsilon$ , or Lorentz  $x' = \Lambda x$ ) the transformation is not just  $\phi'(x) = \phi(x) + \delta\phi(x)$ ; there is at least the Jacobian factor and there may also be a difference between  $\delta \partial_\mu \phi$  and  $\partial_\mu \delta\phi$ , eg, for Lorentz trans',  $\partial_\mu \phi \rightarrow \Lambda^\nu \partial_\nu \phi(\Lambda^\mu x)$  but  $\phi \rightarrow \phi(\Lambda^\mu x)$  so  $\delta \partial_\mu \phi$  (inclus)  $\epsilon^\mu \partial_\mu \phi$  but not so  $\partial_\mu(\delta\phi)$ .

For internal transformations we can work with constant  $\epsilon$ , at the Lagrangian level, so clearly different. Also, internal transformations do not necessarily satisfy boundary conditions. For example, if at  $t=t_{\text{initial}}$   $\phi_I(\vec{x}, t_{\text{ini}}) = \phi_{I,0}(\vec{x})$  then the transformed field  $\phi'_I(\vec{x}, t) = R_{IJ} \phi_J(\vec{x}, t)$  does not have  $\phi'_I(\vec{x}, t_{\text{ini}}) = \phi_{I,0}(\vec{x})$ .

Next consider Lorentz invariance:  $\delta x^\mu = \epsilon^\mu_{\nu} x^\nu$ . We have

$$\phi'(x) = \phi(\Lambda^{-1}x) = \phi(x - \epsilon^\mu_{\nu} x^\nu) = \phi(x) - \epsilon^\mu_{\nu} x^\nu \partial_\mu \phi(x)$$

$$\text{Similarly } \delta \mathcal{L} = -\epsilon^\mu_{\nu} x^\nu \partial_\mu \mathcal{L}$$

For  $\partial_\mu \phi$  we must be careful that it is a vector,  $a'_\mu(x) = \Lambda_\mu^\nu a_\nu(\Lambda^{-1}x)$   
so that

$$\delta a_\mu = \epsilon^\nu_{\mu} a_\nu(x) - \epsilon^\lambda_{\mu} x^\nu \partial_\nu a_\lambda$$

Hence

$$\delta \mathcal{S}' = \int d^4x \left[ -\epsilon^\mu_{\nu} x^\nu \partial_\mu \mathcal{L} \right]$$

$$\begin{aligned} \text{but also } &= \int d^4x \left[ \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} (-\epsilon^\mu_{\nu} x^\nu \partial_\mu \phi) \right) + \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} \left[ (\epsilon^\nu_{\mu} \partial_\nu \phi - \epsilon^\nu_{\lambda} x^\nu \partial_\nu \phi) - \partial_\lambda (-\epsilon^\nu_{\mu} x^\mu \partial_\nu \phi) \right] \right] \\ &= \int d^4x \left[ -\epsilon^\mu_{\nu} \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} x^\nu \partial_\mu \phi \right) \right] \end{aligned}$$

With  $\epsilon_{\mu\nu} = -\epsilon_{\nu\mu}$  arbitrary we have (use  $\partial^\mu \phi = \eta^{\mu\nu} \partial_\nu \phi$  for short):

$$M^{\lambda\mu\nu} \equiv \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi)} x^\nu \partial^\mu \phi - \eta^{\mu\lambda} x^\nu \mathcal{L} - (\mu \leftrightarrow \nu) = T^{\lambda\mu} x^\nu - T^{\lambda\nu} x^\mu$$

satisfy  $\partial_\lambda M^{\lambda\mu\nu} = 0$  (6 conserved currents: 3 for rotations  
 $\rightarrow 3$  for boosts).

The conserved charges are

$$M^{\mu\nu} = \int d^3x M^{0\mu\nu}$$

with

$$M^{ij} = \int d^3x M^{0ij} = \int d^3x (T^{0i} x^j - T^{0j} x^i)$$

These have form of  $\vec{P} \times \vec{p}$ , where  $\vec{P}$  is a density

when integrated over the density  $\Rightarrow$  this is angular momentum.

$$\begin{aligned} M^{0i} &= \int d^3x M^{00i} = \int d^3x (T^{00} x^i - T^{0i} x^0) \\ &= \int d^3x (T^{00} x^i) - x^0 P^i \end{aligned}$$

is analogous to particle case, giving

$$P^i = \frac{\int d^3x (T^{00} x^i)}{\int d^3x (T^{00})} \cdot \frac{\int d^3x T^{00}}{x^0} + C^i = \frac{x^i_{CM}}{x^0} \frac{E_{rot}}{c} + C^i$$

Exercise: Compute  $M^{\mu\nu\lambda}$  for  $\mathcal{L} = \frac{1}{2} \partial^\mu \partial^\nu \phi - \frac{1}{2} M^{\mu\nu} \partial_\mu \phi \partial^\nu \phi$ , and check  $\partial_\mu M^{\mu\nu\lambda} = 0$ .

$$\text{Sol: } T^{\mu\nu} = \partial^\mu \phi \partial^\nu \phi - \frac{1}{2} M^{\mu\nu} \partial_\mu \phi \partial^\nu \phi$$

$$\begin{aligned} \text{so } M^{\mu\lambda\nu} &= T^{\mu\lambda} x^\nu - T^{\nu\lambda} x^\mu \\ &= \partial^\mu \phi (\partial^\lambda \phi x^\nu - \partial^\nu \phi x^\lambda) - \frac{1}{2} (M^{\mu\lambda} x^\nu - M^{\nu\lambda} x^\mu) \partial_\mu \phi \partial^\lambda \phi \end{aligned}$$

We have already checked  $\partial_\mu T^{\mu\nu} = 0$ . So

$$\begin{aligned} \partial_\mu M^{\mu\lambda\nu} &= \partial_\mu (T^{\mu\lambda} x^\nu - T^{\nu\lambda} x^\mu) \\ &= (\partial_\mu T^{\mu\lambda}) x^\nu + T^{\mu\lambda} \partial_\mu x^\nu - \partial_\mu T^{\nu\lambda} x^\mu - T^{\nu\lambda} \partial_\mu x^\mu \\ &= T^{\mu\lambda} \delta_\mu^\nu - T^{\mu\nu} \delta_\mu^\lambda \\ &= T^{\nu\lambda} - T^{\lambda\nu} \\ &= 0 \quad \text{since } T^{\mu\nu} \text{ is symmetric.} \end{aligned}$$

Note: in some cases  $T^{\mu\nu}$  is not symmetric, and additional care is needed. One can define an improved  $T^{\mu\nu}$  which is symmetric. Rather than doing the general case, we'll see this explicitly in the case of  $E_8 M$ .

"Internal" symmetries. Consider the case of two scalar fields

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi_1 \partial^\mu \phi_1 + \frac{1}{2} \partial_\mu \phi_2 \partial^\mu \phi_2 \quad \text{where both } \phi_1(x), \phi_2(x) \text{ are scalar fields.}$$

This is form invariant under the transformations:

$$\phi'_1(x) = G\alpha \phi_1(x) + S\sin\alpha \phi_2(x)$$

$$\phi'_2(x) = -S\cos\alpha \phi_1(x) + C\sin\alpha \phi_2(x)$$

This is a continuous set of transformations since  $\alpha$  can take on any value in  $\mathbb{R}$ .

Note that this transformation has  $x^m$  unchanged ( $x^m \rightarrow x'^m = x^m$ ). It only "mixes" the fields  $\phi_1, \phi_2$ ; such symmetries are generically called "internal".

More generally, consider a set of fields  $\phi_I$ ,  $I=1\dots,N$ , with a Lagrangian density  $\mathcal{L}(\phi_I, \partial_\mu \phi_I)$  that is invariant under

$$\delta \phi_I(x) = \epsilon (D\phi)_I(x)$$

where  $\epsilon$  is an infinitesimal parameter,  $(D\phi)_I$  is a linear transformation on  $\phi$  and we indicate explicitly that  $x$  is unaffected. That  $\mathcal{L}$  is invariant means

$$\sum_I \frac{\partial \mathcal{L}}{\partial \phi_I} \epsilon D\phi_I + \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} \epsilon \partial_\lambda D\phi_I = 0 \quad (\text{we are taking } \epsilon \text{ to be } x \text{ independent})$$

$$\text{EOM: } \frac{\partial \mathcal{L}}{\partial \phi_I} = \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} \right) \Rightarrow \epsilon \left[ \partial_\lambda \left( \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} \right) D\phi_I + \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} \partial_\lambda D\phi_I \right] = 0$$

$$\text{Hence } \partial_\lambda \left[ \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} D\phi_I \right] = 0 \quad \text{or} \quad \boxed{\partial_\lambda J^\lambda = 0 \quad \text{for } J^\lambda = \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} D\phi_I}$$

"Noether's Theorem"

Example: The 2 field case above has ( $\alpha = \epsilon$ , infinitesimal)

$$\delta \phi_1 = \epsilon \phi_2 \quad \delta \phi_2 = -\epsilon \phi_1 \quad \text{So } (D\phi)_1 = +\phi_2 \quad (D\phi)_2 = -\phi_1$$

$$\text{Also } \frac{\partial \mathcal{L}}{\partial (\partial_\lambda \phi_I)} = \eta^{\lambda\nu} \partial_\nu \phi_I = \partial^\lambda \phi_I. \quad \text{So } J^\lambda = \partial^\lambda \phi_1 \phi_2 + \partial^\lambda \phi_2 (-\phi_1) = \phi_2 \partial^\lambda \phi_1 - (\partial^\lambda \phi_1) \phi_2$$

Note that  $\partial_\lambda J^\lambda = \phi_2 \partial^\lambda \phi_1 - \partial^\lambda \phi_2 \phi_1$  vanishes by EOM.

Exercise: Consider  $\mathcal{L} = \frac{1}{2} \partial_\mu \phi_1 \partial^\mu \phi_1 + \frac{1}{2} \partial_\mu \phi_2 \partial^\mu \phi_2 - V(\phi_1, \phi_2)$  for some function  $V$ .

(i) Give examples of  $V$  that are polynomial in  $\phi_i$  and invariant under  $\delta \phi_1 = \phi_2$ ,  $\delta \phi_2 = -\phi_1$ .

(ii) Including  $V$ , compute  $J^\lambda$ .

## Action integral for Electromagnetic Field

The Euler-Lagrange equations for the  $\vec{E}$  &  $\vec{B}$  fields, should correspond to Maxwell's equations, the source-less form if the action integral does not include the interaction with particles (which we'll ignore at first - easy to include later).

The problem is that Maxwell's equations are first order in derivatives. But this is not what follows normally from Euler-Lagrange. To see this, consider what can be written as possible forms for the Lagrangian. It should be quadratic in the field variables  $\vec{E}$  &  $\vec{B}$ , invariant under rotations (assuming Lorentz invariance is even more constraining - see further below). So we can have terms

2nd pass:  
 $\vec{E}^2, \vec{B}^2, \underbrace{\vec{E} \cdot \vec{B}}_{\text{odd}}$

and derivatives on this

$$\begin{array}{ll} \vec{E} \cdot \frac{\partial \vec{E}}{\partial t} & \vec{B} \cdot \frac{\partial \vec{B}}{\partial t} \\ \text{idem} & \text{idem} \end{array} \quad \begin{array}{ll} \vec{E} \cdot \frac{\partial \vec{B}}{\partial t} & \frac{\partial \vec{E}}{\partial t} \cdot \vec{B} \\ \text{idem} & \text{idem} \end{array}$$

$$(\vec{\nabla} \cdot \vec{E})^2, (\vec{\nabla} \cdot \vec{B})^2, \text{etc...}$$

The terms in the last line is quadratic in derivatives  $\rightarrow$  will give 2-derivative EOM's.

The first line has  $E^2 \sim B^2$ . In fact energy density  $\propto \vec{E}^2 + \vec{B}^2$  and it would make sense that, since  $L = T - V$ , that it involves this. But then the EOMs would have no derivatives.

There is an easy workaround. If we use the potentials  $\phi, \vec{A}$  (or  $A^\mu = (A^0, \vec{A})$ ) as the fundamental fields (fundamental in the sense that then  $\vec{E}$  &  $\vec{B}$  are derived) then if  $\mathcal{L} \propto (\partial A)^2$  the EOM will involve  $\partial \cdot \partial A \sim \partial(E \text{ or } B)$  which is precisely what we need.

Additionally, we want  $\mathcal{L}$  to be gauge invariant (so that Maxwell equations are too).  
 $\Rightarrow$  Write  $\mathcal{L}$  in terms of  $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ .

As a bonus, the homogeneous (sourceless) equations follow automatically.

Possible terms for  $\mathcal{L}$

- (i) Made of  $F_{\mu\nu}$
- (ii) Quadratic in fields
- (iii) Lorentz invariant
- (iv) P and T invariant

Possibilities are limited:

$$F_{\mu\nu} F^{\mu\nu}, \underbrace{C^{\mu\nu\rho\sigma} F_{\mu\nu} F_{\rho\sigma}}_{P,T \text{ odd}}$$

So we conclude

$$\mathcal{L} = \frac{1}{4} \kappa F_{\mu\nu} F^{\mu\nu}$$

with some constant  $\kappa$  to be determined.

Euler-Lagrange

$$\text{Write } \mathcal{L} = \frac{1}{4} \kappa (\partial_\mu A_\nu - \partial_\nu A_\mu)(\partial^\mu A^\nu - \partial^\nu A^\mu)$$

$$\frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} = \kappa (\partial^\mu A^\nu - \partial^\nu A^\mu) \quad \frac{\partial \mathcal{L}}{\partial A^\mu} = 0 \Rightarrow \partial_\mu \left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} \right) = \kappa (\partial_\nu \partial^\mu A_\nu - \partial_\nu \partial^\mu A_\nu) = 0$$

Compare with Maxwell's equations:  $\partial^\mu A_\nu - \partial_\nu A^\mu = \frac{4\pi}{c} j_\nu$ ; since we have  $j_\nu = 0$  (for now), these agree ✓✓

To determine  $\kappa$ , we now add a charge particle and insist in getting the correct Maxwell's equations

$$\mathcal{S} = \int d^4x \kappa F_{\mu\nu} F^{\mu\nu} + \int d^4x (-mc \sqrt{U_\alpha U^\alpha} - \frac{q}{c} U^\alpha A_\alpha)$$

Then  $\frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)}$  is as above, but now  $\frac{\partial \mathcal{L}}{\partial A_\mu}$  no longer vanishes. To make the

coupling term a lagrangian density, choose  $\lambda = x^\mu$ , so  $U^\mu \rightarrow \frac{dx^\mu}{dx^0} = (1, \vec{v}/c)$  and

include  $\int d^3x \delta(x - \vec{x}(t))$  to replace  $A_\mu(x, \vec{x}(t))$  by  $A_\mu(t)$ . Then

$$-\frac{q}{c} \int d\lambda U^\mu A_\mu = -\frac{q}{c} \int d^4x' \delta^4(x - \vec{x}(x')) \frac{dx'^\mu}{dx^0} A_\mu(x')$$

$$\Rightarrow \frac{q}{c} \delta^3(x - \vec{x}(x)) \frac{dx^\mu}{dx^0} A_\mu = -\frac{1}{c^2} j^\mu A_\mu \quad \text{since } j^\mu = q \frac{dx^\mu}{dx^0} \delta^3(x - \vec{x}(x)) \quad \text{and } \frac{\partial \mathcal{L}}{\partial A_\mu} = -\frac{1}{c^2} j^\mu$$

$$\text{So } \kappa (\partial^\mu A_\nu - \partial_\nu A^\mu) = -\frac{1}{c^2} j^\nu \Rightarrow \kappa = -\frac{1}{4\pi c} \Rightarrow \boxed{\mathcal{S}_{EM} = \int d^4x \left[ -\frac{1}{4\pi c} F_{\mu\nu} F^{\mu\nu} \right]}$$

Leaving out particle dynamics, it is convenient to factor out  $\eta_{\mu\nu}$ :

$$S = \frac{1}{4\pi c} \int d^4x \left[ -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{4\pi}{c} j^\mu A_\mu \right]$$

Note that  $F^{\mu\nu} F_{\mu\nu} = 2F^{0i} F_{0i} + F^{ij} F_{ij} \Rightarrow \int d^4x \left[ -\frac{1}{4} (E^2 - B^2) - \frac{1}{4\pi c} j^\mu A_\mu \right]$

### Canonical Momentum and Hamiltonian

$$\pi^\nu \text{ the momentum conjugate to } A_\nu : \pi^\nu = \frac{\partial \mathcal{L}}{\partial (\partial_\nu A_\mu)} = \frac{1}{4\pi c} (\partial^\nu A^\mu - \partial^\mu A^\nu) = -\frac{1}{4\pi c} F^{\nu\mu} = \begin{cases} 0 & \nu=0 \\ \frac{E^i}{4\pi c} & \nu=i \end{cases}$$

Now  $\pi^0 = 0$ . There is no canonical momentum associated with  $A_0$ . This is because  $\mathcal{L}$  contains no time derivative of  $A_0$ .  $A_0$  is not dynamical, it's "EOM" is an equation of constraint.

$$\text{Now } (4\pi c) \pi^i = -\partial^i A^0 + \partial^0 A^i \text{ so } \partial^0 A^i = \partial^i A^0 - (4\pi c) \pi^i$$

$$\text{So } \mathcal{H} = \pi^i \partial_i A_0 - \mathcal{L}$$

$$= \pi^i \left( -(4\pi c) \pi_i + \partial_i A_0 \right) - \frac{1}{2} \left( (4\pi c) \pi^2 - \vec{B}^2 \right) \quad (\text{where } \vec{B} \text{ is short for } \vec{\nabla} \times \vec{A}).$$

$$= \frac{(4\pi c) \vec{\pi}^2 + \frac{1}{2} \vec{B}^2}{4\pi c} + \pi^i \partial_i A_0 = \frac{4\pi c}{2} \vec{\pi}^2 + \frac{1}{2} \frac{(\vec{\nabla} \times \vec{A})^2}{4\pi c} + \pi^i \partial_i A_0$$

The first two terms  $\frac{1}{8\pi c} \left[ (4\pi c \pi^i)^2 + \vec{B}^2 \right] = \frac{1}{8\pi c} (E^2 + B^2)$  are interpreted as energy density/c

$$\text{Now, in } \int d^4x (\pi^i \partial_i A_0 - \mathcal{H})$$

The variation w.r.t.  $A_0$  gives  $\partial_i \pi^i = 0$ , or  $\vec{\nabla} \cdot \vec{E} = 0$  (Gauss's law).

This has no time dependence, it is an equation of constraint.

If we retain source term,  $\delta S = \int d^4x \frac{1}{c} j^\mu A_\mu$

$$\text{then } \frac{\delta S}{\delta A_0} = -\partial_i \pi^i + \frac{1}{c} j^0 = 0 \quad \vec{\nabla} \cdot \vec{E} = \frac{4\pi}{c} j^0 = 4\rho p$$

so Gauss's Law is an equation of constraint (makes sense, no  $\vec{E}$ 's here!)

$$\text{Energy-Momentum tensor} \quad T^{\lambda}_{\mu} = \frac{\partial L}{\partial \partial_{\mu} \phi} \partial_{\lambda} \phi - \delta^{\lambda}_{\mu} L$$

Use this with  $\phi \rightarrow A_{\nu}$

$$(4\pi c) T^{\lambda}_{\mu} = \frac{\partial L}{\partial (\partial_{\mu} A_{\nu})} \partial_{\lambda} A_{\nu} - \delta^{\lambda}_{\mu} L$$

to avoid  
 repeating in  
 each term

$$= -(\partial^{\lambda} A^{\nu} - \partial^{\nu} A^{\lambda}) \partial_{\mu} A_{\nu} - \delta^{\lambda}_{\mu} \left( -\frac{1}{4} F_{\alpha\beta} F^{\alpha\beta} \right)$$

$$= -F^{\lambda\nu} \partial_{\mu} A_{\nu} + \frac{1}{4} \delta^{\lambda}_{\mu} F_{\alpha\beta} F^{\alpha\beta}$$

or  $(4\pi c) T^{\lambda\mu} = -F^{\lambda\nu} \partial_{\nu} A_{\mu} + \frac{1}{4} \eta^{\lambda\mu} F_{\alpha\beta} F^{\alpha\beta}$

This tensor is conserved, but neither symmetric nor gauge invariant.

We can try to improve this by noting that we may add to  $T^{\lambda\mu}$  another tensor  $t^{\lambda\mu}$

$$(i) \quad \partial_{\lambda} t^{\lambda\mu} = 0$$

$$(ii) \quad \int d^3x t^{\lambda\mu} = 0$$

so that  $\tilde{T}^{\lambda\mu} = T^{\lambda\mu} + t^{\lambda\mu}$  is conserved and defines the same "charge" as  $M^{\lambda\mu}$ . If we find such tensor then we may try to adjust it so that  $\tilde{T}^{\lambda\mu} = \tilde{T}^{\mu\lambda}$  and is gauge invariant.

Consider  $(4\pi c) t^{\lambda\mu} = F^{\lambda\nu} \partial_{\nu} A_{\mu}$ . It has

$$4\pi c \partial_{\lambda} t^{\lambda\mu} = \partial_{\lambda} F^{\lambda\nu} \partial_{\nu} A_{\mu} + F^{\lambda\nu} \partial_{\lambda} \partial_{\nu} A_{\mu}$$

Since we are considering the sourceless case,  $\partial_{\lambda} F^{\lambda\nu} = 0$ . The second term vanishes by symmetry of  $\partial_{\lambda} \partial_{\nu}$  times antisymmetry of  $F^{\lambda\nu}$ . Also

$$\int d^3x F^{\lambda\nu} \partial_{\nu} A_{\mu} = \int d^3x F^{\nu i} \partial_{\nu} A_{\mu} = - \int d^3x \partial_{\nu} F^{\nu i} A_{\mu} = 0 \quad (\text{no source})$$

So we adopt a symmetric and gauge invariant expression for the energy-momentum tensor (dropping tilde):

$$T^{\lambda\nu} = -\frac{1}{4\pi c} \left[ F^{\lambda\mu} F^{\nu}_{\mu} - \frac{1}{4} \eta^{\lambda\nu} F_{\alpha\beta} F^{\alpha\beta} \right]$$

In interpretation

$$4\pi c T^0_0 = -F^{0i} F_{0i} + \frac{1}{c} F^{ij} F_{ij}$$

$$= \vec{E}^2 + \frac{1}{2}(-E^2 + B^2) = \vec{E}^2 - \frac{1}{2}(E^2 - B^2) = \frac{1}{2}(\vec{E}^2 + B^2)$$

$$\text{so, energy density } u = \frac{1}{8\pi} (\vec{E}^2 + B^2) \quad (T^{00} = \frac{u}{c})$$

$$4\pi c T^{00} = \text{energy flux}$$

$$4\pi c T^{00} = -F^{0\lambda} F_{0\lambda} = P^{ij} F^{0j} = (-\epsilon^{ijk} B^k)(-E^j) \\ = (\vec{E} \times \vec{B})^0$$

$$\text{Let } \vec{j} = \frac{c}{4\pi} (\vec{E} \times \vec{B}) \quad \text{"Poynting vector"} \quad (T^{00} = \frac{1}{c^2} S^0).$$

$$\text{Now } \partial_\lambda T^{00} = 0 \Rightarrow \text{the "current" } (\frac{u}{c}, \vec{j}) \text{ is conserved}$$

$$\boxed{\frac{\partial u}{\partial t} + \vec{\nabla} \cdot \vec{j} = 0}$$

$$(\text{Check naging "c": } \partial_\lambda T^{00} = 0 \rightarrow \rightarrow \partial_0 T^{00} + \partial_i T^{i0} = 0 \rightarrow \frac{1}{c} \frac{\partial u}{\partial t} + \partial_i \left( \frac{1}{c^2} S^i \right) = 0 \quad \checkmark).$$

$$(\text{Dimensions: } [T^{00}] = \frac{P}{L^3} \quad [S^i] = \left( \frac{L}{T} \right)^2 \frac{P}{L^2} = \frac{L^2 P}{L^3 T} = \frac{E}{L T} = \text{energy flux} \quad \checkmark).$$

$$T^{0i} : \text{momentum density} = T^{i0} \rightarrow \text{see below}$$

$$T^{ij} : \text{momentum flux}$$

Note:  $\partial_\lambda T^{00} = 0$  holds even in the presence of charges if we add to

$T_{EM}^{\mu\nu}$  the contributions from the rest of the system provided the whole action is invariant under space-time translations.

$$\text{Alternatively } \partial_\lambda T_{EM}^{\lambda\mu} = -\partial_\lambda T_{rest}^{\lambda\mu} \neq 0$$

Rather than giving the rest of the Lagrangian and computing  $T_{rest}^{\mu\nu}$ , which depends on the details of "rest", we can compute directly  $\partial_\lambda T_{EM}^{\lambda\mu}$  assuming  $\partial_\lambda F^{\mu\nu} = \frac{u_0}{c} g^{\mu\nu}$  (ie, with sources). The result (checked in next page) is

$$\partial_\lambda T_{EM}^{\lambda\mu} = -\frac{1}{c^2} F^{\mu\nu} j_\nu$$

$$\text{For } \mu = 0 \quad (\star c^2) \quad \frac{\partial u}{\partial t} + \vec{\nabla} \cdot \vec{j} = -\vec{E} \cdot \vec{j}$$

Interpretation of  $\vec{j} \cdot \vec{E}$ : Consider  $\int d^3x \vec{j} \cdot \vec{E}$  and use  $\vec{j} = q \vec{v} \delta^3(\vec{x} - \vec{x}(t))$

$$\text{Then } \int d^3x \vec{j} \cdot \vec{E}(x) = q \vec{v} \cdot \vec{E}(\vec{x}(t))$$

$$\text{and recall } \frac{d\vec{p}}{dt} = q(\vec{E} + \vec{v} \times \vec{B}) \text{ so } \vec{v} \cdot \frac{d\vec{p}}{dt} = q \vec{v} \cdot \vec{E}$$

$$\text{Moreover } \frac{d\vec{p}}{dt} = \frac{d}{dt} \frac{m\vec{v}}{\sqrt{1-v^2/c^2}} = m \frac{d\vec{v}}{dt} \frac{1}{\sqrt{1-v^2/c^2}} + m\vec{v} \frac{\vec{v} \cdot \frac{d}{dt}}{c^2(1-v^2/c^2)^{3/2}}$$

$$\text{so } \vec{v} \cdot \frac{d\vec{p}}{dt} = \frac{m \frac{1}{2} \frac{d\vec{v}^2}{dt}}{(1-v^2/c^2)^{3/2}} = \frac{d}{dt} \frac{mc^2}{\sqrt{1-v^2/c^2}} = \frac{dE_{kin}}{dt}$$

Combining with the above

$$\frac{d}{dt} \left[ \underbrace{\int_V d^3x v}_{\text{total energy in volume } V} + E_{kin} \right] = - \underbrace{\int_V d^3x \vec{j} \cdot \vec{S}}_{\text{energy escaping } V \text{ through boundary } \partial V} = - \underbrace{q \int_{\partial V} ds \vec{n} \cdot \vec{S}}$$

Note:  $\vec{S}$  may be non-zero even for static fields! For example, see previous example of static "ExB" field. In this case  $\frac{\partial v}{\partial t} = 0$  so  $\vec{j} \cdot \vec{S} = 0$ , and while not vanishing it does not add energy to any region of space (and  $\vec{j} = \vec{\nabla}\phi$  for some  $\phi$ ?).

Calculation for previous page:

$$(-4\pi c) \partial_\lambda \vec{T}^{\lambda\mu} = \partial_\lambda \left( F^{\lambda\alpha} F_\alpha^\mu - \frac{1}{4} \eta^{\lambda\mu} F^{\alpha\beta} F_{\alpha\beta} \right)$$

$$= \frac{4\pi}{c} \partial_\lambda F^{\lambda\alpha} + X^\mu$$

where

$$X^\mu = F_{\lambda\alpha} \partial^\lambda F^{\mu\alpha} - \frac{1}{2} F_{\alpha\beta} \partial^\mu F^{\alpha\beta}$$

$$= F_{\lambda\alpha} \partial^\lambda F^{\mu\alpha} - \frac{1}{2} F_{\alpha\beta} (-\partial^\alpha F^{\beta\mu} - \partial^\mu F^{\alpha\beta}) \quad \text{by homogeneous equation}$$

$$= F_{\lambda\alpha} \partial^\lambda F^{\mu\alpha} + F_{\alpha\beta} \partial^\mu F^{\alpha\beta}$$

$$= 0$$

Hence

$$\partial_\lambda \vec{T}^{\lambda\mu} = - \frac{1}{c^2} F^{\mu\alpha} \partial_\alpha$$

Often defined:  $\vec{g} = T^{0i} = \frac{1}{c} \vec{S}$  = density of momentum

and let  $\sigma_{ij} = c T^{ij}$ , the "Maxwell stress tensor"

( $T^{\mu\nu}$  is often called the "stress-energy tensor",

another name for "energy-momentum tensor").

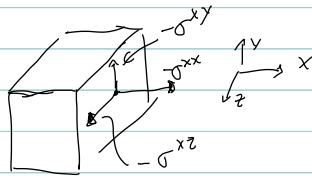
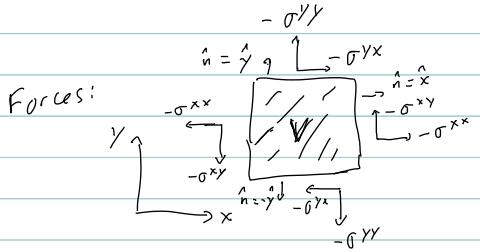
$$\text{Then } \partial_\nu T^{\lambda i} = \frac{1}{c} \frac{\partial}{\partial t} T^{0i} + \partial_j T^{ji} = \frac{1}{c} \left( \frac{\partial g^i}{\partial t} + \partial_j \sigma_{0j} \right) = 0$$

Dimensions:  $[T^{ij}] = \frac{P}{L^3}$   $[c T^{ij}] = \frac{P}{L^2 T}$  = momentum flux = force / area.

Since  $\frac{d}{dt} \int dV g^i = \frac{d}{dt} (\text{momentum})^i = \text{force}^i$  (force on V)

$$= - \oint_S \sigma_{0j} n^j ds$$

$\Rightarrow \sigma_{0j}$  is the  $j$ -th component of force into the volume on the surface element  $dS$ , with normal  $n^j$



In terms of  $\vec{E}, \vec{B}$ :

$$\sigma^{ij} = -\frac{1}{4\pi} [F^{i\lambda} F_{\lambda}^j - \frac{1}{4}\eta^{ij} F^{\alpha\beta} F_{\alpha\beta}]$$

$$= -\frac{1}{4\pi} [F^{i0} F_{j0} - F^{ik} F_{jk} + \frac{1}{2} \delta^{ij} (-E^2 + B^2)]$$

$$= -\frac{1}{4\pi} [E^i E^j - \underbrace{\epsilon^{ikm} \epsilon^{jln} B^m B^n}_{\delta^{ij} B^l - B^i B^j} + \frac{1}{2} \delta^{ij} (-E^2 + B^2)]$$

$$= -\frac{1}{4\pi} [E^i E^j + B^i B^j - \frac{1}{2} \delta^{ij} (E^2 + B^2)]$$

$$\text{So, for example: } \sigma^{xx} = -\frac{1}{8\pi} [E_x^2 - E_y^2 - E_z^2 + B_x^2 - B_y^2 - B_z^2]$$

$$\sigma^{xy} = -\frac{1}{4\pi} (E_x E_y + B_x B_y)$$

Note: This is the same convention for  $\sigma^{ij}$  as Landau-Lifshitz, opposite sign for Jackson.

With sources: we've seen above

$$\partial_\lambda T_{\mu\nu}^{\text{EM}} = -\frac{1}{c^2} F_{\lambda\nu} F^\nu$$

We will integrate this over space and compare with  $\partial_\lambda T_{\text{rest},\mu}^{\text{ch}}$   $T_{\text{rest}}^{\text{ch}} = \frac{q}{c^2} \frac{d\vec{x}}{dt} \vec{F}_{\mu\nu}$

$$= \frac{1}{c^2} \int d^3x F_{\lambda\nu} F^\nu \rightarrow -\frac{1}{c^2} \int d^3x \vec{F}_{\lambda\nu} q \frac{d\vec{x}^\nu}{dt} \delta^3(\vec{x} - \vec{x}(t))$$

$$= -\frac{1}{c^2} q \vec{F}_{\mu\nu}(\vec{x}(t)) \frac{d\vec{x}^\nu}{dt}$$

$$\text{Recall } \frac{dP_\mu}{dt} = q \frac{d\vec{x}}{dt} \vec{F}_{\mu\nu}$$

$$\text{so } -\frac{1}{c^2} \int d^3x \vec{F}_{\mu\nu} F^\nu = -\frac{1}{c} \frac{dP_\mu}{dt}$$

$$\Rightarrow \int d^3x \partial_\lambda T_{\mu}^{\text{ch}} + \frac{1}{c} \frac{dP_\mu}{dt} = 0$$

$$\Rightarrow \frac{d}{dt} \left( \frac{1}{c} \int_V d^3x T^{\mu\nu} + \frac{1}{c} P^\mu \right) = - \oint_S \tau^{in} n^i ds$$

The  $\mu=0$  component is a) before:  $T^{00} = \frac{v}{c}$ ,  $\tau^{0i} = \frac{1}{c^2} S^i$

so, as before, we have

$$\frac{d}{dt} \left( \int_V v + c\rho^0 \right) = - \oint_S \vec{S} \cdot \vec{n} \text{ area element (lowercase)} \\ \text{Poynting vector (uppercase)} \rightarrow \text{Sorry!}$$

That is  $\frac{d}{dt} (\text{Total energy}) = (\text{energy flow into volume } V \text{ through } \partial V \text{ per unit time}).$

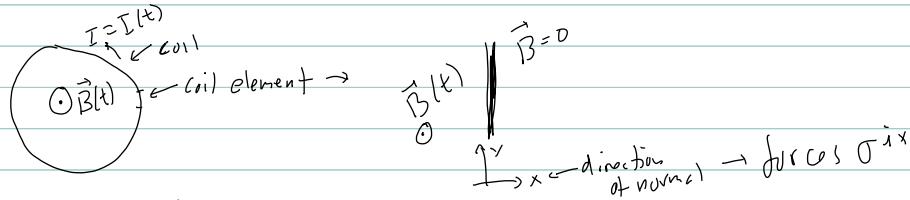
For  $\mu=i$

$$\frac{d}{dt} \left( \frac{1}{c} \int_V g^i + \frac{1}{c} p^i \right) = - \oint_S \frac{1}{c} \sigma^{ij} n^j ds$$

$$\text{or } \frac{d}{dt} \left( \int_V g^i + p_{\text{rest}}^i \right) = - \oint_S \sigma^{ij} n^j ds$$

$$\text{or } \frac{d}{dt} \left( \text{Total momentum} \right) = (\text{momentum flow into volume } V \text{ through } \partial V / \text{time})$$

Example:



$$\sigma_{xx} = \frac{1}{8\pi} (B_x^2 + 0 \dots) = \frac{1}{8\pi} B_x^2 = \frac{1}{8\pi} B^2$$

$$\sigma_{yx} = \frac{1}{8\pi} (B_x B_y + \dots) = 0$$

$$\sigma_{zx} = 0$$

So the force on the coil has magnitude  $\frac{D^2}{8\pi}$ , pointing radially outward.

(The force on the field,  $-\int \sigma \cdot \mathbf{n} ds$  points in, then Newton's 3rd gives force on coil).

Of course we can do  $|d\vec{F}| = |dq \vec{v} \times \vec{B}| = \epsilon IB dL$  over length  $dL$  of wire.

$$\text{Now Ampere's law: } \vec{\nabla} \times \vec{B} = \frac{4\pi}{c} \vec{J} \quad \int \vec{B} \cdot d\vec{l} = \frac{4\pi}{c} I \quad \text{or} \quad B = \frac{4\pi}{c} \frac{I}{2\pi R} = \frac{2I}{R}$$

$$\text{or } dF = \frac{IB}{2} dL = \frac{1}{c} \left( c \frac{IB}{2} \right) B \cdot dL = \left( 2\pi R dL \right) \frac{B^2}{4\pi} \quad \text{or} \quad \frac{F}{4\pi} = \frac{B^2}{4\pi}$$

Refs: Lechner, Chap 5  
 Jackson, 7.1-7  
 Liu, Chap 6, 9c-48  
 Chaichian; Chap 4

## EM waves

We consider time dependent (propagating) solutions of Maxwell's equations in free space (no sources).

Consider 1st the 4-vector potential. We have established that in free space

$$\partial^2 A_\mu + \partial_\mu (\partial_\nu A^\nu) = 0$$

We choose to impose the covariant gauge condition ("Lorentz gauge")  $\partial \cdot A = 0$   
 so that

$$\partial^2 A_\mu = 0 \quad (\text{wave equation})$$

### Plane-waves

A simple solution is

$$A_\mu(x) = a_\mu e^{-ik \cdot x} = a_\mu e^{i\vec{k} \cdot \vec{x} - i\omega x} = a_\mu e^{i\vec{k} \cdot \vec{x} - i\omega t} \quad k^\mu = (k^0, \vec{k}) = \left(\frac{\omega}{c}, \vec{k}\right)$$

where  $a_\mu$  and  $k_\mu$  are constants. Note that  $\partial^2 A_\mu = 0$  requires  $k^2 = 0$

$$\text{or } (k^0)^2 = \vec{k}^2 \Leftrightarrow \omega = \pm c |\vec{k}|$$

$A_\mu$  as written is complex. We always implicitly assume we take the real part:

$$A_\mu(x) = \text{Re}(a_\mu e^{-ik \cdot x}) = a_\mu e^{ik \cdot x} + a_\mu^* e^{-ik \cdot x}$$

Now, we insist that  $\partial_\mu A^\mu = 0 \Rightarrow \partial_\mu (a^\mu e^{ik \cdot x}) = -i k_\mu a^\mu e^{-ik \cdot x} = 0$

$$\Rightarrow k_\mu a^\mu = 0$$

For example, for wave propagation in  $x^3 = z$  direction  $k^\mu = \left(\frac{\omega}{c}, 0, 0, k\right) = k(1, 0, 0, 1)$   
 and  $k_\mu a^\mu = 0 \Rightarrow a^0 = a^3$

The  $\vec{E}$  field is  $F^{10} = \partial_1 A_0 - \partial_0 A_1 = -i(k_1 a_0 - k_0 a_1) e^{-ik \cdot x}$   
 or  $E^1 = F^{10} = -i(k^1 a^0 - k^0 a^1) e^{ik \cdot x}$

For this example  $k^\mu = k(1, 0, 0, 1)$  and  $a^3 = a^0$  so  $E^3 = -i(k^3 a^0 - k^0 a^3) = 0$

$$\text{and } E^{1,2} = -i(k^{1,2} a^0 - k^0 a^{1,2}) e^{-ik \cdot x} = i k a^{1,2} e^{-ik \cdot x}$$

$$\text{Also } B^3 = -F^{12} = i(k^1 a^2 - a^2 k^1) e^{-ik \cdot x} = 0 \quad B^1 = -F^{23} = i(k^2 a^3 - k^3 a^2) e^{-ik \cdot x} = -i k a^2 e^{-ik \cdot x}$$

$$\text{and } B^2 = -F^{31} = i(k^3 a^1 - k^1 a^3) e^{-ik \cdot x} = i k a^1 e^{-ik \cdot x}$$

Note that  $B^2 = E^1$ ,  $B^1 = -E^2$ . So  $\vec{E} \perp \vec{B}$ ,  $|\vec{E}| = |\vec{B}|$  and both  $\perp \hat{k} : \vec{B} = \hat{k} \times \vec{E}$

$$\text{with } \hat{k} = \frac{\vec{k}}{|\vec{k}|}$$

Clearly only  $a_{1,2}$  have physical meaning. Why do we still have  $a^0 = a^3$  in  $A_\mu$ ?

Recall if  $A_\mu$  satisfies the covariant gauge condition  $\partial \cdot A = 0$   
we can still make a gauge transformation

$$A_\mu \rightarrow A'_\mu = A_\mu + \partial_\mu \lambda$$

with  $\partial \cdot A' = 0$  provided  $\partial^2 \lambda = 0$ . So we can add to our solution

$$\lambda(x) = i\lambda e^{-ikx} \rightarrow \partial_\mu \lambda = k_\mu \lambda e^{-ikx}$$

$$\text{so that } g'_\mu = g_\mu + k_\mu \lambda$$

$$\text{or } d'^\mu = (a^0 + k\lambda, a^1, a^2, a^3 + k\lambda)$$

and choosing  $\lambda = -a^0/k$  we obtain  $d'^\mu = (0, a^1, a^2, 0)$

So we may as well drop  $a^0 = a^3$ , and drop the prime.

It is convenient to define a unit vector  $\vec{e} = \frac{\vec{a}}{|\vec{a}|}$ . It satisfies  $\vec{k} \cdot \vec{e} = 0$ . Also  $e^m = (0, \vec{e})$  satisfies  $k \cdot e = 0$ ,  $e^2 = -1$

$\vec{e}$  is the "polarization" vector. We can write generally the equations found for the z-propagation example:

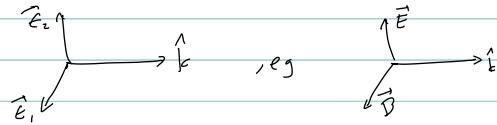
$$\vec{E} = E_0 \vec{e} e^{-ikx} \quad \vec{B} = \hat{k} \times \vec{E} \quad \vec{k} \cdot \vec{e} = 0 \quad \vec{e}^2 = 1$$

but now  $\vec{k}$  is arbitrary.

Plane polarized wave: there are two independent solutions  $\vec{e}_1, \vec{e}_2$  to  $\vec{k} \cdot \vec{e} = 0, \vec{e}^2 = 1$ . That is two unit vectors in the plane  $\perp$  to  $\vec{k}$ .

$$\text{E.g. for } \vec{k} = (0, 0, 1) \quad \vec{e}_1 = (1, 0, 0) \quad \vec{e}_2 = (0, 0, 1)$$

These, and only linear combination with relatively real coefficients are plane polarized waves



At a fixed point in space,  $\vec{E} = (\underbrace{E e^{i\vec{k}\vec{x}}}_{\text{fixed}}) \vec{e} e^{-i\omega t}$  oscillates along  $\vec{e}$ :



For general  $\vec{k}$  direction, choose a vector  $\vec{e}_1$  s.t.  $e_1^2 = 1$  &  $\vec{k} \cdot \vec{e}_1 = 0$ . Then take  $\vec{e}_2 = \vec{k} \times \vec{e}_1$

Circular Polarization: In terms of  $\vec{E}_{1,2}$  above, let

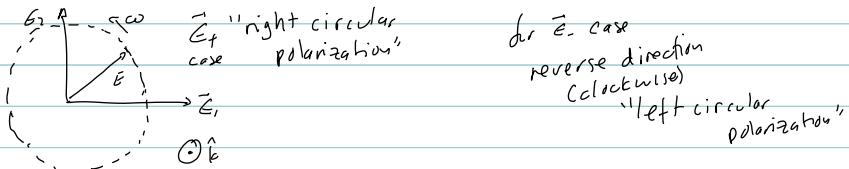
$$\vec{E}_\pm = \frac{\vec{E}_1 \pm i \vec{E}_2}{\sqrt{2}}$$

Then  $\vec{E}_+ \cdot \vec{E}_+^* = \vec{E}_- \cdot \vec{E}_-^* = 1$  and  $\vec{E}_+ \cdot \vec{E}_-^* = 0$ , and  $\vec{E}_-^* = \vec{E}_+$ .

Now  $\vec{E}(x,t) = E \vec{E}_+ e^{i \vec{k} \cdot \vec{x} - i \omega t}$

as seen at fixed point is a vector  $\vec{E}$  of fixed magnitude rotating with angular speed  $\omega$  in the  $\vec{E}_1$ - $\vec{E}_2$  plane

with  $E = |E| e^{i\phi}$   $Re \vec{E} = |E| \left( \vec{E}_1 \cos(\omega t - i \vec{k} \cdot \vec{x} - \phi) + \vec{E}_2 \sin(\omega t - i \vec{k} \cdot \vec{x} - \phi) \right)$



Elliptical polarization is a simple extension:  $\vec{E} = (E_1 \vec{e}_1 + i E_2 \vec{e}_2) e^{i \vec{k} \cdot \vec{x} - i \omega t}$  with  $E_1/E_2 = \tan \theta$ . The  $E_1 = E_2$  case is circular.

Exercise: for  $\hat{k} = \hat{z}$ ,  $\vec{E}_1 = \hat{x}$  verify that  $\frac{(E(x,t))^2}{E_1^2} + \frac{(E^*(x,t))^2}{E_2^2} = 1$  which describes an ellipse.

## Energy

Lockner: Chap 5  
L & L 48  
Jackson: 7.1 (partly)

Since  $\vec{B} = \hat{k} \times \vec{E}$  we can get immediately

$$U = \frac{1}{8\pi} (E^2 + B^2) = \frac{1}{4\pi} E^2 \quad \text{energy density}$$

$$\vec{S} = \frac{c}{4\pi} (\vec{E} \times \vec{B}) = \frac{c}{4\pi} E^2 \hat{k} = c u \hat{k} \quad \text{Poynting vector (energy flux)}$$

The momentum density  $\vec{g}$  is related to the energy density (for plane waves!)

$$\vec{g} = \frac{1}{c} \vec{S} = \frac{u}{c} \hat{k}$$

The relation  $U = gc$  parallels  $E_{kin} = \sqrt{(\vec{p}c)^2 + (mc^2)^2} \rightarrow p/c$  for the massless particle case. Such particle can move only at the speed of light, since

$$E_{kin} = \frac{mc^2}{\sqrt{1-v/c^2}}, \quad \vec{p} = \frac{m\vec{v}}{\sqrt{1-v/c^2}}$$

can stay non-zero as  $m \rightarrow 0$  if we take  $\vec{v} \rightarrow c$  simultaneously

So  $\vec{g} = \frac{u}{c} \hat{k}$  can be interpreted as the momentum and energy made up of many non-interacting massless particles, all propagating at the common speed  $c$ . This interpretation is fleshed out in QM-version, the particles = photons.

$$\text{Finally, } \sigma_i = -\frac{i}{4\pi} (E^i \dot{E}^j + B^i \dot{B}^j - \frac{1}{2} \delta^{ij} / (E^2 + B^2))$$

For  $\hat{k} = \hat{z}$  clearly vanishes unless  $i=j=3=2$  for which  $\sigma_{33} = \frac{1}{8\pi} (E^2 + B^2) = U$

Recall this is force on surface  $\perp$  to "3" (re to  $\hat{k}$ ) along "3": if we put a perfectly absorbing plane parallel to  $\vec{E}, \vec{B}$  plane, it will experience force/area =  $U$  = radiation pressure

## Time-averages

For most cases of interest  $\omega$  is such a short time that measurements of energy density and other such quantities automatically average over many cycles. Then we really care about the average quantities:

$$\bar{f} = \frac{1}{T} \int_0^T dt f(t) \quad \text{where } T \text{ is either exactly one period } \frac{2\pi}{\omega}, \text{ or it's large } T \gg \frac{2\pi}{\omega}$$

Then if  $\vec{E}_0 = (\vec{E}_0(x) e^{-i\omega t} + c.c.)$ , we have  $\bar{U} = \frac{1}{4\pi} \bar{E}^2$  and  $\bar{E}^2 = \frac{1}{4} \vec{E}_0^2 e^{-2i\omega t} + \frac{1}{4} \vec{E}_0^* e^{2i\omega t} + \frac{1}{2} \vec{E}_0 \cdot \vec{E}_0^*$  so

that  $\bar{E}^2 = \frac{1}{2} \vec{E}_0 \cdot \vec{E}_0^*$  and  $U = \frac{1}{8\pi} \vec{E}_0 \cdot \vec{E}_0^*$  (The normalization is arbitrary: we could have chosen  $\vec{E} = \frac{1}{\sqrt{2}} \vec{E}_0(\omega) e^{-i\omega t} + c.c.$ ).

Notes:

1. The waves above  $\propto e^{-ikx}$  are monochromatic. Linearity of wave-equation means linear combinations are still solutions. Most general

$$A_n(x) = \int \frac{d^3k}{(2\pi)^3} (g_n(k) e^{-ikx} + c.c.) \quad (\text{"c.c."} = \text{Complex conjugate})$$

with  $k^0 = |\vec{k}|$  and  $\vec{k} \cdot \vec{a} = 0$ . One may shift  $q_n \rightarrow q_n + \lambda k_m$  freely, in particular to choose to make  $a_0 = 0$ , just as above. It is customary to expand in the two independent polarization vectors  $E_m^{(i)}$ , thus

$$A_n(x) = \sum_{i=1}^2 \int \frac{d^3k}{(2\pi)^3} (a_i^{(i)}(k) E_m^{(i)}(k) e^{-ikx} + c.c.)$$

(In fact, for second quantization this is the starting point, except that there usually

$$A_n(x) = \sum_{i=1}^2 \int \frac{d^3k}{2E(2\pi)^3} (a_i^{(i)}(k) E_m^{(i)}(k) e^{-ikx} + c.c.)$$

The factor of  $2E$  is for Lorentz invariance of  $\frac{d^3k}{E}$ . To see this, recall  $k^0 = |\vec{k}|$ )

$$\text{so } \int d^4k \delta(k^2) \delta(k^0) = \int \frac{d^3k}{2E}.$$

2. One can work directly from Maxwell's equations and get  $\vec{E} \times \vec{B}$  waves: probably done in your UT course, but see next page.

3. For complex vectors, the magnitude is  $\vec{a} \cdot \vec{a}^*$  or  $g_m a_m^*$ . Beware of metric.

$$E_m E_m^* = -1$$

## Waves in media; reflection and refraction at interfaces; polarization at interfaces.

We will study E.M. of/in media next quarter. For now we draw from previous knowledge. While we could still use a vector potential — since the homogeneous Maxwell equations are unchanged — the presence of a medium in which  $\vec{E}$  and  $\vec{B}$  propagate breaks Lorentz invariance — it defines a preferred frame.

We introduce dimensionless permittivity  $\epsilon$  ( $\tilde{D} = \epsilon \vec{E}$ ) and permeability ( $\tilde{B} = \mu \vec{H}$ ) so that the source free Maxwell equations read

$$\begin{aligned} \nabla \cdot \vec{E} &= 0 \quad (\text{eq 1}) \\ \frac{1}{\mu} \nabla \times \vec{B} - \frac{1}{c} \epsilon \frac{\partial \vec{E}}{\partial t} &= 0 \quad (\text{eq 2}) \end{aligned} \quad \text{and} \quad \begin{aligned} \nabla \times \vec{E} + \frac{1}{c} \frac{\partial \vec{B}}{\partial t} &= 0 \quad (\text{eq 3}) \\ \vec{E} \cdot \vec{B} &= 0 \quad (\text{eq 4}) \end{aligned}$$

$$\begin{aligned} \text{Taking } \nabla \times \text{ of (3)} \text{ and using } [\nabla \times (\nabla \times \vec{a})]^i &= \epsilon^{ijk} \partial_j \epsilon^{kmn} \partial_m a^n \\ &= (\delta^{im} \delta^{jn} - \delta^{in} \delta^{jm}) \partial_j \partial_m a^n \\ &= [\nabla^2 (\vec{a} \cdot \vec{a}) - \nabla^2 \vec{a}]^i \end{aligned}$$

we get

$$\vec{a} (\nabla \cdot \vec{E}) - \nabla^2 \vec{E} + \frac{1}{c} \frac{\partial}{\partial t} (\vec{a} \times \vec{B}) = 0$$

and using (2) in this,  $\nabla \times \vec{B} = \frac{\mu \epsilon}{c^2} \frac{\partial \vec{E}}{\partial t}$ , and (1) ( $\nabla \cdot \vec{E} = 0$ ), obtain

$$\frac{\mu \epsilon}{c^2} \frac{\partial^2 \vec{E}}{\partial t^2} - \nabla^2 \vec{E} = 0$$

This is the wave equation with velocity  $v^2 = \frac{c^2}{\mu \epsilon}$ . With  $n^2 = \mu \epsilon$ , this is  $v = \frac{c}{n}$ .  $n$ , we will see, is the index of refraction of the medium.

Similarly, taking  $\nabla \times$  (eq (2))

$$\vec{a} (\nabla \cdot \vec{B}) - \nabla^2 \vec{B} - \frac{\mu \epsilon}{c^2} \frac{\partial}{\partial t} \nabla \times \vec{E} = 0$$

and using (4) and (3)

$$\Rightarrow \frac{1}{v^2} \frac{\partial^2 \vec{B}}{\partial t^2} - \nabla^2 \vec{B} = 0$$

again the wave equation with velocity  $v = \frac{c}{n}$ .

Plane wave solutions are as before,

$$\vec{E}(x, t) = \vec{E}_0 e^{i(\vec{k} \cdot \vec{x} - \omega t)} + \text{c.c.}, \quad \vec{B}(x, t) = \vec{B}_0 e^{i(\vec{k}' \cdot \vec{x} - \omega t)} + \text{c.c.}$$

$$\text{where } \vec{k}^2 - \frac{\omega^2}{v^2} = 0 \quad \text{and} \quad \vec{k}'^2 - \frac{\omega^2}{v^2} = 0$$

These must satisfy (1)–(4).

$$(1) \rightarrow \nabla \cdot \vec{E} = 0 \Rightarrow \vec{k} \cdot \vec{E}_0 = 0 \quad (4) \nabla \cdot \vec{B} = 0 \Rightarrow \vec{k}' \cdot \vec{B}_0 = 0$$

$$(3) \quad \vec{\nabla} \times \vec{E} + \frac{1}{c} \frac{\partial \vec{E}}{\partial t} = 0 \Rightarrow \vec{k} \times \vec{E}_0 e^{i(\vec{k} \cdot \vec{x} - \omega t)} - \frac{\omega}{c} \vec{B}_0 e^{i(\vec{k} \cdot \vec{x} - \omega t)} = 0$$

which requires  $\vec{k}' = \vec{k}$ ,  $\omega' = \omega$  and  $\vec{k} \times \vec{E}_0 = \frac{\omega}{c} \vec{B}_0 = \frac{v}{c} |\vec{k}| \vec{B}_0 = \frac{1}{n} |\vec{k}| \vec{B}_0$  or

$$\vec{k} \times \vec{E}_0 = \frac{1}{n} \vec{B}_0$$

$$(4) \quad \vec{\nabla} \times \vec{B} - \frac{\mu_0}{c} \frac{\partial \vec{E}}{\partial t} = 0 \Rightarrow \vec{k} \times \vec{B}_0 + \frac{\mu_0}{c} \omega \vec{E}_0 = 0 \Rightarrow \vec{k} \times \vec{B}_0 = -n |\vec{k}| \vec{E}_0$$

$$\vec{k} \times \frac{\vec{B}_0}{n} = -\vec{E}_0$$

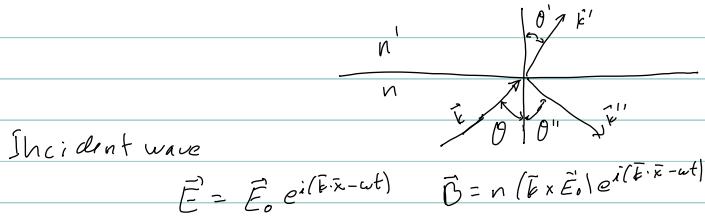
Summarizing,  $\vec{E}_0$ ,  $\vec{B}_0$  and  $\vec{k}$  are mutually perpendicular, with  $|\vec{B}_0| = n |\vec{E}_0|$  and  $\frac{\vec{B}_0}{n} = \hat{k} \times \vec{E}_0$

In terms of polarization vectors

$$\vec{E} = E_0 \vec{e} e^{i(\vec{k} \cdot \vec{x} - \omega t)} \quad \vec{B} = n E_0 (\hat{k} \times \vec{e}) e^{i(\vec{k} \cdot \vec{x} - \omega t)}$$

with  $\vec{e} \cdot \vec{e}^* = 1$  and  $\vec{k} \cdot \vec{e} = 0$ .

Two media and interface



Reflected wave

$$\vec{E}' = \vec{E}_0 e^{i(\vec{k}' \cdot \vec{x} - \omega' t)} \quad \vec{B}' = n' (\hat{k}' \times \vec{E}_0') e^{i(\vec{k}' \cdot \vec{x} - \omega' t)}$$

Reflected wave

$$\vec{E}'' = \vec{E}_0 e^{i(\vec{k}'' \cdot \vec{x} - \omega'' t)} \quad \vec{B}'' = n (\hat{k}'' \times \vec{E}_0'') e^{i(\vec{k}'' \cdot \vec{x} - \omega'' t)}$$

Let the boundary be the plane  $z=0$ . The fields  $\vec{E}$ ,  $\vec{B}$  are given by any of these at the boundary so we must have  $\omega = \omega' = \omega''$  for common time dependence. Note that this means

$$|\vec{k}''| = |\vec{k}| = \frac{\omega}{v} = \frac{\omega}{c} n \quad \text{and} \quad |\vec{k}'| = \frac{\omega}{v'} = \frac{\omega}{c} n' = \frac{n'}{n} |\vec{k}|$$

Moreover, at  $z=0$

$$\vec{k} \cdot \vec{x} = \vec{k}' \cdot \vec{x} = \vec{k}'' \cdot \vec{x}$$

That is, the projection on the xy plane is equal:

$$|\vec{k}| \sin \theta = |\vec{k}'| \sin \theta' = |\vec{k}''| \sin \theta''$$

$$\text{It follows that } \theta'' = \theta \quad \text{and} \quad \frac{\sin \theta'}{\sin \theta} = \frac{|\vec{k}'|}{|\vec{k}|} = \frac{n}{n'} \quad \text{or} \quad \boxed{n' \sin \theta' = n \sin \theta}$$

Snell's Law of refraction.

Polarization: There is additional information coded in the boundary conditions. For this we need

(i) Normal components of  $\vec{E} = \epsilon \vec{E}$  and  $\vec{B}$  are continuous (from  $\vec{\nabla} \cdot \vec{D} = 0$  &  $\vec{\nabla} \cdot \vec{B} = 0$ )

(ii) Tangential components of  $\vec{E}$  and  $\vec{H} = \mu \vec{B}$  are continuous (from  $\vec{\nabla} \times \vec{E}$ ,  $\vec{\nabla} \times \vec{H}$  eqs).

These will be derived when we study continuous media. For now, use them: let  $\hat{n}$  be normal to the boundary. Then

$$\hat{n} \cdot [c(\vec{E}_0 + \vec{E}'') - \epsilon' \vec{E}'] = 0 \quad (1)$$

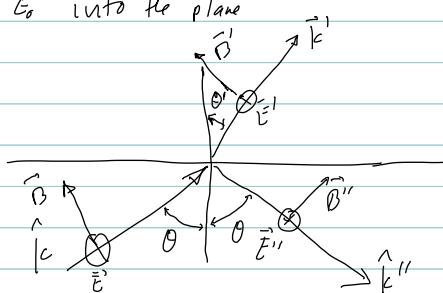
$$\hat{n} \cdot [n \hat{k} \times \vec{E}_0 + n \hat{k}'' \times \vec{E}'' - n' \hat{k}' \times \vec{E}'] = 0 \quad (2)$$

$$\hat{n} \times [\vec{E}_0 + \vec{E}'' - \vec{E}'] = 0 \quad (3)$$

$$\hat{n} \times [n \hat{k} \times \vec{E}_0 + n' \hat{k}'' \times \vec{E}'' - n' \hat{k}' \times \vec{E}'] = 0 \quad (4)$$

Two cases (the general one is a linear combination of those):

(i) Polarization vector  $\vec{E}_0$  of incident wave (linearly polarized) perpendicular to plane of incidence that is plane of  $\vec{k}$  and  $\hat{n}$ : take  $\vec{E}_0$  into the plane



We have 1+1+2+2 equations for 3+3 unknowns ( $\vec{E}_0, \vec{E}_0'', \vec{E}'$ ): the system is overdetermined. We look for solutions with the polarizations all into the plane (we could divide this). Then

$$(3) \Rightarrow \vec{E}_0 + \vec{E}_0'' - \vec{E}' = 0$$

$$(4) \Rightarrow \frac{n}{\mu} (\vec{E}_0 - \vec{E}_0'') \cos \theta - \frac{n'}{\mu'} (\vec{E}_0 + \vec{E}_0'') \cos \theta' = 0$$

Solving for  $\vec{E}_0'$  &  $\vec{E}_0''$

$$\text{from (3)} \quad \vec{E}_0' = \vec{E}_0 + \vec{E}_0'' \quad \text{from (4)} \quad \frac{n}{\mu} (\vec{E}_0 - \vec{E}_0'') \cos \theta - \frac{n'}{\mu'} (\vec{E}_0 + \vec{E}_0'') \cos \theta' = 0$$

$$\Rightarrow \frac{\vec{E}_0''}{\vec{E}_0} \left( \frac{n}{\mu} \cos \theta + \frac{n'}{\mu'} \cos \theta' \right) = \frac{n}{\mu} \cos \theta - \frac{n'}{\mu'} \cos \theta' \Rightarrow \begin{cases} \frac{\vec{E}_0''}{\vec{E}_0} = \frac{\frac{n}{\mu} \cos \theta - \frac{n'}{\mu'} \cos \theta'}{\frac{n}{\mu} \cos \theta + \frac{n'}{\mu'} \cos \theta'} \\ \vec{E}_0 \perp \text{to } \hat{n}, \hat{k} \text{ plane} \end{cases}$$

$$\text{Then } \frac{\vec{E}_0'}{\vec{E}_0} = 1 + \frac{\vec{E}_0''}{\vec{E}_0} = \frac{2 \frac{n}{\mu} \cos \theta}{\frac{n}{\mu} \cos \theta + \frac{n'}{\mu'} \cos \theta'}$$

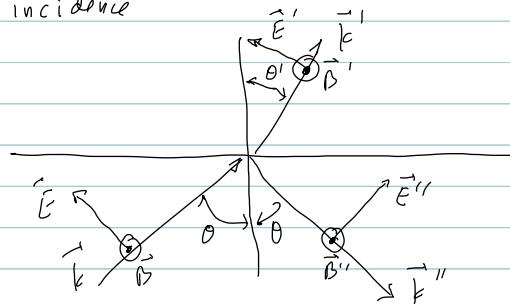
One often writes  $\cos r = \sqrt{1 - \sin^2 \theta} = \sqrt{1 - \frac{n^2}{n'^2} \sin^2 \theta} = \frac{1}{n'} \sqrt{n^2 - n'^2 \sin^2 \theta}$  so that it is all expressed in terms of incident data.

What about (ii) ?

$$\vec{B} \perp \vec{E}_0 \quad \text{so } \vec{n} \cdot \vec{B} = \sin i \Rightarrow n(E_0 + E_0'') \sin \theta - n'E_0' \sin \theta' = 0$$

$$\Rightarrow n \sin i = n' \sin r \quad \text{Snell's Law again}$$

(ii)  $\vec{E}_0$  parallel to plane of incidence



Now (3) and (4) give

$$(E_0 - E_0'') \cos \theta - E_0' \cos \theta' = 0$$

$$\frac{n}{n'} (E_0 + E_0'') - \frac{n'}{n} E_0' = 0$$

Algebra: (iii)  $E_0' = \frac{n'}{n} (E_0 + E_0'')$  in (3) gives

$$(E_0 - E_0'') \cos \theta - \frac{n'}{n} (E_0 + E_0'') \cos \theta' = 0 \Rightarrow$$

$$\text{Then } \frac{E_0'}{E_0} = \frac{n'}{n} \left( 1 + \frac{E_0''}{E_0} \right)$$

$$\boxed{\begin{aligned} \frac{E_0'}{E_0} &= \frac{\cos \theta - \frac{n'}{n} \cos \theta'}{\cos \theta + \frac{n'}{n} \cos \theta'} \\ \frac{E_0'}{E_0} &= \frac{2 \frac{n'}{n} \cos \theta}{\cos \theta + \frac{n'}{n} \cos \theta'} \end{aligned}} \quad \vec{E}_0 \parallel \vec{k} \text{ in plane}$$

[Jackson: 7.4]

Brewster angle: in this case the reflected wave vanishes for  $\cos \theta_B = \frac{n'}{n} \cos \theta_B' = \frac{n'}{n} \sqrt{1 - \frac{n^2}{n'} \sin^2 \theta_B}$

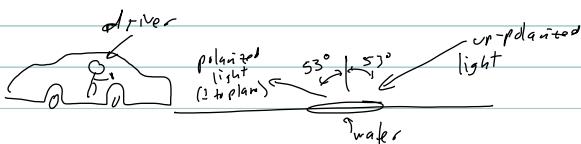
Consider simpler (but often found) case  $n'/n = 1$ , Then

$$\left( \frac{n'}{n} \cos \theta_B \right)^2 = 1 - \frac{n^2}{n'} \sin^2 \theta_B = 1 - \frac{n^2}{n'^2} + \frac{n^2}{n'^2} \cos^2 \theta_B \Rightarrow \cos^2 \theta_B = \frac{1 - n^2/n'^2}{n'^2 - n^2/n'^2} = \frac{1 - n^2/n'^2 + n^2/n'^2 - n^2/n'^2}{n^2/n'^2 - n^2/n'^2} = 1 - \frac{n^2/n'^2 - 1}{n^2/n'^2 - n^2/n'^2} = 1 - \frac{n^2/n'^2 - 1}{n^2/n'^2 - n^2/n'^2} = 1 - \sin^2 \theta_B$$

$$\tan^2 \theta_B = \frac{n^2/n'^2 - 1}{1 - n^2/n'^2} = \left( \frac{n'}{n} \right)^2$$

$$\boxed{\theta_B = \tan^{-1} \left( \frac{n'}{n} \right)}$$

For water  $n = 1.33$  and air  $n = 1.00$ ,  $\theta_B = 0.93$  or  $53^\circ$ .



## Total internal reflection.

You know from elementary courses that for (using Snell's Law)

$$\sin \theta' = \frac{n}{n'} \sin \theta > 1 \quad \text{critical angle } \sin \theta_r = \frac{n'}{n}$$

There is no refracted wave. This is the phenomenon of "total internal reflection". We can understand some aspects of this in more detail with the tools developed.

The refracted wave is  $\vec{E}' = \vec{E}_0 e^{i(\vec{k}' \cdot \vec{x} - \omega t)}$

$$\text{Now } \vec{k}' \cdot \vec{x} = |\vec{k}'| (x \cos \theta' + z \sin \theta')$$

$$\text{with } \cos \theta' = \sqrt{1 - \sin^2 \theta'} = i \sqrt{\sin^2 \theta' - 1} = i \sqrt{\left(\frac{\sin \theta}{\sin \theta_r}\right)^2 - 1}$$

$$\text{so } e^{i \vec{k}' \cdot \vec{x}} = e^{-|\vec{k}'| \sqrt{\left(\frac{\sin \theta}{\sin \theta_r}\right)^2 - 1} z} e^{i |\vec{k}'| z \frac{\sin \theta}{\sin \theta_r}}$$

the wave is exponentially damped into the interior of the medium  $n'$ .

There is no energy flux into  $n'$ :  $\vec{S} \propto \vec{E} \times \vec{B}$  (actually  $\vec{E} \times \vec{H} = \vec{E} \times \vec{B}$ )

So

$$\begin{aligned} \hat{n} \cdot \vec{S} &\propto \hat{n} \cdot (\vec{E}' \times \vec{B}'^*) + \text{c.c.} \propto 2 \operatorname{Re} [\hat{n} \cdot \vec{E}' \times (\vec{k}' \times \vec{E}_0^*)] = 2 \operatorname{Re} [\hat{n} \cdot (\hat{k}' |\vec{E}_0|^2 - \vec{E}' (\vec{k}' \cdot \vec{E}_0^*))] \\ &= 2 \operatorname{Re} (\hat{n} \cdot \hat{k}') |\vec{E}_0'|^2 \end{aligned}$$

But  $\hat{n} \cdot \hat{k}' = \cos \theta'$  is purely imaginary for  $\theta > \theta_r \Rightarrow \underline{\hat{n} \cdot \vec{S} = 0}$

Note also that  $|\vec{E}_0'|/|\vec{E}_0| = 1$  for each polarization, e.g., for  $\vec{E}_0 \perp$  to plane of incidence

$$\frac{\vec{E}_0'}{\vec{E}_0} = \frac{\frac{n}{n'} \cos \theta - \frac{n'}{n} i \sin \theta}{\frac{n}{n'} \cos \theta + \frac{n'}{n} i \sin \theta}$$

The reflected wave has same intensity, but experiences a phase shift. The phase shift is different for each of the two plane polarizations, so this can be used to polarize waves.

### Degree of Polarization

(See time averages, p. 4 of Note notes)

For  $\vec{E} = \vec{E}_0 e^{i(\vec{k} \cdot \vec{x} - \omega t)} + c.c.$

The polarization tensor  $\rho_{ij}$  is defined by

$$\rho_{ij} \equiv \frac{E_{oi} E_{oj}^*}{|\vec{E}_0|^2}$$

The rank of this matrix is 2, since  $\vec{E}_0$  has  $\vec{k} \cdot \vec{E}_0 = 0$ . Let's specify  $\vec{k} = \hat{z}$  so that we can write  $\rho$  as a  $2 \times 2$  matrix. Note that

$$\text{Tr } \rho = 1, \quad \rho^+ = \rho \text{ (hermitian).} \quad (\text{A})$$

$$\text{and } \det \rho = 0 \quad (\text{B})$$

For linear polarization, e.g.  $E_{oy} = 0$  or  $E_{ox} = 0$  we have  $\rho = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$  or  $\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$ .

For  $\vec{E}_0 = E_0 \vec{E}_\pm = \frac{1}{\sqrt{2}} E_0 (\hat{x} \pm i\hat{y})$

$$\rho = \frac{1}{2} \begin{pmatrix} 1 & \mp i \\ \mp i & 1 \end{pmatrix}$$

Realistic light is not exactly monochromatic: consists of a superposition of frequencies  $\omega \pm \Delta\omega$  with  $\Delta\omega \ll \omega$ . For example,

$$\int_{-\infty}^{\infty} d\omega' e^{-i\omega t} e^{-(\omega' - \omega)^2 / 2(\Delta\omega)^2} = e^{i\omega t} \int_{-\infty}^{\infty} d\omega' e^{-i\omega' t} e^{-\omega'^2 / 2(\Delta\omega)^2}$$

Completing the squares  $\frac{\omega'^2}{2(\Delta\omega)^2} + i\omega' t = \frac{1}{2(\Delta\omega)^2} (\omega' + i(\Delta\omega)^2 t)^2 + \frac{(\Delta\omega)^2 t^2}{2} \Rightarrow \propto \underbrace{e^{-i\omega t}}_{\text{fast}} \underbrace{e^{-\frac{(\Delta\omega)^2 t^2}{2}}}_{\text{slow}}$

At one point in space this is a wave packet that moves through the point.

We can generally write (at one point in space)

$$\vec{E}(t) = \vec{E}_0(t) e^{-i\omega t} \quad \text{with } \left| \frac{d\vec{E}_0}{dt} \right| \sim \Delta\omega |\vec{E}_0|, \text{ i.e., } \vec{E}_0 \text{ varies slowly}$$

$\rho$  is more generally defined as

$$\rho_{ij} = \frac{\overline{E_{oi} E_{oj}^*}}{|\vec{E}_0|^2} \quad \text{where } \overline{f(t)} = \frac{1}{T} \int_0^T dt f(t) \quad \text{the time average}$$

For example, above, with  $T = \frac{2\pi}{\omega}$

$$\frac{1}{T} \int_0^T e^{-i\omega t - \frac{(\Delta\omega)^2 t^2}{2}} dt = \frac{1}{T} \int_0^T e^{-i\omega t} \left( 1 - \frac{(\Delta\omega)^2 t^2}{2} + \dots \right) dt = 0 - \frac{(\Delta\omega)^2}{\omega^2} + i\pi \frac{(\Delta\omega)^2}{\omega^2} + O\left(\frac{(\Delta\omega)^4}{\omega^4}\right)$$

$$\text{where we used } \int dt e^{-i\omega t} t^2 = \left( \frac{1}{i} \frac{\partial}{\partial \omega} \right)^2 \int dt e^{-i\omega t} = -\frac{\partial}{\partial \omega} \left( \frac{1}{i} \frac{\partial}{\partial \omega} \right) = -i \frac{\partial}{\partial \omega} \left[ e^{-i\omega t} \left( -\frac{1}{\omega} - \frac{1}{\omega} t \right) \right] = i e^{i\omega t} \left[ \frac{1}{\omega^3} - \frac{2t}{\omega^3} - \frac{1}{\omega} \right]$$

Now the conditions (A) still hold, but (B) does not hold generally.

$\det p = 0$  is the condition for complete polarization. It is necessary and sufficient.

$$(\vec{E}_o^2)^2 \det p = \overline{E_{ox} E_{ox}} \overline{E_{oy} E_{oy}^*} - \overline{E_{ox} E_{oy}^*} \overline{E_{oy} E_{ox}^*}$$

Schwarz inequality:  $a, b$  functions of time,  $\lambda$  a parameter,

$$\overline{|a + \lambda b|^2} \geq 0 \Rightarrow \overline{|a|^2} + |\lambda| \overline{|b|^2} + \lambda^* \overline{ab} + \overline{a^*b} \geq 0 \quad \text{any } \lambda$$

$$\text{with } \lambda = -\overline{ab^*}/\overline{|b|^2} \Rightarrow \overline{|a|^2} \overline{|b|^2} \geq \overline{ab^*} \overline{a^*b}$$

and the equality is only if  $a + \lambda b = 0$  or  $a(t) = \lambda b(t)$  ( $\lambda$  is time independent).

So  $\det p \geq 0$  with  $\det p = 0$  only for  $E_{ox} + \lambda E_{oy} = 0$ , i.e.  $E_{oy}$  proportional to  $E_{ox}$  with constant (in time) proportionality constant: for  $\lambda$  real this is plane polarization for  $\lambda = \pm i$ , circular polarization, others  $\rightarrow$  elliptical.

### Stoke Parameters

(There are many definitions, I choose one).

$$\text{with } \vec{\sigma} \text{ the Pauli matrices } \sigma^1 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \text{ and } \mathbb{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

any  $2 \times 2$  hermitian matrix

$$p = \frac{1}{2} a_0 \mathbb{1} + \frac{1}{2} \vec{a} \cdot \vec{\sigma} \quad . \quad \text{Tr } p = 1 \Rightarrow a_0 = 1$$

$a_1, a_2, a_3$  are "Stoke parameters".

$$\det p = \det \frac{1}{2} \begin{pmatrix} 1+a_3 & a_1-i a_2 \\ a_1+i a_2 & 1-a_3 \end{pmatrix} = \frac{1}{4} (1-\vec{a}^2) \geq 0 \Rightarrow \vec{a}^2 \leq 1$$

The degree of Polarization  $P \equiv a_1^2 + a_2^2 + a_3^2$ , here  $P \leq 1$ , with  $P=1$  for complete polarization.

Additionally

(i) For  $E_{oy}=0$  ( $\vec{E}_o \propto \hat{x}$ )  $a_3=1$ ; more generally  $p$  is normalized to  $\overline{|\vec{E}|^2} \propto \overline{u} \propto \overline{I^3}$ , i.e., beam intensity, so  $\frac{1}{2}(1+a_3)=P_{xx}$  is the fraction of intensity that passes through an  $\hat{x}$ -polarization filter,  $a_1 \frac{1}{2}(1-a_3)=P_{yy}$  through a  $\hat{y}$ -polarizer

(ii) Similarly  $\frac{1}{2}(1+a_1)$  = fraction of intensity through  $\hat{x}+\hat{y}$  filter

(iii) Setting  $\vec{E}_o = \vec{E}_o \vec{E}_+^*$  gives  $a_2=1$ :  $\frac{1}{2}(1+a_2)$  fraction of intensity that passes through right circular polarizer.

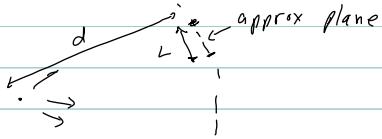
Also useful

$$a_L = \sqrt{a_1^2 + a_3^2} = \text{degree of linear polarization}$$

$$a_C = a_2 = \text{--- circular ---}$$

## Eikonal Approximation

For non-plane waves (maybe spherical waves) we expect that at a distance  $d$  from sources, and over a region of size  $L$ , if  $d \gg L$  (and  $L \gg \lambda$ ) the wave is approximately a plane wave



The Eikonal approximation formalizes this, and is the basis for geometrical optics.  
Take

$$\vec{E} = \vec{E}_0(\vec{x}) e^{ik\psi(\vec{x}) - ikx^0}$$

$$\vec{B} = \vec{B}_0(\vec{x}) e^{ik\psi(\vec{x}) - ikx^0}$$

We are assuming still monochromatic waves, with  $k = 2\pi/\lambda$ . But now what used to be  $\exp(ikz) = \exp(i\hat{n} \cdot \vec{x})$  with  $\hat{n}$  giving the direction of propagation, became a function  $\psi(\vec{x})$ . Now we expect  $\psi$  to be like  $\hat{n} \cdot \vec{x}$  with  $\hat{n}$  varying as in

$$\text{so } \Delta\psi \sim \frac{1}{d}\psi \text{ or } \frac{\Delta\psi}{\Delta L} \sim \frac{1}{d}\psi \sim \frac{1}{d} \text{ (like } \hat{n} \text{).}$$

That  $\vec{E}_0$  and  $\vec{B}_0$  have  $\vec{x}$  dependence is also clear, since the polarization must change. But let's just follow the mech. Let's use the ansatz in Maxwell's equations for  $A_\mu$ :

$$A_\mu = a_\mu e^{ik\psi - ikx^0} \quad a_\mu = a_\mu(\vec{x}) \quad \psi = \psi(\vec{x})$$

$$d_\mu A^\mu = 0 \quad \partial_\mu A^\mu + ik(\partial_\mu \psi - \delta_\mu^\circ) A^\mu = 0 \Rightarrow \vec{\nabla} \cdot \vec{a} + ik(\vec{\nabla} \psi \cdot \vec{a} - a^0) = 0$$

and

$$\partial^\mu A_\mu = 0 \Rightarrow -\vec{\nabla}^2 a_\mu - 2ik\vec{\nabla} a_\mu \cdot \vec{\nabla} \psi + a_\mu (k^2 (\vec{\nabla} \psi)^2 - ik\nabla^2 \psi) - k^2 a_\mu = 0$$

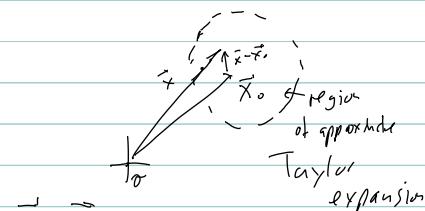
Now  $k \sim \frac{2\pi}{\lambda} \gg \vec{\nabla}$  for any of these. So we must have

$$(\partial_\mu A^\mu = 0) \rightarrow a^0 = \vec{\nabla} \psi \cdot \vec{a} \quad \text{and} \quad (\partial^\mu A_\mu = 0) \quad (\vec{\nabla} \psi)^2 = 1$$

We still can reduce  $A_\mu \rightarrow A_\mu + \partial_\mu \bar{\Phi}$  with  $\partial^\mu \bar{\Phi} = 0$ . Taking  $\bar{\Phi} = \phi(\vec{x}) e^{ik(4-x^0)}$   
 $A_\mu \rightarrow A_\mu + (\partial_\mu \phi + ik(\partial_\mu \psi - \delta_\mu^\circ) \phi) e^{ik(4-x^0)}$ . In particular  $A_0 \rightarrow A_0 - ik\psi e^{ik(4-x^0)}$   
or  $a_0 \rightarrow a_0 - ik\psi \Rightarrow$  set  $a_0 = 0$

$$\text{Summary: } (\vec{\nabla}\psi)^2 = 1 \quad \vec{a} \cdot \vec{\nabla}\psi = 0 \quad a^0 = 0$$

Now  $\vec{\nabla}\psi$  is a unit vector  $\vec{\nabla}\psi = \hat{n}$  which depends on  $\vec{x}$ ,  $\hat{n} = \hat{n}(\vec{x})$ . Expanding  $\psi(\vec{x}) = \psi(\vec{x}_0) + (\vec{x} - \vec{x}_0) \cdot \vec{\nabla}\psi(\vec{x}_0) + \dots = \psi(\vec{x}_0) + \hat{n} \cdot (\vec{x} - \vec{x}_0) + \dots = a + \hat{n} \cdot (\vec{x} - \vec{x}_0) + \dots$  we see that the wave in the region around  $\vec{x}_0$  is



$$\vec{A} \approx \vec{a}(\vec{x}) e^{ik(\hat{n} \cdot \vec{x} - x^0) + i\omega t}$$

$$a^0 = 0 \quad \vec{a}(\vec{x}) \cdot \hat{n}(\vec{x}) = 0$$

$$\underline{\underline{E} \times \underline{\underline{B}}}: \\ \text{We have } \vec{E} = -\frac{\partial \vec{A}}{\partial x^0} = ik\vec{A} \equiv \vec{E}_0(\vec{x}) e^{ik(\psi - x^0)}$$

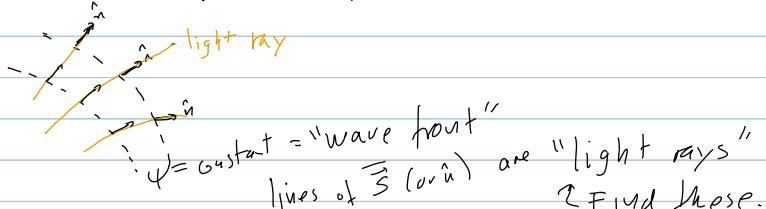
$$\text{and } \vec{B} = \vec{\nabla} \times \vec{A} = ik \hat{n} \times \vec{A} = \hat{n} \times \vec{E}$$

$$\bar{U} = \frac{1}{8\pi} \overline{(\vec{E}^2 + \vec{B}^2)} = \frac{1}{16\pi} (\vec{E}_0 \cdot \vec{E}_0^* + \vec{B}_0 \cdot \vec{B}_0^*) = \frac{1}{8\pi} |\vec{E}_0|^2$$

$$\bar{S} = \frac{c}{8\pi} (\vec{E}_0 \times \vec{B}_0^*) = \frac{c}{8\pi} \hat{n} |\vec{E}_0|^2 \quad \Rightarrow \quad \bar{S} = c \bar{U} \hat{n} \\ \text{or } \bar{U} = \frac{1}{c} \hat{n} \cdot \bar{S}$$

The interpretation of  $\hat{n} = \vec{\nabla}\psi$  is clear: it gives the direction of energy flow.

Note that  $\vec{\nabla}\psi$  is  $\perp$  to  $\psi = \text{constant}$ , so



Let light ray be  $\vec{x}(\lambda)$  with  $\lambda$  a parameter of the curve (much like  $x^0(\lambda)$  for world-line).

Then  $\frac{d\vec{x}}{d\lambda} \propto \hat{n}$  where  $\hat{n} = \hat{n}(\vec{x}(\lambda))$ . The proportionality is such that

$$\hat{n}^2 = 1 : \quad \frac{d\vec{x}}{d\lambda} = \left| \frac{d\vec{x}}{d\lambda} \right| \hat{n} . \quad \text{If } \lambda = s, \text{ the length along the trajectory} (ds^2 = d\vec{x} \cdot d\vec{x})$$

$$\text{then } \frac{d\vec{x}}{ds} = \hat{n} = \vec{\nabla}\psi$$

$$\text{But } \frac{d^2\vec{x}}{ds^2} = \frac{d}{ds} \vec{\nabla}\psi = \frac{d\vec{x}}{ds} \partial_s (\vec{\nabla}\psi) = \hat{n} \vec{\nabla}\hat{n}, \text{ but } \hat{n} \cdot \vec{\nabla}\hat{n} = \hat{n}^i \partial_i \hat{n}^j = \hat{n}^i \partial_i \psi = \hat{n}^i \partial_i \hat{n}^j = \frac{1}{2} \vec{\nabla} \cdot (\hat{n}^2) = \frac{1}{2} \vec{\nabla} \cdot (1) = 0$$

Acceleration = 0  $\Rightarrow$  constant velocity:  $\frac{d\vec{x}}{ds} = \text{constant} \Rightarrow \vec{r}(s) = \text{straight line}$   
 $\Rightarrow$  geometrical optics.

In a medium replace  $k^2 (\vec{\nabla} \psi)^2 = k^2 = \frac{\omega^2}{c^2}$  by  $k^2 (\vec{\nabla} \psi)^2 = \frac{\omega^2}{c^2} = n^2 k^2$

or  $(\vec{\nabla} \psi)^2 = n^2$ . If medium is not homogeneous  $n=n(\vec{x})$  and now rays satisfy

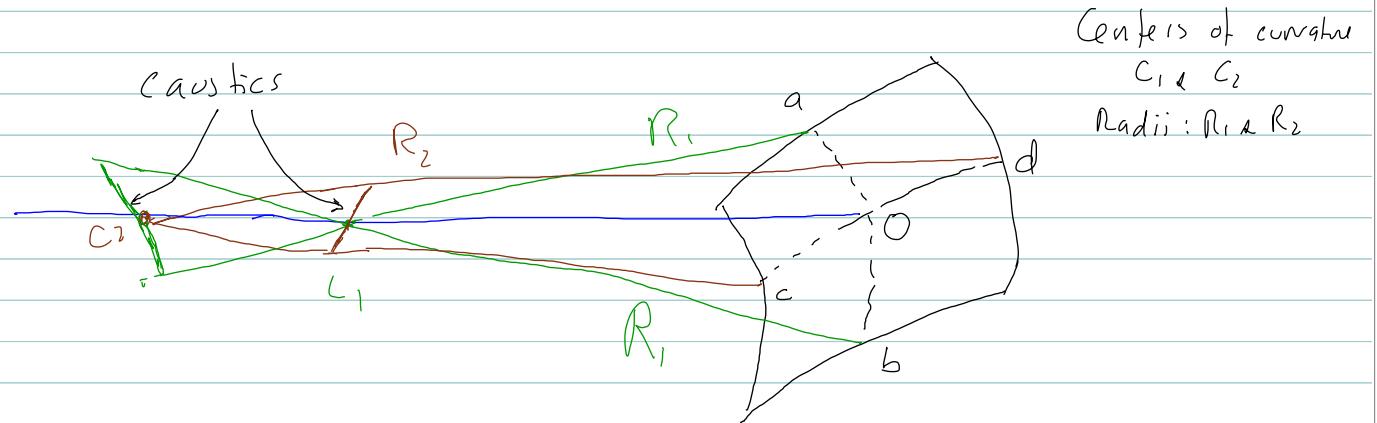
$$\frac{d\vec{r}}{ds} = \vec{\nabla} \psi \text{ with } |\frac{d\vec{r}}{ds}|^2 = n^2 \quad (\text{This "n" is index of refraction}).$$

Ref: L.L 54

Note that for  $n(\vec{x})=\text{constant}$  light rays are still straight. But they can curve for non-homogeneous ( $n \neq \text{constant}$ ) media.

Additional information is in  $\vec{a}$  (or  $\vec{E}_0 \times \vec{B}_0$ ): light is also characterized by intensity. One can derive equations for  $\vec{a}$  from next order in Eikonal expansion (so-called transport equations). We will settle for simple energy considerations (as done in most texts).

Consider wave-front  $\psi = \text{const}$ . Take a sufficiently small portion that we can characterize it by two principal sections of curvature:



With fixed angles from  $C_1$  &  $C_2$  we get as we vary  $\psi$  a collection of surface segments with area  $\propto R_1 R_2$ , all having the same light rays crossing which is equivalent to having constant  $\int \vec{s} ds$ . So the collection of surfaces has light intensity  $I_{R_1 R_2} = \text{constant}$  ( $I = \text{energy flux}$ ) or

$$I = \frac{\text{constant}}{R_1 R_2}$$

As the surfaces approach  $C_1 (C_2)$  the radius  $R_1 (R_2)$  shrinks to zero and the surface degenerates into a burning line or "caustic". If  $R_1 = R_2$  it's a single point, the "focal point".

We'll come back to optics when we study diffraction.

Jackson: Chap 8, Secs 2-4  
 Schwartz: Chap 9

## Wave guides and cavities

There are many practical uses for waves in regions of space bounded by conductors. The prime examples are

cylindrical wave guides:



symmetric under translation in  $\longleftrightarrow$  direction  
 (ie: constant cross section shape)

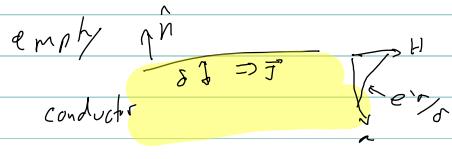
cylindrical cavities: as above with end caps.  
 (resonant "cavities")

We will assume perfect conductors. For these,  $\vec{E} = 0$  in the interior. Any excess charge resides on the surface. If the surface charge density is  $\sigma$  then

$$\text{ends } \vec{\nabla} \cdot \vec{D} = 4\pi\rho \Rightarrow \hat{n} \cdot \vec{D} = \sigma$$

$$\text{box } \int_V \vec{\nabla} \cdot \vec{D} = \int_V \hat{n} \cdot \vec{D} dV = 4\pi Q$$

In addition, one can show that harmonic ( $\propto e^{i\omega t}$ ) magnetic fields  $\vec{H}$  vanish inside the perfect conductor and for realistic conductors there is a "skin depth" over which the field exponentially dins



There is a current density  $\vec{J}$  to the depth  $\delta$  of the skin.

For perfect conductor  $\delta \rightarrow 0$  and  $\vec{J}$  becomes a surface current  $\vec{K}$ .

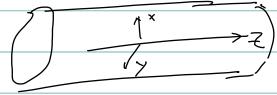
$$\text{The b.c. is } \hat{n} \times \vec{H} = \frac{4\pi}{c} \vec{K}$$

The homogeneous eqs give,  $\hat{n} \cdot (\vec{B}_{in} - \vec{B}_{out}) = 0$        $\hat{n} \times (\vec{E}_{in} - \vec{E}_{out}) = 0$   
 always. We take  $\vec{E}_{in} = 0 = \vec{B}_{in}$ , and drop the label:  $\hat{n} \cdot \vec{B} = 0$  and  $\hat{n} \times \vec{E} = 0$ .

Put z-axis along cylindrical axis. Look for solutions to source free Maxwell equations of the form

$$\vec{E}(x, t) = \vec{E}(x, y) e^{ikz - \omega t}$$

$$\vec{B}(x, t) = \vec{B}(x, y) e^{ikz - \omega t}$$



As before

$$\left( \nabla^2 - \frac{\mu\epsilon}{c^2} \frac{\partial^2}{\partial t^2} \right) \left( \begin{array}{c} \vec{E} \\ \vec{B} \end{array} \right) = 0$$

which now implies

$$\left[ \nabla_1^2 + \left( \frac{\mu\epsilon}{c^2} \omega^2 - k^2 \right) \right] \left( \begin{array}{c} \vec{E} \\ \vec{B} \end{array} \right) = 0 \quad \text{where } \nabla_1^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

One would guess that the solutions are plane waves with  $\vec{E}, \vec{B}$  perpendicular to  $\hat{z}$  and  $\vec{B} \perp \vec{E}$ . But we will find solutions with non-vanishing z-component:

- Transverse electric modes (TE):  $E_z = 0$  but  $B_z \neq 0$
- Transverse magnetic modes (TM):  $B_z = 0$  but  $E_z \neq 0$
- Transverse electromagnetic mode (TEM):  $E_z = 0$  and  $B_z = 0$ .

In preparation for this we separate  $\vec{E}_z$  from  $\vec{E}_\perp$

$$\vec{E} = \vec{E}_\perp + \vec{E}_z$$

$$\text{where } \hat{z} \cdot \vec{E}_\perp = 0 \quad \vec{E}_\perp = \hat{z} \hat{z} \cdot \vec{E}_\perp = \hat{z} E_z, \quad \vec{E}_z = \vec{E} - \hat{z} \hat{z} \cdot \vec{E} = (\hat{z} \times \vec{E}) \times \hat{z}$$

and ideal for  $\vec{B}$ . Simplify discussion by assuming the cavity or wave guide are empty, so that  $\mu\epsilon = 1$ . The general  $\mu\epsilon$  case is left as homework.

To separate  $\perp$  from  $\parallel$  modes in Faraday ( $\vec{\nabla} \times \vec{E} - \frac{i\omega}{c} \vec{B} = 0$ ) take  $\hat{z} \times (\vec{E}_\perp)$   
using  $\hat{z} \times (\vec{\nabla} \times \vec{E}) = \vec{\nabla}_\perp \vec{E}_\perp - ik \vec{E}_\perp$  (factor of  $e^{ikz-i\omega t}$  understood)

$$(\text{Algebra, not for class: } \epsilon^{n3i} (\epsilon^{ijk} \partial_j E_k) = (\delta^{ij} \delta^{k3} - \delta^{ik} \delta^{j3}) \partial_j E_k = \vec{\nabla}_\perp (\vec{z} \cdot \vec{E}) - \frac{\partial}{\partial t} \vec{E})$$

$$\text{and } \vec{\nabla} \times \vec{E}_\perp - \frac{\partial}{\partial t} \vec{E} = (\partial_1 E_2 - \partial_2 E_1, \partial_2 E_3 - \partial_3 E_2, 0) = \vec{\nabla}_\perp \vec{E}_\perp - \partial_t \vec{E}_\perp.$$

$$\Rightarrow \boxed{\imath k \vec{E}_\perp + \frac{i\omega}{c} \hat{z} \times \vec{B}_\perp = \vec{\nabla}_\perp \vec{E}_\perp}$$

Similarly from Ampere's law ( $\vec{\nabla} \times \vec{B} + \frac{\mu_0 \epsilon_0 i \omega}{c} \vec{E} = 0$ )

$$\text{using } (\vec{\nabla} \times \vec{B})_\perp = \imath k \hat{z} \times \vec{B}_\perp - \hat{z} \times \vec{\nabla}_\perp \vec{B}_\perp$$

(Algebra, not for class:

$$\epsilon^{ijn} \partial_j (\vec{B}_n \vec{e}^{ikz}) = e^{ikz} [\epsilon^{ijn} \partial_j B_n + ik \epsilon^{i3n} B_n]$$

$$\text{with } n=1,2 \text{ and noting that } \vec{B} = \vec{B}(x,y) \text{ only, } \epsilon^{ijn} \partial_j B_n = \epsilon^{i13} \partial_1 B_2 = [(\vec{\nabla} \vec{B}_2) \times \hat{z}]^i$$

$$\text{so } (\vec{\nabla}_\perp \vec{B})_\perp = - \hat{z} \times \vec{\nabla} \vec{B}_2 + ik \hat{z} \times \vec{B}_1,$$

$$\Rightarrow \boxed{\imath \frac{\omega}{c} \vec{E}_\perp + ik \hat{z} \times \vec{B}_\perp = \hat{z} \times \vec{\nabla}_\perp \vec{B}_2}$$

These 2 equations give  $\vec{E}_\perp$  &  $\hat{z} \times \vec{B}_\perp$  in terms of  $\vec{\nabla}_\perp \vec{E}_\perp$  &  $\vec{\nabla}_\perp \vec{B}_2$ .

Solve the simultaneous equations

$$M \begin{pmatrix} \vec{E}_\perp \\ \hat{z} \times \vec{B}_\perp \end{pmatrix} = \begin{pmatrix} \vec{\nabla}_\perp \vec{E}_\perp \\ \hat{z} \times \vec{\nabla}_\perp \vec{B}_2 \end{pmatrix} \quad \text{where } M = \begin{pmatrix} \imath k & \frac{i\omega}{c} \\ \frac{i\omega}{c} & ik \end{pmatrix}$$

$$\text{so } \begin{pmatrix} \vec{E}_\perp \\ \hat{z} \times \vec{B}_\perp \end{pmatrix} = M^{-1} \begin{pmatrix} \vec{\nabla}_\perp \vec{E}_\perp \\ \hat{z} \times \vec{\nabla}_\perp \vec{B}_2 \end{pmatrix} \quad \text{where } M^{-1} = \frac{1}{(\frac{i\omega}{c})^2 - k^2} \begin{pmatrix} ik & -i\omega/c \\ -i\omega/c & ik \end{pmatrix}$$

(Of course  $\vec{B}_2$  is determined,  $\vec{B}_2 = (\hat{z} \times \vec{B}_\perp) \times \hat{z}$ ).

So we solve the wave equation for the z-component:

$$(\vec{\nabla}_\perp^2 + j^2) \psi = 0 \quad \text{where } \psi = E_z \text{ or } B_z \text{ and } j^2 = \left(\frac{\omega}{c}\right)^2 - k^2$$

Boundary conditions: we want them in terms of  $E_z$  &  $B_z$  only.

$$\hat{n} \times \vec{E} = 0 \Rightarrow E_z = 0$$

$$\hat{n} \cdot \vec{B} = 0 : \text{Take (Ampere's law). } (\hat{n} \times \hat{z}) \Rightarrow \hat{n} \cdot \nabla_1 B_z = \frac{\partial B_z}{\partial n} = 0$$

(Algebra: in class)

$$(\hat{n} \times \hat{z}) \cdot (i \frac{\omega}{c} \vec{E}_1 + ik \hat{z} \times \vec{B}_1 - \hat{z} \times \nabla_1 \vec{B}_2) = 0$$

$$\vec{E}_1 \cdot \hat{n} \times \hat{z} = (\vec{E}_1 \times \hat{n}) \cdot \hat{z} = 0 \quad \text{on boundary}$$

$$(\hat{n} \times \hat{z}) \cdot (\hat{z} \times \vec{B}_1) = -\epsilon^{ijk} \epsilon^{ikj} \hat{n}^j B_1^k = \hat{n} \cdot \vec{B}_1 = 0 \quad \text{on boundary} ).$$

So

$$\underline{\text{TE}}: E_z = 0. \text{ Then solve } (\nabla_1^2 + j^2) B_z = 0$$

and from this compute

$$\vec{E}_1 = -i \frac{\omega}{c j^2} \hat{z} \times \nabla_1 B_z \quad \text{and} \quad \vec{B}_1 = \frac{i k}{j^2} \nabla_1 B_z$$

$$\underline{\text{TM}}: B_z = 0. \text{ Solve } (\nabla_1^2 + j^2) E_z = 0 \text{ and then}$$

$$\vec{E}_1 = i \frac{k}{j^2} \nabla_1 E_z \quad \vec{B}_1 = i \frac{\omega}{c j^2} \hat{z} \times \nabla_1 \vec{E}_1$$

$$\underline{\text{TEM}}: E_z = B_z = 0. \quad \text{Then}$$

$$M \begin{pmatrix} \vec{E}_1 \\ \hat{z} \times \vec{B}_1 \end{pmatrix} = 0 \quad \text{has solutions only if } \det M = 0 \Leftrightarrow \frac{\omega^2}{c^2} = k^2$$

$$\text{Then, from Ampere's law (above)} \quad \vec{B}_1 = (\text{sgn } k) \hat{z} \times \vec{E}_1$$

$$\text{Faraday} \Rightarrow \nabla_1 \times \vec{E}_1 = 0$$

$$(\text{Algebra:}) \quad \nabla_1 \times (\vec{E}_1 e^{ikz}) = e^{ikz} [\nabla_1 \times \vec{E}_1 + ik \hat{z} \times \vec{E}_1] \quad \text{and} \quad ik \hat{z} \times \vec{E}_1 - i \frac{\omega}{c} \vec{B}_1 = 0.$$

$$\text{Gauss: } \nabla_1 \cdot \vec{E}_1 = 0$$

$\int_S \vec{E}_1 \cdot \vec{E}_1 = 0$ ,  $\nabla_1 \times \vec{E}_1 = 0$  and  $\vec{E}_1 \times \hat{n} \Big|_{\text{boundary}} = 0$  is a problem in 2-dimensional electrostatics.

Notes:

(1) For TM & TE modes one must have  $\gamma^2 > 0$  to satisfy b.c.'s

Exercise: show this!

Now  $(\nabla_{\perp}^2 + \gamma^2) \psi = 0$  with  $\psi|_{\text{bd}} = 0$  or  $\frac{\partial \psi}{\partial n}|_{\text{bd}} = 0$  is an

eigenvalue problem: expect discrete solutions  $\psi_n(x, y)$  for  $\gamma = \gamma_n$ ,  $n = 1, 2, \dots$   
This means  $\omega$  and  $k$  are related by

$$\left(\frac{\omega}{c}\right)^2 - k^2 = \gamma_n^2 \quad \text{or} \quad \omega = c \sqrt{k^2 + \gamma_n^2}$$

Cutoff frequency: If  $\gamma_1 < \gamma_2 < \gamma_3 < \dots$  (ie, we organize  $\gamma$ 's by their magnitude) then there is a minimum possible frequency for transmission,  $\omega_{\min} \approx c \gamma_1$

For  $c\omega \in [c\gamma_1, c\gamma_2]$  the only propagating mode is  $\psi_1$ . Similarly, for  $c\gamma_2 < c\omega < c\gamma_3$  one can have  $\psi(x, y) = c_1 \psi_1(x, y) + c_2 \psi_2(x, y)$  propagating in the wave guide.

Since  $E, B \sim e^{ik(x - \frac{\omega}{c}t)}$ , the phase velocity is  $v_p = \frac{\omega}{k} = c \frac{\sqrt{k^2 + \gamma_n^2}}{k}$  for mode  $\psi_n$ . So  $v_p > c$  and diverges as  $k \rightarrow 0$  ( $\lambda \rightarrow \infty$ ).

The group velocity is defined [for  $\omega = \omega(k)$  a slowly varying function] to give the velocity of the peak of a wave-packet, which is where the energy density is localized:



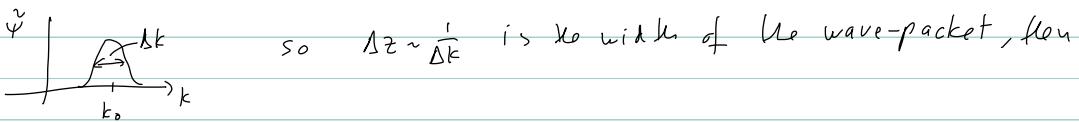
$$(\text{ignoring } x, y \text{ dependence}) \quad \psi(z, t) = \int_{-\infty}^{\infty} dk \tilde{\psi}(k) e^{i(kz - \omega(k)t)}$$

$\tilde{\psi}$  can be determined from snapshot at fixed time, say  $t=0$ :

$$\psi(z, 0) = \int_{-\infty}^{\infty} dk \tilde{\psi}(k) e^{ikz} \Rightarrow \tilde{\psi}(k) = \int_{-\infty}^{\infty} dz e^{-ikz} \psi(z, 0)$$

For  $\omega = \text{constant}$   $\psi(z, t) = \psi(z - \frac{\omega}{c}t, 0)$  so  $\frac{\omega}{c} = \text{constant} \Rightarrow v_p = v_g$ .

If  $\tilde{\psi}(k)$  is localized around  $k_0$ ,

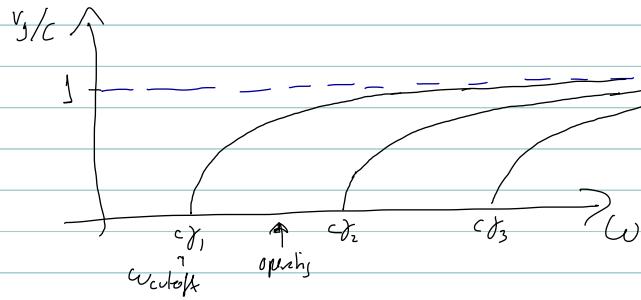


$$\omega(k) \approx \omega(k_0) + (k - k_0) \frac{d\omega}{dk} \Big|_{k_0} + \dots \quad \text{and} \quad \psi(z, t) = \int_{-\infty}^{\infty} dk \tilde{\psi}(k) e^{i[kz - (\omega(k_0) + (k - k_0) \frac{d\omega}{dk} \Big|_{k_0})t]}$$

$$\text{so } \psi(z, t) \approx e^{-i[\omega_0 - \frac{d\omega}{dk} k_0]t} \psi(z - \frac{d\omega}{dk} k_0 t, 0) \Rightarrow v_g = \frac{d\omega}{dk} \Big|_{k_0}$$

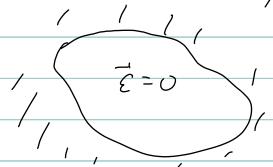
$$\text{For wave-guides } v_g = \frac{d\omega}{dk} = c \frac{k}{\sqrt{k^2 + \gamma_n^2}} < c \quad (\text{or } \frac{v_g}{c} = \frac{\sqrt{\omega^2 - c^2 \gamma_n^2}}{\omega})$$

Possible group velocities in wave-guide

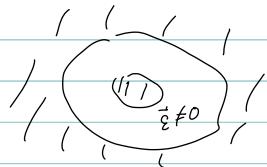


Often design is such that operating frequency  $\omega$  is between  $\omega_{\text{cutoff}}$  and  $\omega_{\text{cutoff}}$ .

(ii) For TEM there are no solutions other than trivial ( $\vec{\epsilon} = 0$ ) for a single (connected) boundary

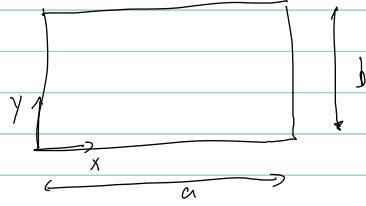


We can have non-trivial solutions with  $\geq 2$  disconnected boundaries



This is why co-axial cables or two-wire transmission lines are used in practice.

Example: rectangular cavity



$$TM: B_z = 0, E_z \Big|_{\partial V} = 0 \quad (\nabla_1^2 + j^2) E_z(x, y) = 0$$

Separation of variables:  $E = E_0 X(x) Y(y)$

$$-j^2 = \frac{1}{E} \nabla_1^2 E = \frac{1}{X} \frac{d^2 X}{dx^2} + \frac{1}{Y} \frac{d^2 Y}{dy^2} \quad \text{with } X(0) = X(a) = 0 \quad Y(0) = Y(b) = 0$$

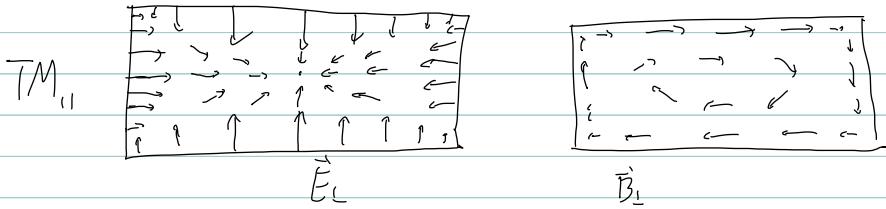
$$\Rightarrow X(x) = \sin\left(\frac{m\pi}{a}x\right) \quad Y = \sin\left(\frac{n\pi}{b}y\right) \quad \Rightarrow E_0 E_0 \sin\left(\frac{m\pi}{a}x\right) \sin\left(\frac{n\pi}{b}y\right)$$

$$\gamma_m^2 = \left(\frac{m\pi}{a}\right)^2 + \left(\frac{n\pi}{b}\right)^2 \quad m, n \in \mathbb{Z} \quad mn \neq 0.$$

The transverse fields are

$$\vec{E}_1 = j \frac{k}{\gamma_m^2} \vec{\nabla}_1 E_0 = j \frac{k E_0}{\gamma_m^2} \left( \frac{m\pi}{a} \cos\left(\frac{m\pi}{a}x\right) \sin\left(\frac{n\pi}{b}y\right), \frac{n\pi}{b} \sin\left(\frac{m\pi}{a}x\right) \cos\left(\frac{m\pi}{b}y\right), 0 \right)$$

$$\vec{B}_1 = \frac{\omega}{c k} \hat{z} \times \vec{E}_1 = \frac{\omega}{c \gamma_m^2} E_0 \left( -\frac{n\pi}{b} \sin\left(\frac{m\pi}{a}x\right) \cos\left(\frac{n\pi}{b}y\right), \frac{m\pi}{a} \cos\left(\frac{m\pi}{a}x\right) \sin\left(\frac{n\pi}{b}y\right), 0 \right)$$



$$TE: E_t = 0 \quad \frac{\partial B_z}{\partial n} \Big|_{\partial V} = 0 \quad (\nabla_1^2 + j^2) B_z(x, y) = 0$$

Same but with  $\frac{dX}{dx} \Big|_{0, a} = 0 \quad \frac{dY}{dy} \Big|_{0, b} = 0 \quad \Rightarrow \text{Gauss}$

$$B_z(x, y) = B_{z0} \cos\left(\frac{m\pi}{a}x\right) \cos\left(\frac{n\pi}{b}y\right) \quad \gamma_m^2 \text{ as above} \quad \text{and } m, n \in \mathbb{Z} \text{ except } m = n = 0.$$

Exercise: compute  $\vec{E}_t, \vec{B}_t$ , and plot  $TE_{10}$



Circular cross section: need Bessel functions  $\rightarrow$  problem session.

And in notes in "Appendix: Bessel functions".

Resonant cavities.

The discussion is as above, but in addition we have boundary conditions at  $z=0, L$  (assuming both ends are capped, and the capping surface is plane).

We now have standing waves  $\rightarrow e^{ikz} \rightarrow \cos(kz)$  or  $\sin(kz)$

$\vec{TE}$ : This had  $B_z \neq 0, E_z = 0$ , but now  $B_z = 0$  at  $z=0, L$  (recall  $\vec{B} \cdot \hat{n} = 0$ )

$$B_z(\vec{x}) = \psi(x, y) \sin\left(\frac{\pi p}{L} z\right) \quad p \in \mathbb{Z}_+$$

$\vec{TM}$ : We need  $E_z = 0$  at  $z=0, L$ , but  $\vec{E}_z = \frac{1}{j} \vec{\nabla}_z \frac{\partial E_z}{\partial z} \Rightarrow \frac{\partial E_z}{\partial z} \Big|_{0, L} = 0$

$$E_z(\vec{x}) = \psi(x, y) \cos\left(\frac{\pi p}{L} z\right) \quad p = 0, 1, \dots$$

Note that now  $\frac{\omega^2}{c^2} = k^2 + p^2 = \left(\frac{\pi p}{L}\right)^2 + r^2$  completely discretized

For example, box  $a \times b \times L$  has

$$\omega_{mnpl} = c \sqrt{\left(\frac{\pi l}{L}\right)^2 + \left(\frac{\pi m}{a}\right)^2 + \left(\frac{\pi n}{b}\right)^2}$$

## Appendix: Bessel functions

We may define them through the Laurent series expansion of the "generating function"

$$G(t, z) = e^{\frac{1}{2}z(t - \frac{1}{t})} = \sum_{n=-\infty}^{\infty} t^n J_n(z) \quad (\text{A})$$

You may have seen generating functions in the context of Legendre polynomials  $P_n(z)$ :

$$\frac{1}{\sqrt{1+t^2-2zt}} = \sum_{n=0}^{\infty} t^n P_n(z)$$

(but here the expansion is a Taylor series).

One may recast (A) using  $t = ie^{i\phi}$ , so that  $t - \frac{1}{t} = i(e^{i\phi} + e^{-i\phi}) = 2i \cos \phi$  and

$$e^{iz \cos \phi} = \sum_{n=-\infty}^{\infty} i^n e^{in\phi} J_n(z) \quad (\text{B})$$

From the generating function we derive properties of  $J_n$ :

- Parity:  $e^{iz \cos(\phi+n)} = e^{-iz \cos \phi} \Rightarrow \sum_n i^n e^{in\phi} e^{in\phi} J_n(z) = \sum_n i^n e^{in\phi} J_n(-z) \Rightarrow J_n(-z) = (-1)^n J_n(z)$   
 $e^{iz \cos(-\phi)} = e^{iz \cos \phi} \Rightarrow J_{-n}(z) = (-1)^n J_n(z)$

- Integral representation

$$J_n(z) = \frac{1}{2\pi i} \oint_C e^{\frac{1}{2}z(t - \frac{1}{t})} \frac{dt}{t^{n+1}}$$



Taking the  $\phi \rightarrow 0$  and a circle

$$J_n(z) = \frac{(-i)^n}{2\pi} \int_0^{2\pi} e^{i(z \cos \phi - n\phi)} d\phi$$

which you can stick in a computer to get a numerical value.

- Small  $z$  expansion:

$$J_n(z) = \frac{(-i)^n}{2\pi} \int_0^{2\pi} d\phi e^{-in\phi} \sum_{k=0}^{\infty} \frac{i^k}{k!} z^k \left( e^{iz\phi} + e^{-iz\phi} \right)^k$$

The 1st non-vanishing term has  $|k|=n$ , so

$$J_n(z) = \frac{(-i)^n}{2\pi} \cdot \left[ 2\pi \frac{i^n}{n!} z^n \frac{1}{z^n} + O(z^{n+1}) \right] = \frac{1}{2^n n!} z^n + \dots$$

Exercise: get the whole series!

In particular  $J_0(0) > 1$ ,  $J_{n \neq 0}(0) = 0$ .

• Large  $|z|$ . Use method of stationary phase.

$$\text{Condition: } \frac{d}{d\phi} (z \cos \phi) = z \sin \phi = 0 \Rightarrow \phi = 0 \text{ or } \pi.$$

$$[Expansion]: \cos \phi = 1 - \frac{1}{2}\phi^2 \quad \text{and} \quad \cos \phi = -1 + \frac{1}{2}(\phi - \pi)^2$$

$$J_n(z) \approx \frac{(-i)^n}{2^n} \left[ \int_{-\infty}^{\infty} d\phi e^{iz(1-\frac{1}{2}\phi^2)} e^{-i\pi\phi} + \int_{-\infty}^{\infty} d\phi e^{iz(1-\frac{1}{2}\phi^2)} e^{-i\pi(\phi+\pi)} \right]$$

$$\text{Use } \int_{-\infty}^{\infty} dx e^{iax^2+bx} = \int_{-\infty}^{\infty} dx e^{ia(x+\frac{1}{2}b/a)^2 - i\frac{b^2}{4a}} = \frac{e^{-ib^2/4a}}{\sqrt{-ia}} \int_{-\infty}^{\infty} dx e^{-x^2} = \sqrt{\frac{\pi}{-ia}} e^{-ib^2/4a}$$

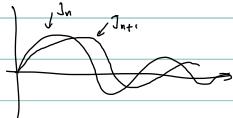
So  $J_n(z)$

$$J_n(z) \approx \frac{(-i)^n}{2^n} \left[ \sqrt{\frac{\pi}{-iz}} e^{-in^2/4(-iz)} e^{it} + (-1)^n \sqrt{\frac{\pi}{iz}} e^{-in^2/4(iz)} e^{-it} \right]$$

or

$$J_n(z) \approx \sqrt{\frac{\pi}{iz}} \cos(z - \frac{n\pi}{2} - \frac{\pi}{4}) (1 + O(\frac{1}{z}))$$

So roughly



(End So starting from  $J_0(z) = 1$ )

Most importantly,  $J_n(z)$  satisfies

$$\left( \frac{d^2}{dz^2} + \frac{1}{z} \frac{d}{dz} + 1 - \frac{n^2}{z^2} \right) J_n(z) = 0 \quad (C) \quad \text{"Bessel equation"}$$

Recall in polar coordinates  $ds^2 = dr^2 + r^2 d\theta^2$ ,  $\nabla^2 = \frac{1}{r} \partial_r \sqrt{g} g^{rr} \partial_r = \frac{1}{r} \partial_r r \partial_r + \frac{1}{r} \partial_\theta r \frac{1}{r^2} \partial_\theta = \partial_r^2 + \frac{1}{r^2} \partial_\theta^2 + \frac{1}{r^2} \partial_\theta^2$

So  $J_n$  appears naturally in solutions to  $\nabla^2 \Psi = \lambda \Psi$  in polar (or cylindrical in 3D) coordinates.

To show (C), take derivatives of  $G(t, z)$  in (A)

$$\frac{\partial G}{\partial z} = \frac{1}{z} (t - \frac{1}{t}) G \quad \frac{\partial^2 G}{\partial z^2} = \left[ \frac{1}{z} \left( t - \frac{1}{t} \right) \right] G$$

$$t \frac{\partial G}{\partial t} = \frac{1}{z} \left( t + \frac{1}{t} \right) G \quad \left( t \frac{\partial}{\partial t} \right) G = \left\{ \left[ \frac{1}{z} \left( t + \frac{1}{t} \right) \right]^2 + \frac{2}{z} \left( t - \frac{1}{t} \right) \right\} G$$

$$\text{So } \left( t \frac{\partial}{\partial t} \right)^2 G - \frac{2}{z} \frac{\partial^2 G}{\partial z^2} = z^2 G + z \frac{\partial^2 G}{\partial z^2}$$

Now use the expansion of  $G$  in  $J_n$ 's in (A) with  $\left( t \frac{\partial}{\partial t} \right)^n G = n! t^n$ . This proves (C).

From Gradshteyn & Ryzhik: recursion formulas

$$n J_{n+1}(z) + z J_{n+1}(z) = 2n J_n(z)$$

$$J_{n+1}(z) - J_{n-1}(z) = 2 \frac{d}{dz} J_n(z)$$

Exercise: show these.

Also from G&R, as consequence of the above

$$z \frac{d}{dz} J_n + n J_n = z J_{n-1}$$

$$z \frac{d}{dz} J_n - n J_n = -z J_{n+1}$$

$$\left( \frac{1}{z} \frac{d}{dz} \right)^m (z^n J_n(z)) = z^{n-m} J_{n-m}(z)$$

$$\left( \frac{1}{z} \frac{d}{dz} \right)^m (z^{-n} J_n(z)) = (-1)^m z^{-n-m} J_{n+m}(z)$$

$$\text{From the last, with } n=0, m=1 \quad \frac{1}{z} \frac{d}{dz} J_0(z) = (-1) z^{-1} J_1(z) \Rightarrow J_1(z) = -J'_0(z).$$

- Independent solutions: Bessel equation, being second order and linear, admits two independent solutions.  $J_n(z)$  is one. What about the other?

Consider the equation for non-integer  $\nu$ . One can find a solution in the form of a power expansion. Let's use  $\nu$  for the general case

$$\left( \frac{d^2}{dz^2} + \frac{1}{z} \frac{d}{dz} + 1 - \frac{\nu^2}{z^2} \right) J_\nu = 0 \quad (C')$$

so  $\nu=n$ , an integer, is the case studied so far. Assuming  $J_\nu$  is regular near  $z=0$  we write  $J_\nu \sim z^\nu + \dots$  close to  $z=0$  like

$$\nu(p-1) + p - \nu^2 = 0 \Rightarrow p = \pm \nu$$

and for a regular solution  $p=\nu$  (assuming  $\nu>0$ ). The regular solution so obtained coincides with  $J_n(z)$  when  $\nu=n$  an integer.

$$J_\nu(z) = \left( \frac{z}{2} \right)^\nu \sum_{m=0}^{\infty} \frac{(-1)^m}{m! \Gamma(m+\nu+1)} \left( \frac{z}{2} \right)^{2m}$$

Taking  $\nu \rightarrow -\nu$  when  $\nu \neq$  integer gives a second, independent solution.

But for  $\nu=n$ ,  $J_{-n}(z) = (-1)^n J_n(z)$  as we have seen, and it is not independent

A 2<sup>nd</sup> solution for  $\nu = \text{integer}$ : the Neumann function,  $N_\nu(z)$ , is often used as the 2<sup>nd</sup> independent solution (rather than  $J_\nu(z)$ ):

$$N_\nu(z) = \frac{J_\nu(z) \cos(\nu\pi) - J_{\nu+1}(z)}{\sin(\nu\pi)}$$

Since this solves (C) and has a limit as  $\nu \rightarrow n$  (integer), this can be used as the 2<sup>nd</sup> solution so that the general solution is

$$\psi(z) = a J_n(z) + b N_n(z) \quad a, b = \text{constants}$$

Notice that  $N_n(z)$  is not regular at the origin. For  $n > 1$  clearly  $N_n \sim z^n$ . For  $n=0$ , take  $\nu = \epsilon$  and expand

$$N_0(z) \sim \frac{z^\epsilon \cos(\epsilon\pi) - z^{-\epsilon}}{\sin(\epsilon\pi)} = \frac{(1+\epsilon\ln z) - (1-\epsilon\ln z)}{\epsilon\pi} = \frac{2}{\pi}\ln z$$

For our purposes (presently) we can ignore  $N_0(z)$ : we are looking to solve  $(\nabla^2 + \gamma^2)\psi = 0$  inside a circle that includes the origin.

Wave guide/cavity with circular cross section

We want to solve

$$(\nabla^2 + \gamma^2)\psi = 0$$



Use polar coordinates  $\rho, \theta$  and try separation of variables:

$$\Psi(\rho, \theta) = R(\rho)T(\theta)$$

$$\frac{1}{\psi} \nabla_\perp^2 \psi = -\gamma^2 \Rightarrow \rho^2 \frac{1}{R} \left( \frac{d^2}{d\rho^2} + \frac{1}{\rho} \frac{d}{d\rho} \right) R + \frac{1}{T} \frac{d^2 T}{d\theta^2} = -\gamma^2 \rho^2$$

Since  $T(\theta + 2\pi) = T(\theta)$  we take  $T(\theta) = e^{in\theta}$  with  $n$  an integer  $\Rightarrow$

$$\left( \frac{d^2}{d\rho^2} + \frac{1}{\rho} \frac{d}{d\rho} - \frac{n^2}{\rho^2} + \gamma^2 \right) R = 0$$

Let  $z = \gamma\rho$ , so that a function of  $z$ ,  $R(z)$  satisfies Bessel's equation:  $R(z) = J_n(z) = J_n(\gamma\rho)$ .

Boundary conditions:  $R(a) = 0$  (for TM mode,  $E_z = 0$ ) or  $\frac{dR}{d\rho}|_a = 0$  (for TE modes,  $\frac{\partial E_z}{\partial n}|_a = 0$ ).

(i)  $R(a) = 0 \Rightarrow J_n(\gamma a) = 0$ . We saw that  $J_n$  has an infinite number of positive zeroes.

Let  $0 \leq z_{n1} \leq z_{n2} \leq z_{nn}$  be the zeroes  $J_n(z_{nn}) = 0$ . The condition  $J_n(\gamma a)$  gives

$$\gamma_{nm} a = z_{nn} \Rightarrow \gamma_{nm} = z_{nn}/a$$

The most general solution is

$$E_z = \sum_{n,m} c_{nm} e^{in\theta} J_n(\gamma_{nm}\rho) \quad (\text{with } (\frac{\omega}{c})^2 = k^2 + \gamma_{nm}^2 = k^2 + \frac{z_{nn}^2}{a^2})$$

The zeroes of first few  $J_n'$ 's are tabulated. For example,  $z_{01} = 2.4 \quad z_{11} = 3.8 \quad z_{21} = 5.5$

(ii)  $R'(a) = 0 = J_n'(\gamma a) = 0$ . Since  $J_n$  is continuous and has  $\infty$  number of zeros, its derivative must also have an infinite number of zeros. We already know  $J_0'(z) = -J_1(z)$  so the  $\gamma$ 's of the  $n=0$  TE modes are degenerate with those of the  $n=1$  TM modes.

Let  $0 \leq z_{n1}' \leq z_{n2}' \leq z_{nn}' \dots$  be  $J_n'(z_{nn}') = 0$ , then

$$B_z = \sum_{n,m} c_{nm} e^{in\theta} J_n(\gamma_{nm}\rho) \text{ with } \gamma_{nm} = \frac{z_{nn}'}{a}$$

And, eg,  $z_{11}' = 1.8 \quad z_{21}' = 3.1 \quad z_{01}' = z_{11}' = 3.8$

See table attached.

For future reference

Inverting the series.

In many cases  $\psi(\rho, \theta)$  is fixed (boundary value problems)

Then one needs to use this information to determine the coefficients of the expansion.

The idea is this: use completeness of the eigenfunctions,

$$\text{i.e. } \langle n|m \rangle = \delta_{nm} \quad \text{then} \quad \sum_n \langle n|n \rangle = 1$$

$$\text{or } \int_0^a p dp \int_0^{2\pi} d\theta \left( J_n(z_{nm} \frac{p}{a}) e^{in\theta} \right)^* \left( J_n(z_{nm} \frac{p}{a}) e^{in\theta} \right) = N_{nm} \delta_{nm} \delta_{nm}$$

$$\text{where the normalization factor is } N_{nm} = \sqrt{\int_0^a p dp \int_0^{2\pi} d\theta \left| J_n(z_{nm} \frac{p}{a}) e^{in\theta} \right|^2}$$

$$\text{or } N_{nm} = 2\pi a^2 \int_0^1 dx \left[ x J_n^2(z_{nm} x) \right]$$

~~ASIDE~~ (Note that it matters but this integral can be done: take Bessel  $\times$   $J' = \frac{dJ_n}{dz}$  (drop w for now):

$$J' J'' + \frac{1}{z}(J')^2 + \left(1 - \frac{n^2}{z^2}\right) J J' = 0$$

Now  $J' J'' = \frac{1}{2}(J'^2)' \quad \text{so} \quad \frac{1}{z^2} \frac{d}{dz} (z^2 J'^2) = \frac{1}{2}(J'^2)' + \frac{1}{z}(J')^2$ , i.e., the first two terms. Multiply by  $2z^2$ :

$$\frac{d}{dz} (z^2 J'^2) + (z^2 - n^2)(J')' = 0$$

Now rewrite  $(z^2 - n^2)(J')' = \frac{d}{dz} [(z^2 - n^2) J'] - 2z J^2$  and integrate

$$\int_0^{z_{nm}} dz z J' = \frac{1}{2} \left[ z^2 J'^2 + (z^2 - n^2) J^2 \right]_0^{z_{nm}}$$

Changing variables  $z = x z_{nm}$ ,  $\int_0^1 dx x J_n^2(x z_{nm}) = \frac{1}{2} \left[ x^2 J'^2 + (x^2 - \frac{n^2}{z_{nm}^2}) J^2 \right]_0^1 = \frac{1}{2} [J'_n(z_{nm})]^2$

And from  $z \frac{dJ_n}{dz} - n J_n = -z J_{n+1}$  one can rewrite this as  $\frac{1}{2} [J_{n+1}(z_{nm})]^2$ .

So our orthonormal set is  $|n,m\rangle = \frac{e^{in\theta} J_n(z_{nm} \frac{p}{a})}{\sqrt{N_{nm}}}$

If  $|\psi\rangle = \sum c_{nm} |n,m\rangle \Rightarrow c_{nm} = \langle n,m|\psi\rangle$  or

$$c_{nm} = \int_0^a p dp \int_0^{2\pi} d\theta \frac{e^{-in\theta} J_n(z_{nm} p/a)}{\sqrt{N_{nm}}} \psi(p, \theta)$$

# Table of First 700 Zeros of Bessel Functions — $J_l(x)$ and $J'_l(x)$

By CURTIS L. BEATTIE

(Manuscript received August 20, 1957)

The zeros of the Bessel functions and Bessel function derivatives are identified by standard waveguide notation which also serves as a code for more general mathematical applications.

The possibilities of low-loss transmission using the  $TE_{01}$  (circular electric) mode in circular cylindrical pipe of a diameter large compared to the wavelength has made the study of other modes of such a waveguide important. In order to find phase and attenuation constants of various modes for both solid and ring or helix walls, the zeros of the Bessel functions  $J_l(x)$  and  $J'_l(x)$  are essential.

In the table given here the first seven hundred roots of Bessel functions  $J_l(x) = 0$  and  $J'_l(x) = 0$  have been computed and arranged in the order of the magnitude of the arguments corresponding to the roots. In the table  $l$  is the order of the Bessel function and  $m$  is the serial number of the zero of either  $J_l(x)$  or  $J'_l(x)$ , not counting  $x = 0$ . In waveguide applications the zeros of  $J_l(x)$  correspond to transverse magnetic modes of propagation (TM modes) and those of  $J'_l(x)$  to transverse electric modes (TE modes). The designations TM and TE appear in the table for the benefit of those who will use this table in waveguide research and serve as a code designating  $J_l(x)$  and  $J'_l(x)$  for those who are interested in a more general application of the mathematics.

The roots of the Bessel functions were calculated from the *Tables of the Bessel Functions of the First Kind of Orders,  $J_0$  through  $J_{51}$* , computed by the Staff of the Computation Laboratory of Harvard University, published by the Harvard University Press, 1946–1948.

This table was first formulated horizontally in the ascending order of the function and vertically in the ascending number of the root. Since the increments in each direction are of a predictable magnitude, the possibility of having neglected a root is virtually eliminated.

All 700 roots were calculated by means of a linear interpolation for-

mula but checked and corrected for six-place accuracy for the first 300 roots. For arguments above 25, where the tabulated values were for each 0.01 only, the following Newton-Bessel formula was used:

$$J_n(x) = J_n(x_0 + h\mu) = J_n(x_0) + \mu B + \frac{\mu(\mu - 1)(C - A)}{4} + \dots$$

where

$$\mu = (x - x_0)/h$$

$$A = J_n(x_0 + h) + J_n(x_0)$$

$$B = J_n(x_0 + h) - J_n(x_0)$$

$$C = J_n(x_0 + 2h) + J_n(x_0 - h).$$

Spot checking was done with the first three terms of the Taylor's series expansion:

$$0 \cong J_n(x) + \frac{h}{2} [J_{n-1}(x) - J_{n+1}(x)] + \frac{h^2}{8} [J_{n-2}(x) - 2J_n(x) + J_{n+2}(x)] + \dots$$

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2. Gray, A. and Mathews, G. B., *Treatise on Bessel Functions*, Macmillan, London, 1895. Table III. The first 40 roots of  $J_0(x) = 0$ , with the corresponding values of  $J_1(x)$ . (Ten decimal places.) Table IV. The first 50 roots of  $J_1(x) = 0$ , with the corresponding maximum or minimum values of  $J_0(x)$ . (Sixteen decimal places.)
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TABLE

	Mode* $l-m$	Value†		Mode* $l-m$	Value†
1	TE 1-1	1.841184	(48	TM 1-4	13.323692
2	TM 0-1	2.404826	(49	TE 0-4	13.323692
3	TE 2-1	3.054237	50	TM 9-1	13.354300
(4	TM 1-1	3.831706	51	TM 6-2	13.589290
(5	TE 0-1	3.831706	52	TE 12-1	13.878843
6	TE 3-1	4.201189	53	TE 5-3	13.987189
7	TM 2-1	5.135622	54	TE 8-2	14.115519
8	TE 4-1	5.317553	55	TM 4-3	14.372537
9	TE 1-2	5.331443	56	TM 10-1	14.475501
10	TM 0-2	5.520078	57	TE 3-4	14.585848
11	TM 3-1	6.380162	58	TM 2-4	14.795952
12	TE 5-1	6.415616	59	TM 7-2	14.821269
13	TE 2-2	6.706133	60	TE 1-5	14.863589
(14	TM 1-2	7.015587	61	TE 13-1	14.928374
(15	TE 0-2	7.015587	62	TM 0-5	14.930918
16	TE 6-1	7.501266	63	TE 6-3	15.268181
17	TM 4-1	7.588342	64	TE 9-2	15.286738
18	TE 3-2	8.015237	65	TM 11-1	15.589848
19	TM 2-2	8.417244	66	TM 5-3	15.700174
20	TE 1-3	8.536316	67	TE 4-4	15.964107
21	TE 7-1	8.577836	68	TE 14-1	15.975439
22	TM 0-3	8.653728	69	TM 8-2	16.037774
23	TM 5-1	8.771484	70	TM 3-4	16.223466
24	TE 4-2	9.282396	71	TE 2-5	16.347522
25	TE 8-1	9.647422	72	TE 10-2	16.447853
26	TM 3-2	9.761023	(73	TM 1-5	16.470630
27	TM 6-1	9.936110	(74	TE 0-5	16.470630
28	TE 2-3	9.969468	75	TE 7-3	16.529366
(29	TM 1-3	10.173468	76	TM 12-1	16.698250
(30	TE 0-3	10.173468	77	TM 6-3	17.003820
31	TE 5-2	10.519861	78	TE 15-1	17.020323
32	TE 9-1	10.711434	79	TM 9-2	17.241220
33	TM 4-2	11.064709	80	TE 5-4	17.312842
34	TM 7-1	11.086370	81	TE 11-2	17.600267
35	TE 3-3	11.345924	82	TM 4-4	17.615966
36	TM 2-3	11.619841	83	TE 8-3	17.774012
37	TE 1-4	11.706005	84	TE 3-5	17.788748
38	TE 6-2	11.734936	85	TM 13-1	17.801435
39	TE 10-1	11.770877	86	TM 2-5	17.959819
40	TM 0-4	11.791534	87	TE 1-6	18.015528
41	TM 8-1	12.225092	88	TE 16-1	18.063261
42	TM 5-2	12.338604	89	TM 0-6	18.071064
43	TE 4-3	12.681908	90	TM 7-3	18.287583
44	TE 11-1	12.826491	91	TM 10-2	18.433464
45	TE 7-2	12.932386	92	TE 6-4	18.637443
46	TM 3-3	13.015201	93	TE 12-2	18.745091
47	TE 2-4	13.170371	94	TM 14-1	18.899998

\* TM designates a zero of  $J_l(x)$ ; TE designates a zero of  $J'_l(x)$ ; in each case  $l$  corresponds to the order of the Bessel function and  $m$  is the number of the root.

† 5 in last place indicates higher value and 4 indicates lower value in rounding off for fewer decimal places.

TABLE — *Continued*

Mode*	$l-m$	Value†		Mode*	$l-m$	Value†
95	TM 5-4	18.980134	150	TM 4-6	24.019020	
96	TE 9-3	19.004594	151	TE 3-7	24.144897	
97	TE 17-1	19.104458	152	TM 9-4	24.233885	
98	TE 4-5	19.196029	153	TM 15-2	24.269180	
99	TM 3-5	19.409415	154	TM 2-7	24.270112	
100	TE 2-6	19.512913	155	TE 22-1	24.289385	
101	TM 8-3	19.564536	156	TE 1-8	24.311327	
(102)	TM 1-6	19.615859	157	TM 19-1	24.338250	
(103)	TE 0-6	19.615859	158	TM 0-8	24.352472	
104	TM 11-2	19.615967	159	TE 17-2	24.381913	
105	TE 13-2	19.883224	160	TM 12-3	24.494885	
106	TE 7-4	19.941853	161	TE 8-5	24.587197	
107	TM 15-1	19.994431	162	TM 7-5	24.934928	
108	TE 18-1	20.144079	163	TE 14-3	25.001972	
109	TE 10-3	20.223031	164	TE 11-4	25.008519	
110	TM 6-4	20.320789	165	TE 6-6	25.183925	
111	TE 5-5	20.575515	166	TE 23-1	25.322921	
112	TM 12-2	20.789906	167	TM 16-2	25.417019	
113	TM 9-3	20.807048	168	TM 20-1	25.417141	
114	TM 4-5	20.826933	169	TM 5-6	25.430341	
115	TE 3-6	20.972477	170	TE 18-2	25.495558	
116	TE 14-2	21.015405	171	TM 10-4	25.509450	
117	TM 16-1	21.085147	172	TE 4-7	25.589760	
118	TM 2-6	21.116997	173	TM 13-3	25.705104	
119	TE 1-7	21.164370	174	TM 3-7	25.748167	
120	TE 19-1	21.182267	175	TE 2-8	25.826037	
121	TM 0-7	21.211637	176	TE 9-5	25.891177	
122	TE 8-4	21.229063	(177)	TM 1-8	25.903672	
123	TE 11-3	21.430854	(178)	TE 0-8	25.903672	
124	TM 7-4	21.641541	179	TE 15-3	26.177766	
125	TE 6-5	21.931715	180	TE 12-4	26.246048	
126	TM 13-2	21.956244	181	TM 8-5	26.266815	
127	TM 10-3	22.046985	182	TE 24-1	26.355506	
128	TE 15-2	22.142247	183	TM 21-1	26.493648	
129	TM 17-1	22.172495	184	TE 7-6	26.545032	
130	TM 5-5	22.217800	185	TM 17-2	26.559784	
131	TE 20-1	22.219145	186	TE 19-2	26.605533	
132	TE 4-6	22.401032	187	TM 11-4	26.773323	
133	TE 9-4	22.501399	188	TM 6-6	26.820152	
134	TM 3-6	22.582730	189	TM 14-3	26.907369	
135	TE 12-3	22.629300	190	TE 5-7	27.010308	
136	TE 2-7	22.671582	191	TE 10-5	27.182022	
(137)	TM 1-7	22.760084	192	TM 4-7	27.199088	
(138)	TE 0-7	22.760084	193	TE 3-8	27.310058	
139	TM 8-4	22.945173	194	TE 16-3	27.347386	
140	TM 14-2	23.115778	195	TE 25-1	27.387204	
141	TE 21-1	23.254816	196	TM 2-8	27.420574	
142	TM 18-1	23.256777	197	TE 1-9	27.457051	
143	TE 16-2	23.264269	198	TE 13-4	27.474340	
144	TE 7-5	23.268053	199	TM 0-9	27.493480	
145	TM 11-3	23.275854	200	TM 22-1	27.567944	
146	TM 6-5	23.586084	201	TM 9-5	27.583749	
147	TE 10-4	23.760716	202	TM 18-2	27.697899	
148	TE 5-6	23.803581	203	TE 20-2	27.712126	
149	TE 13-3	23.819374	204	TE 8-6	27.889270	

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† 5 in last place indicates higher value and 5 indicates lower value in rounding off for fewer decimal places.

TABLE — *Continued*

	Mode* $l-m$	Value†		Mode* $l-m$	Value†
205	TM 12-4	28.026710	256	TM 12-5	31.459960
206	TM 15-3	28.102416	257	TE 29-1	31.506195
207	TM 7-6	28.191189	258	TE 6-8	31.617876
208	TE 6-7	28.409776	259	TM 18-3	31.650118
209	TE 26-1	28.418072	260	TM 15-4	31.733414
210	TE 11-5	28.460857	261	TM 5-8	31.811717
211	TE 17-3	28.511361	262	TE 11-6	31.838425
212	TM 5-7	28.626619	263	TM 26-1	31.845888
213	TM 23-1	28.640185	264	TE 4-9	31.938540
214	TE 14-4	28.694271	265	TE 20-3	31.973715
215	TE 4-8	28.767836	266	TM 3-9	32.064853
216	TE 21-2	28.815590	267	TE 24-2	32.109320
217	TM 19-2	28.831731	268	TE 2-10	32.127327
218	TM 10-5	28.887375	(269)	TM 1-10	32.189680
219	TM 3-8	28.908351	(270)	TE 0-10	32.189680
220	TE 2-9	28.977673	271	TM 22-2	32.210587
(221)	TM 1-9	29.046829	272	TM 10-6	32.211856
(222)	TE 0-9	29.046829	273	TE 14-5	32.236970
223	TE 9-6	29.218564	274	TE 17-4	32.310894
224	TM 13-4	29.270631	275	TE 9-7	32.505248
225	TM 16-3	29.290871	276	TE 30-1	32.534220
226	TE 27-1	29.448163	277	TM 13-5	32.731053
227	TM 8-6	29.545660	278	TM 8-7	32.795800
228	TE 18-3	29.670147	279	TM 19-3	32.821803
229	TM 24-1	29.710509	280	TM 27-1	32.911154
230	TE 12-5	29.728978	281	TM 16-4	32.953665
231	TE 7-7	29.790749	282	TE 7-8	33.015179
232	TE 15-4	29.906591	283	TE 21-3	33.119162
233	TE 22-2	29.916147	284	TE 12-6	33.131450
234	TM 20-2	29.961604	285	TE 25-2	33.202272
235	TM 6-7	30.033723	286	TM 6-8	33.233042
236	TM 11-5	30.179061	287	TM 23-2	33.330177
237	TE 5-8	30.202849	288	TE 5-9	33.385444
238	TM 4-8	30.371008	289	TE 15-5	33.478449
239	TE 3-9	30.470269	290	TE 18-4	33.503029
240	TM 17-3	30.473280	291	TM 11-6	33.526364
241	TE 28-1	30.477523	292	TM 4-9	33.537138
242	TM 14-4	30.505951	293	TE 31-1	33.561634
243	TE 10-6	30.534505	294	TE 3-10	33.626949
244	TM 2-9	30.569205	295	TM 2-10	33.716520
245	TE 1-10	30.601923	296	TE 1-11	33.746183
246	TM 0-10	30.634607	297	TM 0-11	33.775821
247	TM 25-1	30.779039	298	TE 10-7	33.841966
248	TE 19-3	30.824148	299	TM 28-1	33.974930
249	TM 9-6	30.885379	300	TM 20-3	33.988703
250	TE 13-5	30.987394	301	TM 14-5	33.99319
251	TE 23-2	31.013998	302	TM 9-7	34.15438
252	TM 21-2	31.087805	303	TM 17-4	34.16727
253	TE 16-4	31.111945	304	TE 22-3	34.26077
254	TE 8-7	31.155327	305	TE 26-2	34.29300
255	TM 7-7	31.422795	306	TE 8-8	34.39663

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† 5 in last place indicates higher value and 5 indicates lower value in rounding off for fewer decimal places.

TABLE — *Continued*

	Mode* <i>l</i> - <i>m</i>	Value†		Mode* <i>l</i> - <i>m</i>	Value†
307	TE 13-6	34.41455	357	TE 10-8	37.11800
308	TM 24-2	34.44678	358	TM 31-1	37.15811
309	TE 32-1	34.58847	359	TE 18-5	37.16040
310	TM 7-8	34.63709	360	TM 9-8	37.40010
311	TE 19-4	34.69148	361	TM 14-6	37.40819
312	TE 16-5	34.71248	362	TM 23-3	37.46381
313	TE 6-9	34.81339	363	TE 29-2	37.55307
314	TM 12-6	34.82999	364	TE 8-9	37.62008
315	TM 5-9	34.98878	365	TE 25-3	37.66491
316	TM 29-1	35.03730	366	TE 35-1	37.66577
317	TE 4-10	35.10392	367	TM 17-5	37.73268
318	TM 21-3	35.15115	368	TM 20-4	37.77286
319	TE 11-7	35.16671	369	TM 27-2	37.78040
320	TM 3-10	35.21867	370	TE 13-7	37.78438
321	TM 15-5	35.24709	371	TM 7-9	37.83872
322	TE 2-11	35.27554	372	TE 6-10	37.99964
(323)	TM 1-11	35.33231	373	TM 12-7	38.15638
(324)	TE 0-11	35.33231	374	TM 5-10	38.15987
325	TM 18-4	35.37472	375	TE 16-6	38.21206
326	TE 27-2	35.38163	376	TM 32-1	38.21669
327	TE 23-3	35.39878	377	TE 22-4	38.22490
328	TM 10-7	35.49991	378	TE 4-11	38.26532
329	TM 25-2	35.56057	379	TM 3-11	38.37047
330	TE 33-1	35.61475	380	TE 19-5	38.37524
331	TE 14-6	35.68854	381	TE 2-12	38.42266
332	TE 9-8	35.76379	382	TE 11-8	38.46039
333	TE 20-4	35.87394	(383)	TM 1-12	38.47477
334	TE 17-5	35.93963	(384)	TE 0-12	38.47477
335	TM 8-8	36.02562	385	TM 24-3	38.61452
336	TM 30-1	36.09834	386	TE 30-2	38.63609
337	TM 13-6	36.12366	387	TM 15-6	38.68428
338	TE 7-9	36.22438	388	TE 36-1	38.69055
339	TM 22-3	36.30943	389	TM 10-8	38.76181
340	TM 6-9	36.42202	390	TE 26-3	38.79341
341	TE 28-2	36.46829	391	TM 28-2	38.88671
342	TE 12-7	36.48055	392	TM 21-4	38.96429
343	TM 16-5	36.49340	393	TM 18-5	38.96543
344	TE 24-3	36.53343	394	TE 9-9	39.00190
345	TE 5-10	36.56078	395	TE 14-7	39.07900
346	TM 19-4	36.57645	396	TM 8-9	39.24045
347	TE 34-1	36.64051	397	TM 33-1	39.27413
348	TM 26-2	36.67173	398	TE 23-4	39.39398
349	TM 4-10	36.69900	399	TE 7-10	39.42227
350	TE 3-11	36.78102	400	TE 17-6	39.46277
351	TM 11-7	36.83357	401	TM 13-7	39.46921
352	TM 2-11	36.86286	402	TE 20-5	39.58453
353	TE 1-12	36.88999	403	TM 6-10	39.60324
354	TM 0-12	36.91710	404	TE 37-1	39.71489
355	TE 15-6	36.95417	405	TE 31-2	39.71743
356	TE 21-4	37.05164	406	TE 5-11	39.73064

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TABLE — *Continued*

Mode*	$l-m$	Value†		Mode*	$l-m$	Value†
407	TM 25-3	39.76179	458	TE 29-3		42.16260
408	TE 12-8	39.79194	459	TM 31-2		42.19275
409	TM 4-11	39.85763	460	TE 9-10		42.22464
410	TE 27-3	39.91909	461	TE 14-8		42.42585
411	TE 3-12	39.93311	462	TM 36-1		42.44014
412	TM 16-6	39.95255	463	TM 8-10		42.44389
413	TM 29-2	39.99080	464	TM 18-6		42.46781
414	TM 2-12	40.00845	465	TM 24-4		42.51168
415	TE 1-13	40.03344	466	TE 7-11		42.61152
416	TM 0-13	40.05843	467	TM 21-5		42.62870
417	TM 11-8	40.11182	468	TM 6-11		42.77848
418	TM 22-4	40.15105	469	TM 13-8		42.78044
419	TM 19-5	40.19210	470	TE 40-1		42.78537
420	TM 34-1	40.33048	471	TE 26-4		42.87855
421	TE 15-7	40.36510	472	TE 5-12		42.89627
422	TE 10-9	40.37107	473	TE 17-7		42.91415
423	TE 24-4	40.55913	474	TE 34-2		42.95218
424	TM 9-9	40.62855	475	TM 4-12		43.01374
425	TE 18-6	40.70680	476	TE 12-9		43.07549
426	TE 38-1	40.73879	477	TE 3-13		43.08365
427	TM 14-7	40.77283	478	TM 2-13		43.15345
428	TE 21-5	40.78864	479	TE 20-6		43.17654
429	TE 32-2	40.79718	480	TE 1-14		43.17663
430	TE 8-10	40.83018	481	TE 23-5		43.18255
431	TM 26-3	40.90580	482	TM 28-3		43.18477
432	TM 7-10	41.03077	483	TM 0-14		43.19979
433	TE 28-3	41.04211	484	TE 30-3		43.28071
434	TM 30-2	41.09278	485	TM 32-2		43.29081
435	TE 13-8	41.11351	486	TM 16-7		43.35507
436	TE 6-11	41.17885	487	TM 11-9		43.36836
437	TM 17-6	41.21357	488	TM 37-1		43.49352
438	TM 5-11	41.32638	489	TE 10-10		43.60677
439	TM 23-4	41.33343	490	TM 25-4		43.68603
440	TM 35-1	41.38580	491	TM 19-6		43.71571
441	TM 20-5	41.41307	492	TE 15-8		43.72963
442	TE 4-12	41.42367	493	TE 41-1		43.80808
443	TM 12-8	41.45109	494	TM 22-5		43.83932
444	TM 3-12	41.52072	495	TM 9-10		43.84380
445	TE 2-13	41.56894	496	TE 35-2		44.02758
(446)	TM 1-13	41.61709	497	TE 8-11		44.03001
(447)	TE 0-13	41.61709	498	TE 27-4		44.03321
448	TE 16-7	41.64331	499	TM 14-8		44.10059
449	TE 25-4	41.72059	500	TE 18-7		44.17813
450	TE 11-9	41.72863	501	TM 7-11		44.21541
451	TE 39-1	41.76228	502	TM 29-3		44.32003
452	TE 33-2	41.87540	503	TE 6-12		44.35258
453	TE 19-6	41.94459	504	TE 24-5		44.37290
454	TE 22-5	41.98788	505	TM 33-2		44.38706
455	TM 10-9	42.00419	506	TE 31-3		44.39653
456	TM 27-3	42.04674	507	TE 21-6		44.40300
457	TM 15-7	42.06792	508	TE 13-9		44.41245

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TABLE — *Continued*

Mode* <i>l-m</i>	Value†	Mode* <i>l-m</i>	Value†		
509	TM 5-12	44.48932	561	TE 20-7	46.68717
510	TM 38-1	44.54601	562	TM 16-8	46.71581
511	TE 4-13	44.57962	563	TE 26-5	46.74158
512	TM 17-7	44.63483	564	TE 10-11	48.82896
513	TM 3-13	44.66974	565	TE 23-6	46.84075
514	TE 2-14	44.71455	566	TE 44-1	46.87409
515	TM 12-9	44.72194	567	TM 9-11	47.04870
(516	TM 1-14	44.75932	568	TE 15-9	47.05946
(517	TE 0-14	44.75932	569	TM 19-7	47.17400
518	TE 42-1	44.83043	570	TM 28-4	47.18775
519	TM 26-4	44.85670	571	TE 8-12	47.22176
520	TM 20-6	44.95768	572	TE 38-2	47.24608
521	TE 11-10	44.97753	573	TM 7-12	47.39417
522	TE 16-8	45.02543	574	TM 14-9	47.40035
523	TM 23-5	45.04521	575	TM 22-6	47.42517
524	TE 36-2	45.10166	576	TM 25-5	47.44385
525	TE 28-4	45.18473	577	TE 30-4	47.47899
526	TM 10-10	45.23157	578	TE 6-13	47.52196
527	TM 15-8	45.41219	579	TE 18-8	47.59513
528	TE 9-11	45.43548	580	TM 5-13	47.64940
529	TE 19-7	45.43567	581	TM 36-2	47.66568
530	TM 30-3	45.45267	582	TE 13-10	47.68825
531	TM 34-2	45.48156	583	TM 41-1	47.69840
532	TE 32-3	45.51018	584	TM 32-3	47.71055
533	TE 25-5	45.55917	585	TE 34-3	47.73138
534	TM 39-1	45.59762	586	TE 4-14	47.73367
535	TE 22-6	45.62431	587	TM 3-14	47.81779
536	TM 8-11	45.63844	588	TE 2-15	47.85964
537	TE 14-9	45.74024	589	TE 45-1	47.89542
538	TE 7-12	45.79400	(590	TM 1-15	47.90146
539	TE 43-1	45.85243	(591	TE 0-15	47.90146
540	TM 18-7	45.90766	592	TE 27-5	47.92033
541	TM 6-12	45.94902	593	TE 21-7	47.93298
542	TM 27-4	46.02388	594	TM 12-10	47.97429
543	TE 5-13	46.05857	595	TM 17-8	48.01196
544	TM 13-9	46.06571	596	TE 24-6	48.05260
545	TM 4-13	46.16785	597	TE 11-11	48.21133
546	TE 37-2	46.17447	598	TE 39-2	48.31652
547	TM 21-6	46.19406	599	TM 29-4	48.34846
548	TE 3-14	46.23297	600	TE 16-9	48.37069
549	TM 24-5	46.24664	601	TM 20-7	48.43424
550	TM 2-14	46.29800	602	TM 10-11	48.44715
551	TE 17-8	46.31377	603	TE 31-4	48.62201
552	TE 1-15	46.31960	604	TE 9-12	48.63692
553	TE 29-4	46.33328	605	TM 26-5	48.63706
554	TE 12-10	46.33777	606	TM 23-6	48.65132
555	TM 0-15	46.34119	607	TM 15-9	48.72646
556	TM 35-2	46.57441	608	TM 42-1	48.74762
557	TM 31-3	46.58280	609	TM 37-2	48.75542
558	TM 11-10	46.60813	610	TM 8-12	48.82593
559	TE 33-3	46.62177	611	TM 33-3	48.83603
560	TM 40-1	46.64841	612	TE 35-3	48.83910

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TABLE—Concluded

Mode*	$l-m$	Value†		Mode*	$l-m$	Value†
613	TE 19-8	48.86993	657	TE 6-14		50.68782
614	TE 46-1	48.91645	658	TM 5-14		50.80717
615	TE 7-13	48.97107	659	TM 44-1		50.84387
616	TE 14-10	49.02964	660	TE 4-15		50.88616
617	TE 28-5	49.09560	661	TE 33-4		50.90045
618	TM 6-13	49.11577	662	TM 39-2		50.93060
619	TE 22-7	49.17342	663	TE 48-1		50.93760
620	TE 5-14	49.21817	664	TM 22-7		50.93776
621	TE 25-6	49.26009	665	TE 13-11		50.94585
622	TM 18-8	49.30111	666	TM 3-15		50.96503
623	TM 4-14	49.32036	667	TE 18-9		50.97113
624	TM 13-10	49.33078	668	TE 2-16		51.00430
625	TE 3-15	49.38130	669	TM 28-5		51.01228
626	TE 40-2	49.38586	(670	TM 1-16		51.04354
627	TM 2-15	49.44216	(671	TE 0-16		51.04354
628	TE 1-16	49.46239	672	TE 37-3		51.04919
629	TM 0-16	49.48261	673	TM 35-3		51.08055
630	TM 30-4	49.50618	674	TM 25-6		51.08975
631	TE 12-11	49.58340	675	TM 12-11		51.21197
632	TE 17-9	49.67443	676	TM 17-9		51.35527
633	TM 21-7	49.68872	677	TE 21-8		51.40137
634	TE 32-4	49.76246	678	TE 11-12		51.43311
635	TM 43-1	49.79610	679	TE 30-5		51.43637
636	TM 77-5	49.82648	680	TE 42-2		51.52135
637	TM 11-11	49.83465	681	TE 24-7		51.63937
638	TM 38-2	49.84371	682	TM 10-12		51.65325
639	TM 24-6	49.87276	683	TE 27-6		51.66288
640	TE 47-1	49.93717	684	TE 16-10		51.68742
641	TE 36-3	49.94501	685	TM 32-4		51.81316
642	TM 34-3	49.95933	686	TE 9-13		51.83078
643	TE 10-12	50.04043	687	TM 20-8		51.86002
644	TM 16-9	50.04461	688	TM 45-1		51.89095
645	TE 20-8	50.13856	689	TE 49-1		51.97776
646	TM 9-12	50.24533	690	TM 8-13		52.00769
647	TE 29-5	50.26756	691	TM 40-2		52.01615
648	TE 15-10	50.36251	692	TM 15-10		52.01721
649	TE 8-13	50.40702	693	TE 34-4		52.03608
650	TE 23-7	50.40880	694	TE 7-14		52.14375
651	TE 41-2	50.45412	695	TE 38-3		52.15171
652	TE 26-6	50.46345	696	TM 23-7		52.18166
653	TM 7-13	50.56818	697	TM 29-5		52.19465
654	TM 19-8	50.58367	698	TM 36-3		52.19978
655	TM 31-4	50.66103	699	TE 19-9		52.26121
656	TM 14-10	50.67824	700	TM 6-14		52.27945

\* TM designates a zero of  $J_l(x)$ ; TE designates a zero of  $J'_l(x)$ ; in each case  $l$  corresponds to the order of the Bessel function and  $m$  is the number of the root.

† 5 in last place indicates higher value and 5 indicates lower value in rounding off for fewer decimal places.