Applications of DFT (see Girvin and Yang):

· structural determination : the external potential is

$$v_{ex+}(\vec{x}) = -\sum_{l} \frac{Z_{l}e^{2}}{|\vec{x} - \vec{R}_{l}|}$$

The total energy is

 $E_{tot}[\{\vec{R}_{l}\},n] = E_{je1}[n] + \int d^{3}x \ n(\vec{x}) \ \mathcal{U}_{ext}(\vec{x}) + \sum_{l',l'} \frac{\mathcal{Z}_{l}\mathcal{Z}_{l'}c^{2}}{|\vec{R}_{l} - \vec{R}_{l'}|}$

- Given $\{\vec{R}_{\ell}\}$, extremize $E_{tot}[\{\vec{R}_{\ell}\}, n(\vec{x})]$ wrt $n(\vec{x})$ to obtain an energy $\Phi(\{\vec{R}_{\ell}\})$. Then minimize wrt nuclear positions $\{\vec{R}_{\ell}\}$. Typically use pbc, large xtal unit cell.
- Cohesive energy: E_{coh} = E_{crystal} E_{atomic} is the crystalline binding energy (when E_{coh} < 0)
- elastic constants : vary ∮({R_ii) wrt nuclear positions
- phase diagram under pressure: At T=0, G=H=E+pV
 Including pV term, obtain G at finite pressure.

Lecture 9 (Feb. 2): Linear response theory Response functions of jellium system to an external potential: expand Ejel [not Sn] about uniform density no:

 $E[n_{o}+\delta n] = E_{jel}[n_{o}] + \frac{1}{2}\int d^{3}x \int d^{3}x' \frac{\delta^{2}E_{jel}[n]}{\delta n(\vec{x}) \delta n(\vec{x}')} \int \delta n(\vec{x}') \delta n(\vec{x}') \int n_{o}(\vec{x}') \delta n(\vec{x}') \delta n(\vec{x}') \delta n(\vec{x}')$ + $\int d^3x \left(n_0 + Sn(x) \right) \mathcal{V}_{ex+}(x) + \dots$

Thus,

 $\frac{\delta E[n]}{\delta n(\vec{x})} = \mathcal{V}_{ext}(\vec{x}) + \int d^3x' \chi''(\vec{x}, \vec{x}') \delta n(\vec{x}') \equiv 0$

where

 $\chi^{-1}(\vec{x},\vec{x}') \equiv \frac{\delta^2 E_{jel}[n]}{\delta n(\vec{x}) \delta n(\vec{x}')} \Big|_{n_o}$

is the inverse density susceptibility. We define the susceptibility $\chi(\vec{r}, \vec{r}')$ according to $\chi(\vec{k}, \vec{r}, \vec{k}) = \delta_{ik}$

 $\int d\vec{r}' \chi'(\vec{r},\vec{r}') \chi(\vec{r}',\vec{r}'') = S(\vec{r}-\vec{r}'')$ an external potential
we may now write $\chi'' results in a density response$

 $\delta n(\vec{x}) = -\int d^3x' \chi(\vec{x}, \vec{x}') \vec{v}_{ext}(\vec{x}')$

Due to translation invariance, we must have

 $\begin{aligned} \chi(\vec{x}, \vec{x}') &= \chi(\vec{x} - \vec{x}') \implies \hat{\chi}(\vec{q}) = \int d^3r \ \chi(\vec{r}) \ e^{-i\vec{q}\cdot\vec{r}} \\ & \forall e \ \text{then} \ have \ \delta \hat{n}(\vec{q}) = -\hat{\chi}(\vec{q}) \ \hat{\upsilon}_{ext}(\vec{q}) \ . \ Now \end{aligned}$

 $\chi^{-1}(\vec{x},\vec{x}') = \chi_{o}^{-1}(\vec{x},\vec{x}') + \chi_{H}^{-1}(x,x') + \chi_{xc}^{-1}(\vec{x},\vec{x}')$

where

 $\chi_{H}^{-1}(\vec{x},\vec{x}') = \frac{\delta^{2} E_{H}[n]}{\delta n(\vec{x}) \delta n(\vec{x}')} \bigg|_{n_{o}} = \frac{e^{2}}{|\vec{x}-\vec{x}'|}$

and

 $\chi_{xc}^{-1}(\vec{x},\vec{x}') = \frac{\delta^2 E_{xc}[n]}{\delta n(\vec{x}) \delta n(\vec{x}')} \bigg|_{n_0}$

and

 $\chi_{o}^{-1}(\vec{x},\vec{x}') = \frac{S^{2}T_{s}[n]}{Sn(\vec{x})Sn(\vec{x}')} |_{n_{o}}$

Later on we shall derive

 $\chi_{0}^{-1}(\vec{x}, \vec{x}') = \frac{1}{V_{q}} \sum_{i} \hat{\chi}_{0}^{-1}(\vec{q}) e^{i\vec{q}\cdot(\vec{x}-\vec{x}')}$

 $\hat{\chi}_{o}(\vec{q},T) = 2 \int_{\hat{\Omega}} \frac{d^{d}k}{(2\pi)^{d}} \frac{f^{\circ}(\vec{k}+\vec{q}) - f^{\circ}(\vec{k})}{\mathcal{E}_{o}(\vec{k}) - \mathcal{E}_{o}(\vec{k}+\vec{q})}$

where $\mathcal{E}_o(t_i) = t_i^2 t_i^2/2m$ and $f'(t_i) = \left[\exp\left(\frac{\mathcal{E}_o(t_i) - \mu}{k_B T}\right) + 1 \right]^{-1}$.

At T=0, $f^{\circ}(t_{k}) = \Theta(k_{F}-k)$ and in d=3 dimensions

 $\hat{\chi}_{o}(\dot{q},T=0) = g(\varepsilon_{F}) L(q/2k_{F})$

where $g(z_F) = mk_F / \pi^2 k^2$ with $k_F = (3\pi^2 n)^{4/3}$, and

 $L(x) = \frac{1}{2} + \frac{1-x^2}{4x} \ln \left| \frac{1+x}{1-x} \right|$

For translationally invariant is the Lindhard function. systems, we have

 $\hat{\chi}^{-1}(\vec{q}) = \hat{\chi}_{o}^{-1}(\vec{q}) + \frac{4\pi e^{2}}{\vec{q}^{2}} + \hat{\chi}_{xc}^{-1}(\vec{q})$ $\equiv \frac{4\pi e^2}{q^2} + \Pi^{-1}(q)$

where the inverse polarization function is

 $\hat{\Pi}^{-1}(\vec{q}) = \hat{\chi}^{-1}(\vec{q}) + \hat{\chi}^{-1}_{xc}(\vec{q})$

Static screening: We saw above how the linear response to an external potential $v_{ext}(\vec{x})$ is a density perturbation $S\hat{n}(\vec{q}) = -\hat{\chi}(\vec{q})\hat{v}_{ext}(\vec{q})$. This means that the potential is screened, and the effective potential experienced by an electron at \vec{x} is

 $\mathcal{V}_{scr}(\vec{x}) = \mathcal{V}_{ext}(\vec{x}) + \int d^{3}x' \frac{e^{-1}}{|\vec{x} - \vec{x}'|} \delta n(\vec{x}')$

Taking the Fourier transform, $\hat{v}_{scr}(\vec{q}) = \hat{v}_{ext}(\vec{q}) - \frac{4\pi e^2}{\vec{q}^2} \hat{\chi}(\vec{q}) \hat{v}_{ext}(\vec{q})$ $= \hat{v}_{ex+}(\vec{q})/\hat{\epsilon}(\vec{q})$ static dielectric function where $\hat{\epsilon}^{-1}(\vec{q}) = 1 - \frac{4\pi e^2}{\vec{q}^2} \hat{\chi}(\vec{q}) = \frac{1}{1 + \frac{4\pi e^2}{\vec{q}^2} \hat{\Pi}(\vec{q})}$ and thus $\hat{\epsilon}(\vec{q}) = 1 + \frac{4\pi e^2}{\vec{q}^2} \hat{\Pi}(\vec{q})$ Recall the definition of the polarization function (1); $\overline{\widehat{\Pi}}[\overline{q}] = \frac{1}{\widehat{\chi}_{o}[\overline{q}]} + \frac{1}{\widehat{\chi}_{o}[\overline{q}]}$ OK. So suppose we approximate $\Pi(\hat{q}) \approx \Pi(0) \equiv Q^2/4\pi e^2$,

where Q has dimensions of L. Then we have

$$\epsilon(\dot{q}) \approx 1 + \frac{Q^2}{\dot{q}^2}$$

and hence for $v_{ext}(\vec{x}) = -\frac{Ze^2}{|\vec{x}|}$ we have

 $\hat{v}_{scr}\left(\vec{q}\right) = -\frac{4\pi Z e^2}{\vec{q}^2 + Q^2}$

Transforming back to real space,

 $\mathcal{V}_{\rm ex+}(r) = -\frac{Ze^2}{r}e^{-Qr}$

which is a Yukawa potential. The total number of screening electrons is

$$\begin{split} \delta \mathcal{N} &= \int d^3 x \; \delta n \left(\vec{x} \right) = \lim_{\substack{q \to 0 \\ q \to 0}} \delta n \left(\vec{q} \right) = \lim_{\substack{q \to 0 \\ q \to 0}} \left\{ - \hat{\chi} \left(\vec{q} \right) \hat{\upsilon}_{ext} \left(\vec{q} \right) \right\} \\ &= \lim_{\substack{q \to 0 \\ q \to 0}} \left\{ - \frac{Z}{1 + \frac{q^2}{q \pi e^2 \cap \left(\vec{q} \right)}} \right\} \end{split}$$

Therefore, if $\vec{q}^2/\hat{\Pi}(\vec{q}) \rightarrow 0$ as $\vec{q} \rightarrow 0$, we have $\delta N = \vec{z}$, corresponding to perfect screening.

Approximations to n(q):

Thus far we have been pushing around our ignorance and giving it different names, ultimately coalescing in the Unknown polarization function ((q). Two commonly invoked approximate forms:

- Lindhard approximation: Here we set $\hat{X}_{xc}(\hat{q}) \rightarrow \infty$, in which case $\hat{\Pi}(\hat{q}) \approx \hat{\chi}_{o}(\hat{q}) \equiv \hat{\Pi}_{L}(\hat{q})$ Then

 $\hat{\chi}(\vec{q}) \approx \hat{\chi}_{l}(\vec{q}) = \frac{\hat{\chi}_{o}(\vec{q})}{1 + \frac{4\pi e^{2}}{\vec{q}^{2}} \hat{\chi}_{o}(\vec{q})}$

 $\hat{\epsilon}(\vec{q}) \approx \hat{\epsilon}_{L}(\vec{q}) = 1 + \frac{4\pi e^{2}}{\vec{q}^{2}} \hat{\Pi}_{L}(\vec{q})$

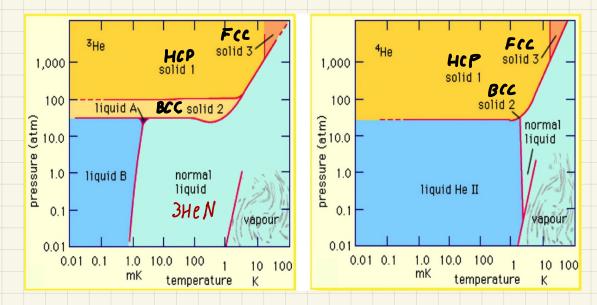
In the $\vec{q} \rightarrow 0$ limit, from our earlier expression for $\chi_0(\vec{q})$ one computes $X_0(\bar{q}) = g(\varepsilon_F) + O(\bar{q}^2)$, which entails perfect screening. But when the full g-dependence is accounted tor, one obtains not a Yukawa form for $\hat{v}_{scr}(r)$, but rather $V_{scr}^{L}(r) \propto \frac{\cos(2k_{F}r)}{r^{3}}$

at long distances. This arises from the logarithmic singularity in the Lindhard function L(q/2kF) at q=2kF, which is a consequence of the sharpness of the Fermi surface.

- Thomas-Fermi (TF) approximation: Here we ignore the q-dependence of Xo(q) and take $\widehat{\Pi}_{L}(q) \approx \widehat{X}_{o}(o) = g(\mathcal{E}_{F}) = \frac{\widehat{\varphi}_{TF}^{2}}{4\pi e^{2}}$ Thus $Q_{TF} = (4\pi e^2 g(\varepsilon_F))^{1/2}$ is the TF screening wavevector, and $\epsilon_{TF}[\tilde{q}] = 1 + Q_{TF}^2/\tilde{q}^2$, resulting in a screened potential of the Yukawa form, viz. $\hat{v}_{scr}(r) = -\frac{Ze^2}{r}e^{-Q_{TF}r}$

· Landau Fermi liquid theory

³He = 2p++n+2e, an I= fermion (at sufficiently low energy scales). Compare phase diagrams of 3He and The (a boson):



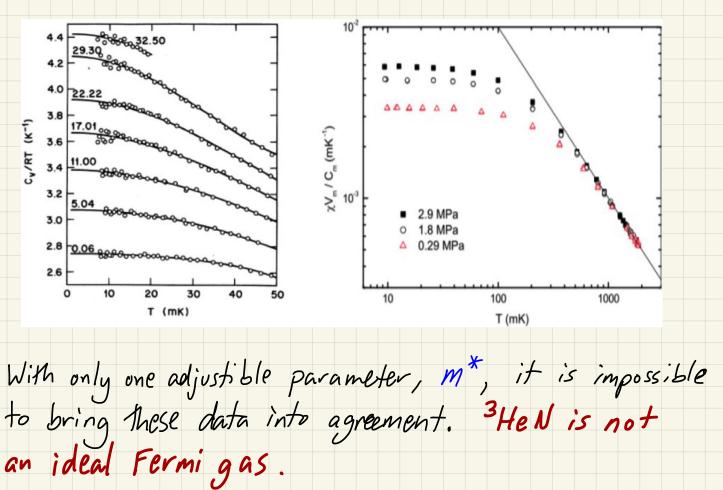
³He A and ³He B are superfluid phases differing in the symmetry of their order parameters. ³HeN is a normal fluid which behaves much as a degenerate Fermi gas, but in which interaction effects play an important role: there is a linear in T specific heat $c_v(T) \propto T$ and a Pauli-like magnetic susceptibility $\chi(T) \propto T^{\circ}$ (i.e. constant). Because $m_3 = 5.01 \times 10^{-24} g \approx 6000 m_e$, and its typical density is $n \approx 1.64 \times 10^{-22} cm^{-3}$, one has

 $T_{F}(^{3}HeN) = \frac{\hbar^{2}}{2m_{3}k_{B}}(3\pi^{2}n)^{2/3} = 4.97K$

Compare T_F (Cu) ≈ 81,000 K and T_F (Al) ≈ 135,000 K.

What this means is that one begins to see a crossover to Curie behavior XC(T) = np13/kBT, with p3 = -1.16 pB is the 3He magneton. For free fermions (5=1/2), $k_{\rm F} = (3\pi^2 n)^{1/3}$ $C_{v} = \frac{1}{V} \left(\frac{\partial E}{\partial T} \right)_{N,v} = \frac{\pi^{2}}{3} g \left(\frac{\mathcal{E}_{F}}{\mathcal{E}_{F}} \right) k_{3}^{2} T + O(T^{3})$ $g(\varepsilon_F) = mk_F/\pi^2 h^2$ $\chi = \left(\frac{\partial M}{\partial H}\right)_{N,V} = \mu_0^2 g(\mathcal{E}_F) + O(T^2)$ $f(\varepsilon) = \frac{1}{e^{(\varepsilon - \mu)/k_{B}T} + 1}$ $K = n^{-2} \left(\frac{\partial n}{\partial \mu} \right)_{T} = n^{-2} g(\Sigma_{F}) + O(T^{2})$

Let $c_v^o(T,n)$, $\chi^o(T,n)$, and $\kappa^o(T,n)$ be the IFG values. One finds that the ratios c_v/c_v^o , χ/χ^o , and κ/κ^o for 34eN all tend to different values as $T \rightarrow O$.



Theory of the normal Fermiliquid:

The core concept behind Landau's Fermi liquid theory is The notion of adiabatic continuity. Imagine a Hamiltonian

$H(\lambda) = H_0 + \lambda H_1$

where \hat{H}_0 is a free particle (i.e. noninteracting) term and \hat{H}_1 is the interaction part. We're interested in the eigenspectrum of $\hat{H}(\lambda=1)$. Imagine starting at $t = -\infty$ with $\lambda(-\infty) = 0$, and adiabatically evolving to t = 0 where $\lambda(0) = 1$. If there are no level crossings, then we have a 1:1 correspondence between states of the IFG ($\hat{H} = \hat{H}_0$) and the fully interacting system ($\hat{H} = \hat{H}_0 + \hat{H}_1$):

 $\lambda = 0 \quad |\Psi_n(\lambda)\rangle \quad \lambda = 1$

The IFG states are given by $N = \sum_{k,\sigma} N_{k\sigma}$

 $|\Psi_o[\{N_{ho}\}]\rangle = \frac{TT(c_{ho}^{\dagger})^{N_{ho}}|0\rangle}{\frac{1}{h_{to}}}$

with Nho E {0,1}. Upon adiabatic evolution, the

interacting state energy is then a function of the occupancies:

 $E_{o} = \sum_{\mathbf{k},\sigma} N_{\mathbf{k}\sigma} \mathcal{E}_{\mathbf{k}\sigma}^{o} , \quad E = E(\{N_{\mathbf{k}\sigma}\})$

More generally, we can consider the density matrix,

 $\hat{p}_{0} = \bigotimes_{k,\sigma} \left[(1 - n_{k\sigma}) | 0 \rangle < 0 | + n_{k\sigma} c_{k\sigma}^{\dagger} | 0 \rangle < 0 | c_{k\sigma} \right]$

where $n_{to} \in [0, 1] \forall to .$ In this case, $\{n_{to}\}$ may be considered a smooth distribution on the for each σ .

First law of thermodynamics: The entropy of the distribution is

 $S[\{n_{4\sigma}\}] = -k_{B}Tr\hat{\rho}_{o}\ln\hat{\rho}_{o}$

 $= -k_{B} \sum_{k\sigma} \left\{ n_{k\sigma} \ln n_{k\sigma} + (1 - n_{k\sigma}) \ln (1 - n_{k\sigma}) \right\}$

The first variation of S is then

 $\delta S = -k_{B} \sum_{t,\sigma} \ln\left(\frac{n_{t,\sigma}}{1-n_{t,\sigma}}\right) \delta n_{t,\sigma}$

The particle number is

 $N[\{n_{to}\}] = Tr(\hat{o}_{o} \sum_{t,\sigma} \hat{n}_{t\sigma}) = \sum_{t,\sigma} n_{t\sigma}$

and therefore

SN = J. Snko tho

Note that S and N are adiabatic invariants. But the energy E is not an adiabatic invariant. While

 $E_{o} = Tr(\hat{\rho}_{o}H_{o}) = \sum_{t_{i},s} n_{t_{i},s} \varepsilon_{t_{i},s}^{o}$

The energy of the adiabatically evolved density matrix is written as a functional of the distributions {n_{teo}}, and

 $SE = \sum_{t,\sigma} \tilde{E}_{t\sigma} Sn_{t\sigma} , \qquad \tilde{E}_{t\sigma} = \frac{\delta E}{\delta n_{t\sigma}}$

The quantity \tilde{E}_{to} is thus both a function of (t, σ) as well as a functional of the distributions $\{n_{to}\}$. We shall define

 $\frac{\delta \tilde{z}_{h\sigma}}{\delta n_{h\sigma'}} = \frac{\delta^2 E}{\delta n_{h\sigma}} = \frac{1}{V} \tilde{f}_{h\sigma,h\sigma'}$

Here frontional of { Mars.

We're now ready to write the First Law:

TSS = SE-MSN

Since the {Sno } are all independent variations, we conclude

 $-k_{B}T\ln\left(\frac{n_{k\sigma}}{1-n_{k\sigma}}\right) = \tilde{E}_{k\sigma} - \mu \implies n_{k\sigma} = \frac{1}{e^{(\tilde{E}_{k\sigma} - \mu)/k_{B}T} + 1}$

This last expression is vastly more complex than it might first appear, Since Etro is itself a functional of the {neo}, this relation is in fact an implicit nonlinear equation for the individual occupations new.

At T=O, though, we have

 $N_{to}(T=0) = \Theta(\mu - \tilde{E}_{to}) = N_{to}^{0}$

In an isotropic system with no magnetic field, we have

 $n_{k\sigma}^{\circ} = \bigoplus(k_F - k)$

with $k_F = (3\pi^2 n)^{1/3}$ as for the IFG.

We now define $Sn_{k\sigma} \equiv n_{k\sigma} - n_{k\sigma}^{o}$

and write the energy E as

 $E[Sn] = E_0 + \sum_{k,\sigma} \varepsilon_{k\sigma} Sn_{k\sigma} + \frac{1}{2V} \sum_{k,\sigma} \sum_{k',\sigma'} f_{k\sigma'} Sn_{k\sigma'} Sn_{k'\sigma'} + \dots$

It turns out that we need go no farther than Olon2) to obtain the low-T thermodynamic properties of the Fermi liquid. Note Etro = (SE/Snto) Sn=0, while

 $\widetilde{\mathcal{E}}_{k\sigma} = \frac{\partial E}{\delta n_{k\sigma}} = \mathcal{E}_{k\sigma} + \frac{1}{\sqrt{t_{i,\sigma}}} \int_{t_{i,\sigma}} f_{t_{i,\sigma}} \delta n_{t_{i,\sigma}} + \dots$

and hence

 $\mathcal{E}_{HO} = \frac{\delta E}{\delta n_{HO}} \left| \delta n = 0 \right|$ $\frac{1}{V} f_{k\sigma}, t_{\sigma'}^{\prime} = \frac{S \tilde{\varepsilon}_{k\sigma}}{S n_{k'\sigma'}} = \frac{S^2 \tilde{E}}{S n_{k\sigma} S n_{k'\sigma'}} \bigg|_{Sn=0} = \frac{S^2 \tilde{E}}{S n_{k\sigma} S n_{k'\sigma'}} \bigg|_{Sn=0}$

In isotropic systems,

 $\frac{1}{\hbar} \frac{\partial \mathcal{E}_{ko}}{\partial k} \Big| = \mathcal{V}_{F} \hat{k}$ $k = k_{F}$

with v_F the Fermi velocity. The Fermi energy is $\mathcal{E}_F = \mathcal{E}_{k\sigma} \Big|_{k=k_F}$ and the DOS at the Fermi level is

 $g(\mathcal{E}_{F}) = \sum_{\sigma} \int_{(2\pi)^{3}} \frac{d^{3}k}{\delta(\mathcal{E}_{F} - \mathcal{E}_{k\sigma})} = \frac{m^{*}k_{F}}{\pi^{2}h^{2}}$

In systems with spin isotropy, we define $f_{to,t'\sigma'} = f_{t,t'}^{s} + \sigma\sigma' f_{t,t'}^{a}$ Thus, $f_{\sharp \uparrow}, \sharp \uparrow = f_{\sharp \downarrow}, \sharp \downarrow = f_{\sharp, \sharp} + f_{\sharp, \sharp}$ $f_{kT,k'} = f_{kL,k'} = f_{k,k'} - f_{k,k'}^{a}$ Recall $[f_{\#\sigma,\#\sigma'}] = E \cdot V$. Thus, multiplying by $g(\mathcal{E}_F)$, We obtain the dimensionless functions $F_{t_i,t_i}^{s,a} \equiv g(\varepsilon_F) f_{t_i,t_i}^{s,a}$ Fro, to = g(E=) fro, to With k and k' both on the Fermi surface, i.e. $k = k_F \hat{h}$ and $k' = k_F \hat{k}'$, we may write $F_{k_{\tilde{k}}\hat{k},k_{\tilde{k}}\hat{k}'}^{S,a} \equiv F^{S,a}(\mathcal{D}_{\hat{k},\hat{k}'}) \equiv \sum_{L=0}^{\infty} F_{L}^{S,a} P_{L}(\hat{k}\cdot\hat{k}')$ where $\mathcal{V}_{\hat{k},\hat{k}'} \equiv \cos^{-1}(\hat{k}\cdot\hat{k}')$. From either function $F^{s,a}(\mathcal{V})$, we can obtain the coefficients $F_{L}^{s,G}$ by $F_{L}^{S,Q} = \frac{2L+1}{4\pi} \int d\Omega F(2P) P_{L}(\cos 2P)$ where $d\Omega = \sin \theta \, d\theta \, d\phi$ is the differential solid angle.