

Physics 218 C

Lecture 3a - Part of

→ Left - Overs  
(us TTF Dinner)

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stew  
⋮

a.) Reduced MHD

b.) Dynamics of Zonal Flows in DWT

→ An Introduction

On Reduced MHD



Reduced MHD → Simplifying the representation  
 → strong magnetization - anisotropy.  
 $\delta B_{||} \rightarrow 0; \delta B_{\perp} = \nabla A_{||} \times \hat{z}$   
 $\delta v_{\perp} = \nabla \psi \times \hat{z}$  9k

Aside

→  $\tau > \tau_{MS}$

→ Reduced MHD → { Reduced Representation for strong @ straight  $B_0$  }  
 → eliminates fast mode

Note: ① Full MHD : 3  $\underline{v}$  components  
 2  $\underline{B}$  " " ( $\underline{v} \cdot \underline{B} = 0$ )  
 $\rho, p$

⇒ 7 components

② if  $\underline{v} \cdot \underline{v} = 0$  ⇒ 4 components  
 ( $\rho = \text{const}$ ,  $p$  from  $\underline{v} \cdot \underline{v} = 0$ )

③ strongly magnetized system ⇒ Reduced MHD  
 ⇒ scalar equations for  $\phi, \psi$  (2 scalar fields)

Now:

- assume strong  $B_z$  (strong magnetization → gyrokinetics)

"strong" ⇔  $\rho v^2 \sim \rho \ll B_z^2 / 8\pi$  → later

[ so motion strongly anisotropic, and small scales generated in  $\perp$  direction only, as strong  $B_z$  inhibits line bending, (energy to perturb strong, high energy density field).

⇒ order :  $B_z \sim v_{\perp} \sim 1$   
 $B_{\perp} \sim \alpha_z \sim O(\epsilon)$

Take  $\rho \sim 1$ , as  $\underline{v} \cdot \underline{v} = 0$  enforced by strong  $B_z$ .

$v_{\perp}^2 \sim \rho \sim B_z^2$  (i.e. equipartition of energy (springiness))

$\Rightarrow v_{\perp} \sim \epsilon, \rho \sim \epsilon^2, \partial_t \sim v_{\perp} + v_z \sim \epsilon$

and pressure balance ( $\underline{v} \cdot \underline{v} = 0$  / ~~in~~ incompressibility)

$\partial(B_z^2) \sim 2B_z \partial B_z \sim \rho$

$\Rightarrow \Delta B_z \sim \epsilon^2$

(e2brm)  
 $\omega \ll k(\epsilon^2 + v^2)^{1/2}$   
 [idea is to order out the fast mode]

to lowest order  $\Rightarrow B_z = \text{const.}$

Now then:

$(\underline{v} \cdot \underline{B} = 0)$

$$\underline{B} = \underline{z} \times \nabla \psi + B_z \underline{z}$$

$$= \nabla A_{\parallel} \times \underline{z} + B_z \underline{z}$$

$$\psi = -A_{\parallel}$$

B rep. by single scalar potential

$\underline{v} \cdot \underline{B} = \partial_z \tilde{B}_z = \epsilon^3 \rightarrow 0$

parallel comp. of vector pot.

Similarly;

$\partial_z \rho \sim 0(\epsilon^3)$   
 $\int_{\perp} B_{\perp} \sim \epsilon^3$

$\Rightarrow v_z \ll v_{\perp}$   
 neglect  $v_z$ .



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Now, 
$$\underline{E} = -\frac{1}{c} \frac{\partial \underline{A}}{\partial t} - \underline{\nabla} \phi = -\frac{\underline{v} \times \underline{B}}{c}$$

$$\Rightarrow +\frac{1}{c} \frac{\partial \underline{A}}{\partial t} = \frac{\underline{v} \times \underline{B}}{c} - \underline{\nabla} \phi \quad (*)$$

$$B_z = (\underline{\nabla} \times \underline{A}_\perp) \cdot \underline{\hat{z}}$$

so  $\partial_t A_\perp \sim e^3$

(calc  $\partial_z \rho_z$ )

$$\underline{\nabla}_\perp \phi \approx \left( \frac{\underline{v} \times \underline{B}}{c} \right)_\perp$$

in  $(*)$   $\underline{v}_\perp \leftrightarrow \underline{\nabla} \phi$

Inductive piece negligible.

$$\Rightarrow \underline{v}_\perp = \frac{c \underline{\hat{z}} \times \underline{\nabla}_\perp \phi}{B_z}$$

$\perp$  velocity  
 $\rightarrow$  motion  $\perp$  is  $\underline{E} \times \underline{B}$

Now (units!), taking parallel component of  $(*)$

$$\Rightarrow \frac{\partial \psi}{\partial t} + \underline{v} \cdot \underline{\nabla} \psi = B_z \partial_z \phi$$

(vector potential)

so have (flux) equation:

$\underline{v} \cdot \underline{\nabla} \psi$  from

$\underline{B} \cdot \underline{\nabla} \phi \rightarrow$

$B_z \partial_z \phi + \underline{\nabla}_\perp \times \underline{\hat{z}} \cdot \underline{\nabla} \phi$

equation of evolution of magnetic flux.

$$= B_z \underline{\hat{z}} + \underline{\hat{z}} \times \underline{\nabla} \psi$$

or, alternatively,

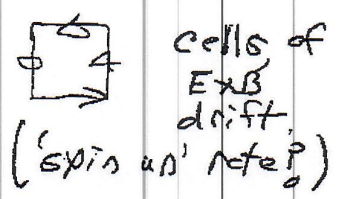
$$\frac{\partial \psi}{\partial t} - \underline{\beta} \cdot \underline{\nabla} \psi = 0$$

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Finally, for  $\phi$ , write:

$$\frac{\partial \underline{v}}{\partial t} + \underline{v} \cdot \underline{\nabla} \underline{v} = - \frac{\underline{\nabla} \phi}{\rho_0} + \frac{\underline{J} \times \underline{B}}{c}$$

↓ motion



$(\underline{\nabla} \times) \cdot \underline{\hat{z}} \Rightarrow$  vorticity component ( $\parallel \underline{\hat{z}}$ )

Dynamics  $\perp \rho/m_0$ ,  
 $\underline{\nabla} \phi \times \underline{\hat{z}} = \underline{\omega}_z$

evolution.

$$\frac{\partial \omega_z}{\partial t} + \underline{v}_\perp \cdot \underline{\nabla} \omega_z = - \underline{\nabla} \times \frac{\underline{\nabla} \phi}{\rho_0} + \underline{\hat{z}} \cdot \underline{\nabla} \times \left( \frac{\underline{J} \times \underline{B}}{c} \right)$$

$$= \underline{\beta} \cdot \underline{\nabla} J_z - \underline{J} \cdot \underline{\nabla} B_z \quad \text{with } \rho B_z \sim \epsilon^3$$

$$\approx \underline{\beta} \cdot \underline{\nabla} J_z$$

$$\frac{\partial \omega_z}{\partial t} + \underline{v} \cdot \underline{\nabla} \omega_z = \underline{\beta} \cdot \underline{\nabla} J_z$$

but:

$$\omega_z = \underline{\hat{z}} \cdot \underline{\nabla} \times \underline{v} = \nabla^2 \phi$$

$$J_z = \underline{\hat{z}} \cdot (\underline{\nabla} \times \underline{B}) \frac{c}{4\pi} = \nabla^2 \psi$$



So → Waves → time scales → Reduced MHD

6.

75%

so finally have:

$$\left\{ \begin{aligned} \frac{\partial \sigma \phi}{\partial t} + \underline{v} \cdot \underline{\nabla} \sigma \phi &= \beta_z \frac{\partial \sigma^2 \psi}{\partial z} \\ &+ \underline{\tilde{B}} \cdot \underline{\nabla} \sigma^2 \psi \end{aligned} \right.$$

Finally, have reduced MHD equation:

$$B = B_0 \hat{e}_z$$

$$\left\{ \begin{aligned} \frac{\partial \psi}{\partial t} + \underline{v} \cdot \underline{\nabla} \psi &= \beta_z \partial_z \phi + \eta \nabla^2 \psi \\ \frac{\partial \sigma \phi}{\partial t} + \underline{v} \cdot \underline{\nabla} \sigma \phi - \nu \nabla^2 \sigma \phi &= \underline{\tilde{B}} \cdot \underline{\nabla} \sigma^2 \psi + \beta_z \frac{\partial \sigma^2 \psi}{\partial z} \end{aligned} \right.$$

$$E_{||} = -\eta \nabla_{||}$$

Verticality in plane +  $\hat{e}_z$

- note have reduced MHD to 2 scalar evolution equations
- does this look familiar?
- 2D dynamics + shear Alfvén wave.
- nonlinearity → 2D dynamics.

even stronger

$$B_z \partial_z \rightarrow 0$$

7.5.

- for 2D MHD:

$$\partial_z \psi = 0$$

$$\partial_z \phi = 0$$

p. 0. +  $\rho v z$ .

$$\left[ \begin{array}{l} \frac{\partial}{\partial t} \nabla^2 \phi + \underline{v} \cdot \underline{\nabla} \nabla^2 \phi = \underline{B} \cdot \underline{\nabla} \nabla^2 \psi + \nu \nabla^2 \nabla^2 \phi \\ \frac{\partial \psi}{\partial t} + \underline{v} \cdot \underline{\nabla} \psi = \eta \nabla^2 \psi \end{array} \right]$$

15.1 Conservation Laws, etc. (HW)

$$\frac{d}{dt} E = 0 \quad (\text{to } \eta, \nu), \quad E = \int d^3x \left[ \frac{(\nabla \phi)^2}{2} + \frac{(\nabla \psi)^2}{2} \right]$$

$$\textcircled{2} \quad H = \underline{A} \cdot \underline{B} \approx B_z \psi$$

$\uparrow$   
const.

$$\int d^3x A^2 = 15 \text{ MP (2D)}$$

$$\Rightarrow H = \int d^3x B_z \psi, \quad \frac{dH}{dt} = 0, \quad \text{to } o(\eta)$$

Ohm's Law (flux advection) is simple statement

$$\frac{\partial}{\partial t} \psi + \underline{v} \cdot \underline{\nabla} \psi = \eta \nabla^2 \psi \quad \text{form } \Gamma \psi \text{ s/t } \begin{cases} H \text{ conserved} \\ EM \text{ dissipated} \end{cases}$$

$$\textcircled{3} \quad \underline{K} = \int d^3x \underline{v} \cdot \underline{B} = \int d^3x (\nabla \phi \cdot \nabla \psi)$$

also conserved, to dissipation.

Alfvén wave  $\mu$ -pulsation  $u b = k v c$ .



## Reduced MHD - Brief

See Strauss, '76 for full details  
(P. 27)

- the points:
- strong  $\langle \beta \rangle$ ,  $\odot$  straight
  - low frequency ( $\omega < \omega_{MS}$ )
  - $\langle \beta \rangle \approx$  unperturbed
  - $\nabla \cdot \underline{V} = 0$

$$\nabla \cdot \underline{V} = 0 \Rightarrow 2 \text{ components } \underline{V}$$

$$\underline{V} \cdot \underline{B} = 0 \Rightarrow 2 \text{ components } \underline{B}$$

$$\underline{F} + \frac{\underline{V} \times \underline{B}}{c} \approx 0$$

$$\Rightarrow \frac{\underline{V}}{c} = + \frac{c}{B} \underline{F} \times \underline{z}^1$$

$$\underline{F}_\perp = - \frac{\nabla_\perp \phi}{c} - \frac{d}{dt} \frac{\underline{A}_\perp}{c}$$

$\underline{F}_\parallel \rightarrow 0$

$$\underline{V}_\perp = - \frac{c}{B} \nabla_\perp \phi \times \underline{z}^1$$

$$\nabla \times \underline{A} = 0$$

$$\underline{E}_\parallel = \nabla A_\parallel \times \underline{z}^1$$

$$\underline{B}_\perp = \nabla A_\perp \times \underline{z}^1$$

Then,

$$\underline{E} = -\frac{1}{c} \frac{\partial \underline{A}}{\partial t} - \underline{\nabla} \phi = \mu \underline{J}$$

$$E_{||} = -\frac{1}{c} \frac{\partial A_{||}}{\partial t} - \nabla_{||} \phi = \mu J_{||}$$

$$\underline{B} = B_0 \underline{\hat{z}} + \underline{B}_\perp$$

$\Rightarrow$

$$\frac{-1}{c} \frac{\partial A_{||}}{\partial t} - \frac{(B_0 \underline{\hat{z}} + \underline{\tilde{B}}_\perp) \cdot \underline{\nabla} \phi}{|B_0 \underline{\hat{z}} + \underline{\tilde{B}}_\perp|} = \mu J_{||}$$

$$-\frac{1}{c} \frac{\partial A_{||}}{\partial t} - \partial_z \phi - \underline{\tilde{B}}_\perp \cdot \underline{\nabla} \phi = \mu J_{||}$$

and

$$-\frac{1}{c} \frac{\partial A_{||}}{\partial t} - \partial_z \phi - \underline{\nabla} A_{||} \times \underline{\hat{z}} \cdot \underline{\nabla} \phi = \mu J_{||}$$

$$\frac{\partial \psi}{\partial t} + \underline{v} \cdot \underline{\nabla} \psi = \partial_z \phi + \mu \nabla^2 \psi$$

Reduced  
Ohm's  
Law



Now,



strong field

+

$v_{\perp}$  only  $\rightarrow$  set by  $\phi$

$$\frac{\omega}{|B|}, \frac{B_0 \cdot \nabla \times \underline{v}}{B} = \underline{\hat{z}} \cdot \nabla \times \underline{v} \rightarrow \text{is the key to dynamics}$$

$$\text{Now, } \rho \left( \frac{\partial \underline{v}}{\partial t} + \underline{v} \cdot \nabla \underline{v} \right) = -\nabla p + \frac{\underline{J} \times \underline{B}}{c}$$

$$\underline{v} \cdot \nabla \underline{v} = \frac{\nabla v^2}{2} - \underline{v} \times \underline{\omega}$$

$$\rho \left( \frac{\partial \underline{v}}{\partial t} \right) = -\nabla \left( p + \frac{\rho v^2}{2} \right) + \rho \underline{v} \times \underline{\omega} + \frac{\underline{J} \times \underline{B}}{c}$$

$\rho \equiv \rho_0$  (incompressible/Boussinesq)

$$\frac{\partial \underline{v}}{\partial t} = -\nabla \left( \frac{p}{\rho} + \frac{v^2}{2} \right) + \underline{v} \times \underline{\omega} + \frac{\underline{J} \times \underline{B}}{c\rho}$$

$$= -\nabla \left( \frac{p}{\rho} + \frac{B^2}{8\pi\rho} + \frac{v^2}{2} \right) + \underline{v} \times \underline{\omega} + \frac{\underline{B} \cdot \nabla \underline{B}}{4\pi\rho}$$

$$= -\nabla \left( \frac{p}{\rho} + \frac{B^2}{8\pi} + \frac{v^2}{2} \right) + \underline{v} \times \underline{\omega} + \frac{B^2}{4\pi\rho} \underline{\hat{z}} + \underline{B}_{\perp} \cdot \nabla \underline{B}_{\perp}$$

(no  $B_0$  term)

SO Dx

$$\frac{\partial \underline{\omega}}{\partial t} = \underline{\nabla} \times \underline{v} \times \underline{\omega} + \frac{\beta_0 \partial_z}{4\pi \rho_0} \underline{\nabla} \times \underline{\tilde{B}}_1$$

$$+ \frac{\tilde{\beta}_1}{4\pi \rho_0} \nabla_{\perp} (\underline{v} \times \underline{\tilde{B}}_1)$$

$$= -\underline{v} \cdot \nabla \underline{\omega} + \underline{\omega} \cdot \nabla \underline{v} + \frac{\beta_0 \partial_z}{4\pi \rho_0} \underline{\nabla} \times \underline{\tilde{B}}_1$$

$$+ \frac{\tilde{\beta}_1}{4\pi \rho_0} \nabla_{\perp} (\underline{v} \times \underline{\tilde{B}}_1)$$

z, ( )  $\Rightarrow$

$$\Rightarrow \frac{d}{dt} \omega_z = \underline{\omega} \cdot \underline{\nabla} \omega_z + \frac{\beta_0 \partial_z}{4\pi \rho_0} \nabla_{\perp}^2 \tilde{J}_{R1} + \frac{\nabla A_{\perp 1} \times \tilde{\mathbf{z}}}{4\pi \rho_0} \cdot \nabla \tilde{J}_{T1}$$

$$\omega_z \rightarrow \nabla^2 \phi$$

$$\frac{d}{dt} \nabla^2 \phi = \partial_z \nabla^2 \phi + \underline{v} \cdot \nabla \nabla^2 \phi = \frac{\beta_0 \partial_z}{4\pi \rho_0} \tilde{J}_2 + \frac{\tilde{\beta}_1 \nabla}{4\pi \rho_0} \tilde{J}_2$$

$\rightarrow$  Vorticity eqn!

Alternative Approach:

①  $\hat{n} \cdot (\underline{E}_* = n \underline{J})$

→ as before!

②

$\frac{\partial \rho}{\partial t} + \underline{\nabla} \cdot \underline{J} = 0$ , continuity!

$\rho = (n_i - n_e) e$

and  $qN \neq 0$

$\underline{\nabla} \cdot \underline{J} = 0$

→ general!

advective

⇒

$\underline{\nabla}_\perp \cdot \underline{J}_\perp = -\underline{\nabla}_\parallel J_\parallel$

$\left[ n_0 m_e \frac{d \underline{v}_0}{dt} = n_0 \underline{E} - \underline{\nabla} p + n_0 \underline{v}_0 \times \underline{B}_0 \right]$

$\sim O(\omega/\Omega)$  expansion (low P.C.T.),  $\Omega$

$\underline{J} = (n_i \underline{v}_E - n_e \underline{v}_E) e + n_e e \underline{v}_{pol}$

Exo current, conects.

polarization current → cons (m<sub>i</sub> >> m<sub>e</sub>)

$\underline{\nabla}_\perp \cdot (n_0 \underline{v}_{pol}) = -\frac{1}{e} \underline{\nabla}_\parallel J_\parallel$

→ uort  $e \perp$

$= -\frac{1}{e} \cdot (\partial_z \tilde{J}_\parallel + \tilde{B}_\perp \cdot \underline{\nabla}_\perp \tilde{J}_\parallel)$



and back to verifcity  $o_{21}$ !

⇒ can extend to H-W, H-M, 3 field, ITC...

→ Now, can relate routes to RMHD:



So can come to RMHD by different orders of strings field and fluid approx.

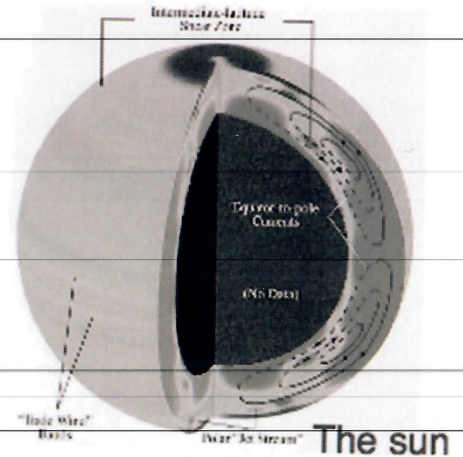
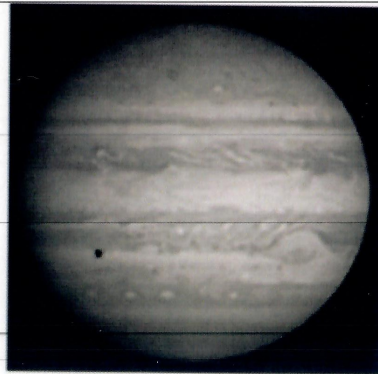
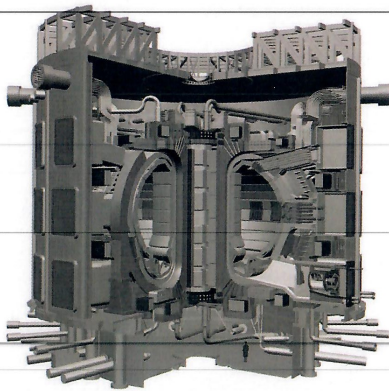
Now, extensions :

# Dynamics of Zonal Flows in DWT

→ An Introduction

# What regulates radial extent? → Shear Flows 'Natural' to Tokamaks

- Zonal Flows Ubiquitous for:
  - ~ 2D fluids / plasmas  $R_0 < 1$
  - Rotation  $\vec{\Omega}$ , Magnetization  $\vec{B}_0$ , Stratification
  - Ex: MFE devices, giant planets, stars...

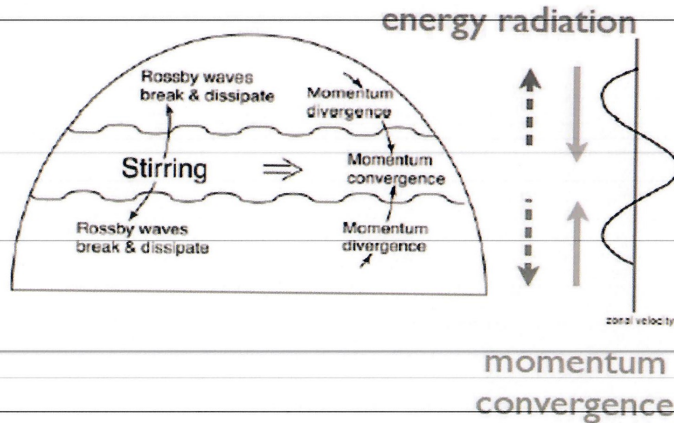




# Heuristics of Zonal Flows a): How Form?

## Simple Example: Zonally Averaged Mid-Latitude Circulation

- ▶ classic GFD example: Rossby waves + Zonal flow  
(c.f. Vallis '07, Held '01)
- ▶ Key Physics:



Rossby Wave:

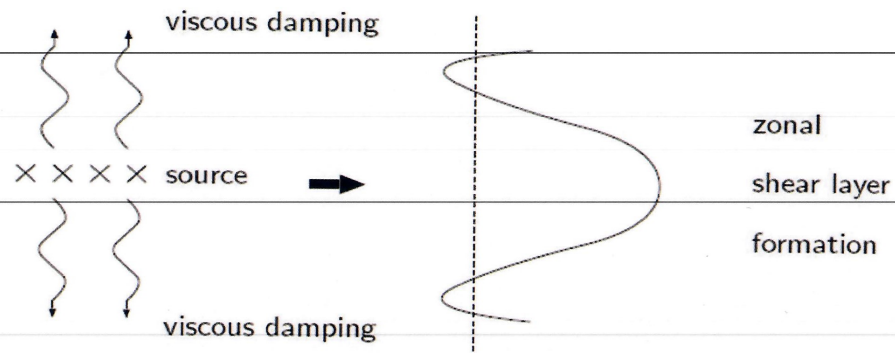
$$\omega_k = -\frac{\beta k_x}{k_\perp^2}$$

$$v_{gy} = 2\beta \frac{k_x k_y}{(k_\perp^2)^2}, \quad \langle \tilde{v}_y \tilde{v}_x \rangle = \sum_{\vec{k}} -k_x k_y |\hat{\phi}_{\vec{k}}|^2$$

$\therefore v_{gy} v_{phy} < 0 \rightarrow$  Backward wave!

$\rightarrow$  Momentum convergence  
at stirring location

- ▶ ... “the central result that a rapidly rotating flow, when stirred in a localized region, will converge angular momentum into this region.” (I. Held, '01)
- ▶ Outgoing waves  $\Rightarrow$  incoming wave momentum flux

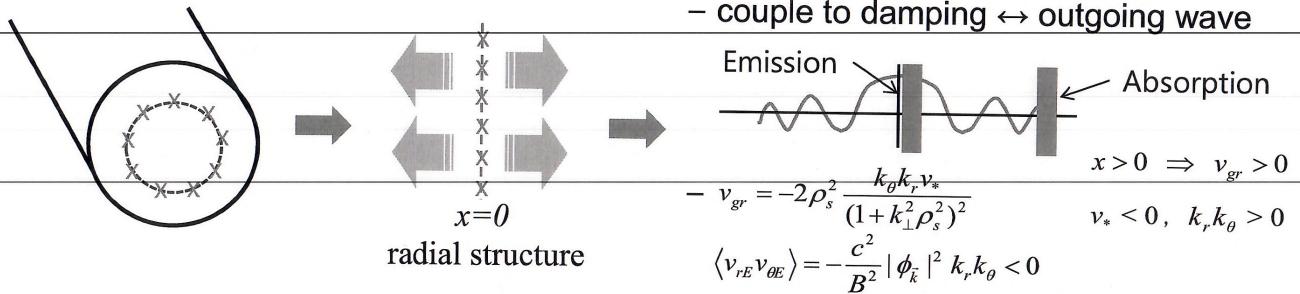


- ▶ Local Flow Direction (northern hemisphere):
  - ▶ eastward in source region
  - ▶ westward in sink region
  - ▶ set by  $\beta > 0$
  - ▶ Some similarity to spinodal decomposition phenomena
    - $\rightarrow$  Both 'negative diffusion' phenomena

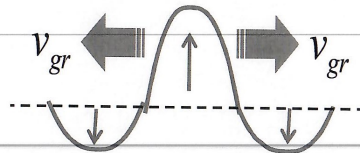
# Wave-Flows in Plasmas

## MFE perspective on Wave Transport in DW Turbulence

- localized source/instability drive intrinsic to drift wave structure



- outgoing wave energy flux  $\rightarrow$  incoming wave momentum flux  
 $\rightarrow$  counter flow spin-up!



- zonal flow layers form at excitation regions



# Zonal Flows I

---

- What is a Zonal Flow?
  - $n = 0$  potential mode;  $m = 0$  (ZFZF), with possible sideband (GAM)
  - toroidally, poloidally symmetric  $E \times B$  shear flow
- Why are Z.F.'s important?
  - Zonal flows are secondary (nonlinearly driven):
    - modes of minimal inertia (Hasegawa et. al.; Sagdeev, et. al. '78)
    - modes of minimal damping (Rosenbluth, Hinton '98)
    - drive zero transport ( $n = 0$ )
  - natural predators to feed off and retain energy released by gradient-driven microturbulence

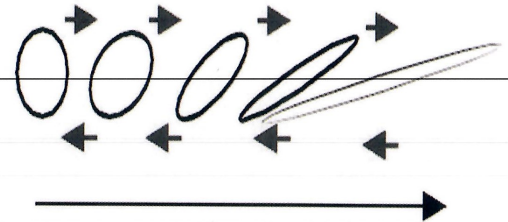
# Zonal Flows II

- Fundamental Idea:
  - Potential vorticity transport + 1 direction of translation symmetry  
→ Zonal flow in magnetized plasma / QG fluid
  - Kelvin's theorem is ultimate foundation
- G.C. ambipolarity breaking → polarization charge flux → Reynolds force
  - Polarization charge  $\Rightarrow -\rho^2 \nabla^2 \phi = n_{i,GC}(\phi) - n_e(\phi)$ 
    - polarization length scale*  $\leftarrow$
    - $n_{i,GC}(\phi)$   $\leftarrow$  ion GC
    - $n_e(\phi)$   $\leftarrow$  electron density
  - so  $\Gamma_{i,GC} \neq \Gamma_e \Rightarrow \rho^2 \langle \tilde{v}_{rE} \nabla_{\perp}^2 \tilde{\phi} \rangle \neq 0 \iff$  'PV transport'
    - $\hookrightarrow$  polarization flux  $\rightarrow$  What sets cross-phase?
  - If 1 direction of symmetry (or near symmetry):
    - $\rho^2 \langle \tilde{v}_{rE} \nabla_{\perp}^2 \tilde{\phi} \rangle = -\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle$  (Taylor, 1915)
    - $-\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle \Rightarrow$  Reynolds force  $\Rightarrow$  Flow

# Zonal Flows Shear Eddys I

- Coherent shearing: (Kelvin, G.I. Taylor, Dupree'66, BDT'90)

- radial scattering +  $\langle V_E \rangle' \rightarrow$  hybrid decorrelation
- $k_r^2 D_\perp \rightarrow (k_\theta^2 \langle V_E \rangle'^2 D_\perp / 3)^{1/3} = 1/\tau_c$
- Akin shear dispersion
- shaping, flux compression: Hahm, Burrell '94



- Other shearing effects (linear):

- spatial resonance dispersion:  $\omega - k_\parallel v_\parallel \Rightarrow \omega - k_\parallel v_\parallel - k_\theta \langle V_E \rangle' (r - r_0)$
- differential response rotation  $\rightarrow$  especially for kinetic curvature effects

Response shift  
and dispersion  $\rightarrow$

Time

$\rightarrow$  N.B. Caveat: Modes can adjust to weaken effect of external shear

(Carreras, et. al. '92; Scott '92)



# Shearing II

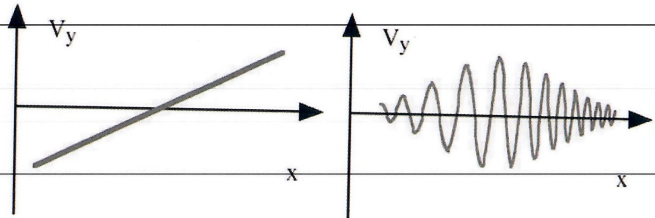
- Zonal Shears: Wave kinetics (Zakharov et. al.; P.D. et. al. '98, et. seq.)  
Coherent interaction approach (L. Chen et. al.)

- $dk_r / dt = -\partial(\omega + k_\theta V_E) / \partial r$ ;  $V_E = \langle V_E \rangle + \tilde{V}_E$

Mean shearing :  $k_r = k_r^{(0)} - k_\theta V_E' \tau$

Zonal :  $\langle \delta k_r^2 \rangle = D_k \tau$

Random shearing  $D_k = \sum_q k_\theta^2 |\tilde{V}_{E,q}'|^2 \tau_{k,q}$



- Wave ray chaos (not shear RPA) underlies  $D_k \rightarrow$  induced diffusion
- Induces wave packet dispersion
- Applicable to ZFs and GAMs

- Mean Field Wave Kinetics

$$\frac{\partial N}{\partial t} + (\vec{V}_{gr} + \vec{V}) \cdot \nabla N - \frac{\partial}{\partial r} (\omega + k_\theta V_E) \cdot \frac{\partial N}{\partial \vec{k}} = \gamma_{\vec{k}} N - C\{N\}$$

$$\Rightarrow \frac{\partial}{\partial t} \langle N \rangle - \frac{\partial}{\partial k_r} D_k \frac{\partial}{\partial k_r} \langle N \rangle = \gamma_{\vec{k}} \langle N \rangle - \langle C\{N\} \rangle$$

Zonal shearing  $\rightarrow$  computed using modulational response

# Shearing III

- Energetics: Books Balance for Reynolds Stress-Driven Flows!
- Fluctuation Energy Evolution – Z.F. shearing

$$\int d\vec{k} \omega \left( \frac{\partial}{\partial t} \langle N \rangle - \frac{\partial}{\partial k_r} D_k \frac{\partial}{\partial k_r} \langle N \rangle \right) \rightarrow \frac{\partial}{\partial t} \langle \varepsilon \rangle = - \int d\vec{k} V_{gr}(\vec{k}) D_{\vec{k}} \frac{\partial}{\partial k_r} \langle N \rangle \quad V_{gr} = \frac{-2k_r k_\theta V_* \rho_s^2}{(1 + k_\perp^2 \rho_s^2)^2}$$

Point: For  $d\langle \Omega \rangle / dk_r < 0$ , Z.F. shearing damps wave energy

- Fate of the Energy: Reynolds work on Zonal Flow

Modulational  
Instability

$$\partial_t \delta V_\theta + \partial \left( \delta \langle \tilde{V}_r \tilde{V}_\theta \rangle \right) / \partial r = -\gamma \delta V_\theta$$

$$\delta \langle \tilde{V}_r \tilde{V}_\theta \rangle \sim \frac{k_r k_\theta \delta \Omega}{(1 + k_\perp^2 \rho_s^2)^2}$$

N.B.: Wave decorrelation essential:  
Equivalent to PV transport  
(c.f. Gurcan et. al. 2010)

- Bottom Line:

- Z.F. growth due to shearing of waves
- “Reynolds work” and “flow shearing” as relabeling → books balance
- Z.F. damping emerges as critical; MNR ‘97

Modulation → inhomogeneity  
in PV mixing

# Approaches to Modulation

~ Weak, Wave Turbulence Problems

→ Quasi-particle, Wave Kinetics →  $\delta N$

See: P.D. Itoh, Itoh, Hahm '05 PPCF

→ Envelope Theory, Generalized NLS →  $\psi$

See: O.D. Gurcan, P.D. '2014 J. Phys. A.

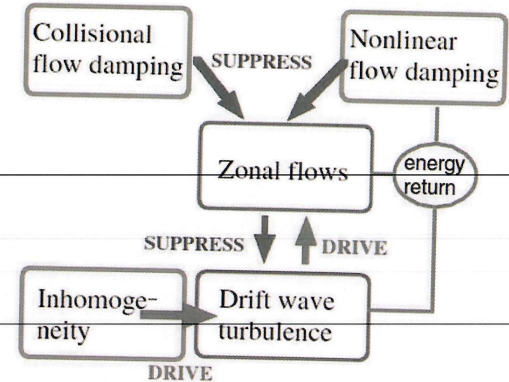
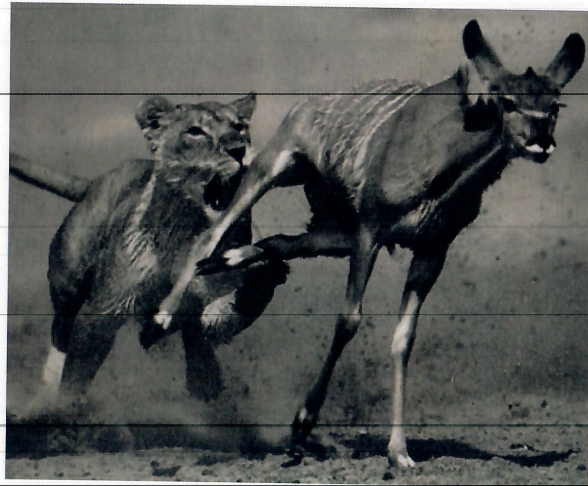
N.B.: Representation of PV mixing and its inhomogeneity

is crucial



# Feedback Loops I

- Closing the loop of shearing and Reynolds work
- Spectral 'Predator-Prey' equations



Prey  $\rightarrow$  Drift waves,  $\langle N \rangle$

$$\frac{\partial}{\partial t} \langle N \rangle - \frac{\partial}{\partial k_r} D_k \frac{\partial}{\partial k_r} \langle N \rangle = \gamma_k \langle N \rangle - \frac{\Delta \omega_k}{N_0} \langle N \rangle^2$$

Predator  $\rightarrow$  Zonal flow,  $|\phi_q|^2$

$$\frac{\partial}{\partial t} |\phi_q|^2 = \Gamma_q \left[ \frac{\partial \langle N \rangle}{\partial k_r} \right] |\phi_q|^2 - \gamma_d |\phi_q|^2 - \gamma_{NL} [|\phi_q|^2] |\phi_q|^2$$

# Feedback Loops II

- Recovering the 'dual cascade':

- Prey  $\rightarrow \langle N \rangle \sim \langle \Omega \rangle \Rightarrow$  induced diffusion to high  $k_r$   $\left\{ \begin{array}{l} \Rightarrow \text{Analogous} \rightarrow \text{forward potential} \\ \text{enstrophy cascade; PV transport} \end{array} \right.$
- Predator  $\rightarrow |\phi_q|^2 \sim \langle V_{E,\theta}^2 \rangle \left\{ \begin{array}{l} \Rightarrow \text{growth of } n=0, m=0 \text{ Z.F. by turbulent Reynolds work} \\ \Rightarrow \text{Analogous} \rightarrow \text{inverse energy cascade} \end{array} \right.$

- Mean Field Predator-Prey Model

(P.D. et. al. '94, DI<sup>2</sup>H '05)

$$\frac{\partial}{\partial t} N = \gamma N - \alpha V^2 N - \Delta \omega N^2$$

$$\frac{\partial}{\partial t} V^2 = \alpha N V^2 - \gamma_d V^2 - \gamma_{NL} (V^2) V^2$$

## System Status

State	No flow	Flow ( $\alpha_2 = 0$ )	Flow ( $\alpha_2 \neq 0$ )
$N$ (drift wave turbulence level)	$\frac{\gamma}{\Delta \omega}$	$\frac{\gamma_d}{\alpha}$	$\frac{\gamma_d + \alpha_2 \gamma \alpha^{-1}}{\alpha + \Delta \omega \alpha_2 \alpha^{-1}}$
$V^2$ (mean square flow)	0	$\frac{\gamma}{\alpha} - \frac{\Delta \omega \gamma_d}{\alpha^2}$	$\frac{\gamma - \Delta \omega \gamma_d \alpha^{-1}}{\alpha + \Delta \omega \alpha_2 \alpha^{-1}}$
Drive/excitation mechanism	Linear growth	Linear growth	Linear growth Nonlinear damping of flow
Regulation/inhibition mechanism	Self-interaction of turbulence	Random shearing, self-interaction	Random shearing, self-interaction
Branching ratio $\frac{V^2}{N}$	0	$\frac{\gamma - \Delta \omega \gamma_d \alpha^{-1}}{\gamma_d}$	$\frac{\gamma - \Delta \omega \gamma_d \alpha^{-1}}{\gamma_d + \alpha_2 \gamma \alpha^{-1}}$
Threshold (without noise)	$\gamma > 0$	$\gamma > \Delta \omega \gamma_d \alpha^{-1}$	$\gamma > \Delta \omega \gamma_d \alpha^{-1}$