

→ Phase space flow incompressible  
(Liouville Thm.)

→ Derive Vlasov Egn. from:

- Liouville Egn.

$$- N = \sum_i \delta(\underline{x} - \underline{x}_i) \delta(\underline{v} - \underline{v}_i)$$

Klimontovich  
Egn.

- hierarchy, with  $F(\underline{x}_1, \underline{x}_2, t) =$

"crushed  
peg soup"

$$f(\underline{x}_1, t) f(\underline{x}_2, t) + g(\underline{x}_1, \underline{x}_2, t)$$

$$\text{and } 1/n \lambda_D^3 \ll 1 \Rightarrow g \ll f^2 \text{ etc.}$$

(Return in Fluctuations Discussion)

#### IV.) Collective Response in Collisionless Plasma

→ Waves in Vlasov Plasma (10)

$$- \omega, kv \gg \nu \Rightarrow$$

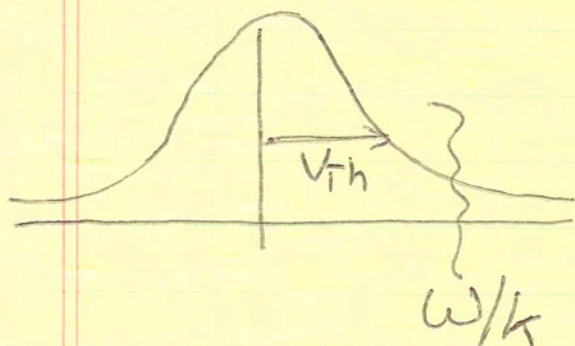
$$f = \langle f \rangle + \tilde{f}$$

$$\langle f \rangle = \left( \frac{1}{\sqrt{2\pi} v_{th}} \right) \exp(-v^2/2v_{th}^2) \quad (\text{Maxwellian})$$

i.e.  $\langle f \rangle$  established on long-time scale

- seek contact with Langmuir Wave (ions stationary)  
 $\Rightarrow \omega > kv_{th}$

(Heuristic)



Then, linearize:

$$\frac{\partial \tilde{f}}{\partial t} + v \frac{\partial \tilde{f}}{\partial x} = -\frac{q}{m} \tilde{E} \frac{\partial \langle f \rangle}{\partial v}$$

$$\nabla^2 \tilde{\phi} = -4\pi n_0 q \int \tilde{f} dv$$

$$f = \sum_{k, \omega} \tilde{f}_{k, \omega} e^{i(kx - \omega t)}$$

$$\Rightarrow -i(\omega - kv) \tilde{f}_{k, \omega} = \frac{q}{m} i k \tilde{\phi}_{k, \omega} \frac{\partial \langle f \rangle}{\partial v} + k^2 \tilde{\phi}_{k, \omega} = 4\pi n_0 q \int \tilde{f}_{k, \omega} dv$$

$$\tilde{f}_{k, \omega} = -k \frac{q}{m} \frac{\tilde{\phi}_{k, \omega} \frac{\partial \langle f \rangle}{\partial v}}{(\omega - kv)}$$

$$\text{so } k^2 \tilde{\phi}_{k, \omega} = -\omega_p^2 k \int dv \frac{\partial \langle f \rangle / \partial v}{(\omega - kv)} \tilde{\phi}_{k, \omega}$$

thus,

$$\epsilon(k, \omega) = 1 + \frac{\omega_p^2}{k} \int dv \frac{\partial \langle f \rangle / \partial v}{(\omega - kv)}$$

- dielectric function for Vlasov plasma

How Handle Pole at  $\omega = kv$

- Recall V. E. derived in limit  $\gamma \rightarrow 0$

$$1/\omega - kv = \lim_{\epsilon \rightarrow 0} 1/\omega - kv + i\epsilon$$

- Alternatively, causality required:  $\tilde{\phi} \rightarrow 0$   
 $t \rightarrow -\infty$

$$\phi \sim e^{-i\omega t} \Rightarrow \phi \sim e^{-i(\omega + i\epsilon)t}$$

(i.e. formally IVP)

$$1/\omega - kv = \lim_{\epsilon \rightarrow 0} 1/\omega - kv + i\epsilon$$

$$= \frac{P}{\omega - kv} - i\pi \delta(\omega - kv)$$

(Plemelj's  
Formulae)

$$\epsilon(k, \omega) = 1 + \frac{\omega_p^2}{k} \int dv \frac{\partial \langle F \rangle / \partial v}{\omega - kv}$$

$$= 1 + \frac{\omega_p^2}{k} \int dv \frac{\rho}{\omega - kv} \frac{\partial \langle F \rangle}{\partial v}$$

$$-i\pi \frac{\omega_p^2}{k|k|} \frac{\partial \langle F \rangle}{\partial v} \Big|_{\omega/k} \rightarrow \text{physical content!}$$

i.e.

$$\delta(\omega - kv) = \frac{1}{|k|} \delta(v - \omega/k)$$

Further:  $\frac{\partial \langle F \rangle}{\partial v} = -\frac{v}{v_{th}} \langle F \rangle$

$$kv_{th} < \omega \Rightarrow \frac{\rho}{\omega - kv} = \frac{1}{\omega} \left( 1 + \frac{kv}{\omega} + \left(\frac{kv}{\omega}\right)^2 + \left(\frac{kv}{\omega}\right)^3 + \dots \right)$$

$$\begin{aligned} \epsilon_r(k, \omega) &= 1 - \frac{\omega_p^2}{k v_{th}^2} \int \frac{\langle F \rangle v}{\omega} \left( 1 + \frac{kv}{\omega} + \left(\frac{kv}{\omega}\right)^2 + \left(\frac{kv}{\omega}\right)^3 + \dots \right) \\ &= 1 - \frac{\omega_p^2}{\omega^2} - 3 \frac{\omega_p^2}{\omega^4} v_{th}^2 k^2 \end{aligned}$$

$$\epsilon_r(k, \omega) = 1 - \frac{\omega_p^2}{\omega^2} \left( 1 + 3k^2 \frac{V_{Th}^2}{\omega^2} \right)$$

So

$$\epsilon = \epsilon_R + i \epsilon_{IM}$$

$$\epsilon_R = 1 - \frac{\omega_p^2}{\omega^2} \left( 1 + 3k^2 \frac{V_{Th}^2}{\omega^2} \right)$$

$$\epsilon_{IM} = -\pi \frac{\omega_p^2}{k|k|} \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega/k}$$

→  $\epsilon_R = 0 \Rightarrow$  Collective Resonance / Wave

- as  $\epsilon$  derived via  $(kv/\omega) \ll 1$  expansion, need determine  $\omega(k)$  iteratively

$$\epsilon_r = 0 = 1 - \frac{\omega_p^2}{\omega^2} \left( 1 + 3k^2 \frac{V_{Th}^2}{\omega^2} \right)$$

Lowest order:  $\omega^{(0)} = \omega_p$

$$\epsilon_r = 1 - \frac{\omega_p^2}{\omega^2} \left( 1 + 3k^2 \frac{V_{Th}^2}{\omega_p^2} \right)$$

∴  $\omega^2 = \omega_p^2 \left( 1 + 3k^2 \frac{V_{Th}^2}{\omega_p^2} \right) \rightarrow$  structure agrees with

- Distribution function determines equation of state

i.e. # 3  $\leftrightarrow \int v^4 \langle f \rangle$

Contract  $k+T$ :  $\left\{ \begin{array}{l} p = p_0 (p/p_0)^\gamma \quad \gamma=3 \\ \gamma=3 \leftrightarrow \text{Maxwellian} \end{array} \right.$

- Structure of dispersion relation identical to warm fluid model  $\leftrightarrow kv_{th} < \omega$

$\rightarrow \epsilon_{IM}$

$$\epsilon_{IM} = -\pi \frac{\omega_p^2}{k|k|} \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega/k}$$

$$Q = \omega \epsilon_{IM} (|E|^2 / 8\pi) \rightarrow \text{dissipated energy}$$

$\Rightarrow$

$$Q = -\omega_k \frac{\pi \omega_p^2}{k|k|} \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega_k/k} |E|^2 / 8\pi$$

now,

$$\frac{\partial W_H}{\partial t} + \nabla \cdot S_H + Q_H = 0$$

$$\Rightarrow \gamma_H = -Q_H / W_H$$

$$W_H = \omega_H \frac{\partial \epsilon_r}{\partial \omega} \bigg|_{\omega_H} \frac{|E|^2}{8\pi}$$

$$\therefore \gamma_H = \left( \frac{\pi \omega_H^2}{k|k|} \frac{\partial \langle f \rangle}{\partial v} \bigg|_{\frac{\omega_H}{k}} \right) / \left( \frac{\partial \epsilon_r}{\partial \omega} \bigg|_{\omega_H} \right)$$

Alternatively:

$$\epsilon = \epsilon_R(k, \omega) + i \epsilon_{IM}(k, \omega)$$

$$\omega = \omega_H + i\gamma_H \quad \gamma \ll \omega_H$$

$$\epsilon = \epsilon_R(k, \omega_H + i\gamma_H) + i \epsilon_{IM}(k, \omega_H)$$

$$\approx \epsilon_R(k, \omega_H) + i\gamma_H \frac{\partial \epsilon_R}{\partial \omega} \bigg|_{\omega_H} + i \epsilon_{IM}(k, \omega_H)$$

$$\gamma_H = -\epsilon_{IM}(k, \omega_H) / (\partial \epsilon_R / \partial \omega) \big|_{\omega_H}$$

agrees above.

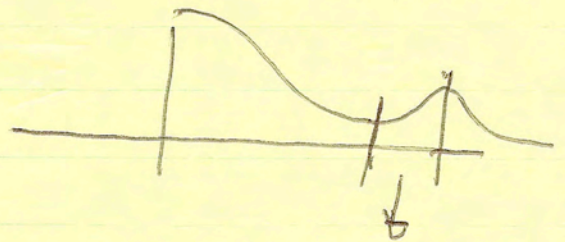
Thus  $\rightarrow \partial \langle f \rangle / \partial v |_{\omega/k} < 0$

$\Rightarrow$  damping (Landau damping)

$\rightarrow \partial \langle f \rangle / \partial v |_{\omega/k} > 0$

$\Rightarrow$  growth

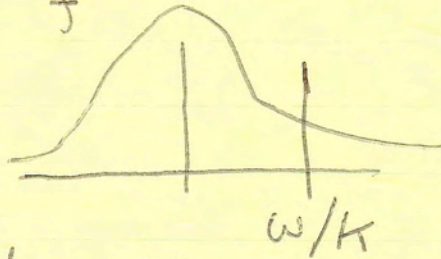
i.e. 'Bump on Tail'



$\omega/k \sim v$  grows  
as  $\partial \langle f \rangle / \partial v > 0$

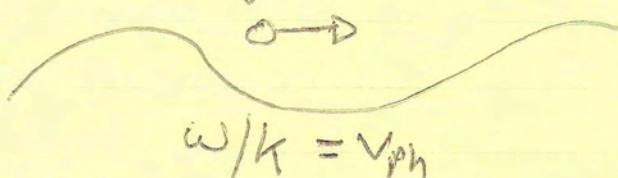
## Physics of Landau Damping

Consider



$\rightarrow$  Landau damping occurs due  
wave particle resonance  $\omega/k \sim v$

$\rightarrow$  intuitively, consider wave interaction  
with  $\odot$  resonant particle



Resonant particle 'sees'  $\odot$  DC field



$$\frac{dv}{dt} = \frac{q}{m} E \cos(kx - \omega t)$$

$$= \frac{q}{m} E \cos(k(x - v_{ph}t))$$

if boost to frame at particle's velocity  $V$

$$x' = x - Vt$$

$$v' = v - V$$

$$a' = a$$

$\Rightarrow$

$$\frac{dv}{dt} = \frac{q}{m} E \cos(k(x + (V - v_{ph})t))$$

- secular (in time) interaction at  
 $V \sim v_{ph}$  resonance

-  $V \leq \omega/k \Rightarrow$  wave accelerates particles,  
 loses energy

$V \geq \omega/k \Rightarrow$  wave decelerates particles,  
 gains energy

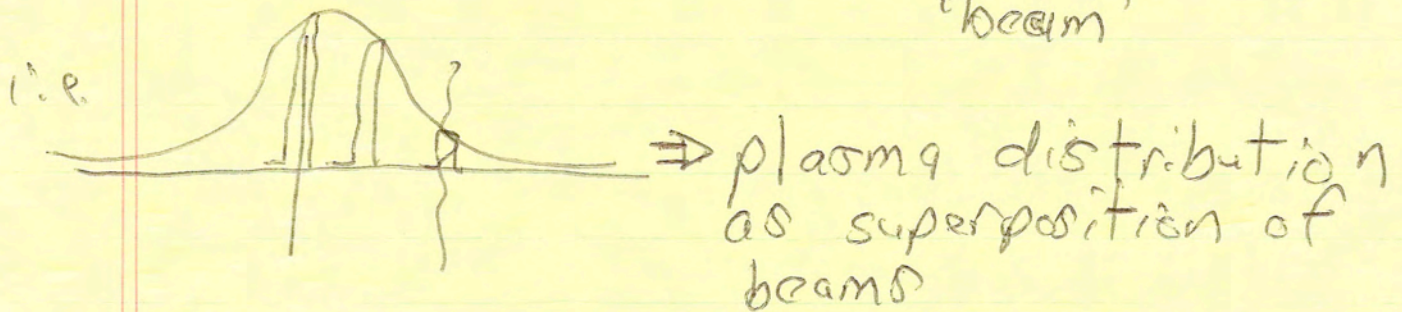
$Q = \# \text{ accelerated} - \# \text{ decelerated}$

$$\sim (df/dv) / \omega/k$$

Quantitatively:

- as  $Q = \langle \underline{E}^* \cdot \underline{J} \rangle$

seek  $\bar{Q} = \langle qvE \rangle \rightarrow$  time averaged work on resonant 'beam'



then  $Q = \int dv \bar{Q}$

-  $v = v_0 + \delta v$

$\rightarrow$  perturbations induced by wave

$x = x_0 + \delta x$

$\approx \frac{d\delta v}{dt} = \frac{q}{m} E \Big|_{x_0, v_0}$

$\frac{d\delta x}{dt} = \delta v$

$\bar{Q} = q \langle vE \rangle$

$v = v_0 + \delta v$   
 $E = E(t, x = x_0 + \delta x)$   
 $\approx E(t, x_0) + \delta x \frac{\partial E}{\partial x} \Big|_{x_0}$

$$\bar{z} = \int \left\langle (V_0 + \delta V) \left( E(t, x_0) + \delta x \left. \frac{\partial E}{\partial x} \right|_{x_0, t} \right) \right\rangle$$

DC      osc      osc      both osc,  
 ↓      ↓      ↓      ↓

$$\bar{z} = \int V_0 \left\langle \delta x \left. \frac{\partial E}{\partial x} \right|_{x_0, t} \right\rangle + \int \langle \delta V E(t, x_0) \rangle$$

Now,  $\frac{d\delta V}{dt} = \frac{q}{m} E(t, x_0) \quad x_0 = x_0' + V_0 t$

$$= \frac{q}{m} E_0 e^{ikx_0'} e^{ik(V_0 - \omega/k)t} e^{-\delta t}$$

$x_0' = 0$  (convenience)

$\omega/k = v_{ph}$   
 $\delta > 0 \Rightarrow \delta V \rightarrow 0$  as  $t \rightarrow -\infty$

$$\frac{d\delta V}{dt} = \frac{q}{m} E_0 \exp(i k (V_0 - \omega/k - i\delta) t)$$

$$\delta V = \frac{q}{m} \frac{E_0 e^{i k (V_0 - \omega/k - i\delta) t}}{i(k(V_0 - v_{ph}) - i\delta)} \Big|_{-\infty}^t$$

$$\Rightarrow \delta V = \frac{q}{m} E(t, x_0) / i k (V_0 - v_{ph} + i\delta)$$

$$\delta x = \frac{q}{m} E(t, x_0) / (i k (V_0 - v_{ph} + i\delta))^2$$

Thus

$$\begin{aligned}\bar{Q} &= qV_0 \left\langle dx \frac{\partial E}{\partial x} \right\rangle + q \left\langle dV E \right\rangle \\ &= qV_0 \left\langle -ik E^*(t, x_0) \frac{q}{m} \frac{E(t, x_0)}{(ik(V_0 - v_p) + \gamma)^2} \right\rangle \\ &\quad + q \left\langle E^*(t, x_0) \frac{q}{m} \frac{E(t, x_0)}{(ik(V_0 - v_p) + \gamma)} \right\rangle\end{aligned}$$

Note!  $E^* E$  gives DC beat

$\Rightarrow$

$$\bar{Q} = \frac{d}{dV_0} \left\{ \frac{q^2}{2m} |E|^2 \frac{V_0}{(ik(V_0 - v_p) + \gamma)^2} \right\}$$

$$= \frac{d}{dV_0} \left\{ \frac{q^2}{2m} |E|^2 \frac{-iV_0}{k(V_0 - v_p) - i\gamma} \right\}$$

note!  
'2' from  
 $\cos^2$

real part  $\Rightarrow$

$$\bar{Q} = \frac{d}{dV_0} \left\{ \frac{q^2}{2m} |E|^2 \frac{V_0 \pi}{|k|} \delta(V_0 - v_p) \right\}$$

$$\begin{aligned}
 Q &= n \int dv_0 \bar{z}(v_0) \langle F(v_0) \rangle \\
 &= \int dv_0 \langle F(v_0) \rangle \frac{d}{dv_0} \left\{ \frac{n_0^2 |E|^2 v_0}{2m} \frac{\pi}{|k|} \delta(v_0 - v_{ph}) \right\} \\
 &= -\frac{\pi \omega_p^2}{|k|} \frac{\omega}{k} \frac{\partial \langle F(v) \rangle}{\partial v} \bigg|_{\omega/k} \left( \frac{|E|^2}{8\pi} \right)
 \end{aligned}$$

⇒

$$Q = -\pi \frac{\omega_p^2}{|k|} \frac{\omega}{k} \frac{\partial \langle F \rangle}{\partial v} \bigg|_{\omega/k} \left( \frac{|E|^2}{8\pi} \right)$$

→ agrees with previous result

→ establishes Landau damping mechanism as collisionless heating, due to secular growth at wave-particle resonance.

→ Fate of energy :

$$\frac{\partial W_n}{\partial t} + \nabla \cdot S_n + Q_n = 0$$

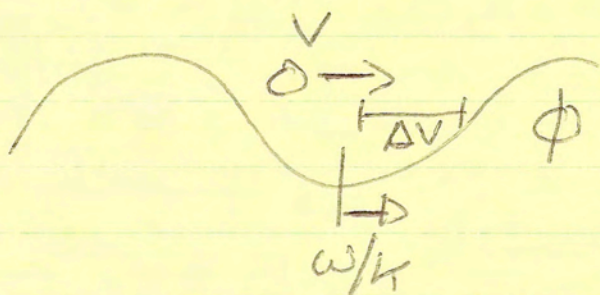
$$\frac{\partial W_n}{\partial t} = -Q_n \quad \Rightarrow \text{L.D.} \leftrightarrow \text{wave energy dissipated}$$

but clearly resonant particles heated

$$\underline{\text{so}} \quad \frac{\partial \text{RPKED}}{\partial t} + \frac{\partial W_H}{\partial t} = 0$$

$\therefore$  Landau damping heats resonant piece of distribution at expense of wave energy.

$\rightarrow$  clearly, linear theory of Landau damping only valid for times less than bounce time in trough of wave:



$$\Delta V \sim \sqrt{2q\phi/m}$$

$$1/\tau_b = k \Delta V$$

Then  $\gamma_H = \gamma_H^{(0)}$  for  $t < \tau_b$ , only.