## Physics 211B: Assignment #2

[1] Rectangular Barrier – Consider a symmetric planar barrier consisting of a layer of  $Al_x Ga_{1-x} As$  of width 2*a* imbedded in GaAs. The barrier height  $V_0$  is simply the difference between conduction band minima  $\Delta E_c$  at the  $\Gamma$  point; energies are defined relative to  $E_{\Gamma}^{GaAs}$ . Derive the *S*-matrix for this problem. Show that

$$T(E) = \frac{1}{1 + \left[\frac{\sinh\left(b\sqrt{1-\eta}\right)}{2\sqrt{\eta(1-\eta)}}\right]^2} \qquad (\eta \le 1)$$

and

$$T(E) = \frac{1}{1 + \left[\frac{\sin\left(b\sqrt{\eta-1}\right)}{2\sqrt{\eta(\eta-1)}}\right]^2} \qquad (\eta \ge 1) ,$$

where  $\eta = E/V_0$  and  $b = a/\ell$  with  $\ell = \hbar/\sqrt{2m^*V_0}$ . Sketch T(E) versus  $E/V_0$  for various values of the dimensionless thickness b.

[2] Multichannel Scattering – Consider a multichannel scattering process defined by the Hamiltonian matrix

$$\mathcal{H}_{ij} = \left( -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + \varepsilon_i \right) \delta_{ij} + \Omega_{ij} \,\delta(x) \;,$$

which describes the scattering among N channels by a  $\delta$ -function impurity at x = 0. The matrix  $\Omega_{ij}$  allows a particle in channel j passing through x = 0 to be scattered into channel i. The  $\{\varepsilon_i\}$  are the internal (transverse) energies for the various channels. For  $x \neq 0$ , we can write the channel j component of the wavefunction as

$$\begin{split} \psi_j(x) &= I_j \, e^{ik_j x} + O'_j \, e^{-ik_j x} & (x < 0) \\ &= O_j \, e^{ik_j x} + I'_j \, e^{-ik_j x} & (x > 0) \ , \end{split}$$

where the  $k_j$  are positive and determined by

$$\varepsilon_{\rm F} = \frac{\hbar^2 k_j^2}{2m} + \varepsilon_j \ . \label{eq:expansion}$$

Show that the incoming and outgoing flux amplitudes are related by a  $2N \times 2N$  S-matrix:

$$\begin{pmatrix} \sqrt{v} \ O' \\ \sqrt{v} \ O \end{pmatrix} = \overbrace{\begin{pmatrix} r & t' \\ t & r' \end{pmatrix}}^{\mathcal{S}} \begin{pmatrix} \sqrt{v} \ I \\ \sqrt{v} \ I' \end{pmatrix}$$

where  $v = \text{diag}(v_1, \ldots, v_N)$  with  $v_i = \hbar k_i/m > 0$ . Find explicit expressions for the component  $N \times N$  blocks r, t, t', r', and show that S is unitary, *i.e.*  $S^{\dagger}S = SS^{\dagger} = \mathbb{I}$ .

[3] Spin Valve – Consider a barrier between two halves of a ferromagnetic metallic wire. For x < 0 the magnetization lies in the  $\hat{z}$  direction, while for x > 0 the magnetization is directed along the unit vector  $\hat{\boldsymbol{n}} = (\sin \theta \cos \phi, \sin \theta \sin \phi, \cos \theta)$ . The Hamiltonian is given by

$$\mathcal{H} = -rac{\hbar^2}{2m^*}rac{d^2}{dx^2} + \mu_{
m B}oldsymbol{H}_{
m int}\cdotoldsymbol{\sigma} \; ,$$

where  $H_{\text{int}}$  is the (spontaneously generated) internal magnetic field and  $\mu_{\text{B}} = e\hbar/2m_{\text{e}}c$  is the Bohr magneton<sup>1</sup>. The magnetization M points along  $H_{\text{int}}^2$ . For x < 0 we therefore have

$$E_{\rm F} = \frac{\hbar^2 k_{\uparrow}^2}{2m^*} + \Delta = \frac{\hbar^2 k_{\downarrow}^2}{2m^*} - \Delta ,$$

where  $\Delta = \mu_{\rm B} H_{\rm int}$ . A similar relation holds for the Fermi wavevectors corresponding to spin states  $|\hat{n}\rangle$  and  $|-\hat{n}\rangle$  in the region x > 0.

Consider the S-matrix for this problem. The 'in' and 'out' states should be defined as local eigenstates, which means that they have different spin polarization axes for x < 0 and x > 0. Explicitly, for x < 0 we write

$$\begin{pmatrix} \psi_{\uparrow}(x) \\ \psi_{\downarrow}(x) \end{pmatrix} = \left\{ A_{\uparrow} e^{ik_{\uparrow}x} + B_{\uparrow} e^{-ik_{\uparrow}x} \right\} \begin{pmatrix} 1 \\ 0 \end{pmatrix} + \left\{ A_{\downarrow} e^{ik_{\downarrow}x} + B_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

while for x > 0 we write

$$\begin{pmatrix} \psi_{\uparrow}(x) \\ \psi_{\downarrow}(x) \end{pmatrix} = \left\{ C_{\uparrow} e^{ik_{\uparrow}x} + D_{\uparrow} e^{-ik_{\uparrow}x} \right\} \begin{pmatrix} u \\ v \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} \right\} \begin{pmatrix} -v^* \\ u \end{pmatrix} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{-ik_{\downarrow}x} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{ik_{\downarrow}x} + \left\{ C_{\downarrow} e^{ik_{\downarrow}x} + D_{\downarrow} e^{ik_{\downarrow}x} + D_{$$

where  $u = \cos(\theta/2)$  and  $v = \sin(\theta/2) \exp(i\phi)$ . The *S*-matrix relates the *flux amplitudes* of the in-states and out-states:

$$\begin{pmatrix} b_{\uparrow} \\ b_{\downarrow} \\ c_{\uparrow} \\ c_{\downarrow} \end{pmatrix} = \overbrace{\begin{pmatrix} r_{11} & r_{12} & t'_{11} & t'_{12} \\ r_{21} & r_{22} & t'_{21} & t'_{22} \\ t_{11} & t_{12} & r'_{11} & r'_{12} \\ t_{21} & t_{22} & r'_{21} & r'_{22} \end{pmatrix}}^{\mathcal{S}} \begin{pmatrix} a_{\uparrow} \\ a_{\downarrow} \\ d_{\uparrow} \\ d_{\downarrow} \end{pmatrix}$$

Derive the  $2 \times 2$  transmission matrix t (you don't have to derive the entire S-matrix) and thereby obtain the dimensionless conductance  $g = \text{Tr}(t^{\dagger}t)$ . Define the polarization P by

$$P = \frac{n_{\uparrow} - n_{\downarrow}}{n_{\uparrow} + n_{\downarrow}} \; .$$

where  $n_{\sigma} = k_{\sigma}/\pi$  is the electronic density. Find  $g(P, \theta)$ .

<sup>&</sup>lt;sup>1</sup>Note that it is the bare electron mass  $m_{\rm e}$  which appears in the formula for  $\mu_{\rm B}$  and *not* the effective mass  $m^*$ !).

<sup>&</sup>lt;sup>2</sup>For weakly magnetized systems, the magnetization is  $M = \mu_{\rm B}^2 g(\varepsilon_{\rm F}) H_{\rm int}$ , where  $g(\varepsilon_{\rm F})$  is the total density of states per unit volume at the Fermi energy.

[4] Distribution of Resistances of a One-Dimensional Wire – In this problem you are asked to derive an equation governing the probability distribution  $P(\mathcal{R}, L)$  for the dimensionless resistance  $\mathcal{R}$  of a one-dimensional wire of length L. The equation is called the Fokker-Planck equation. Here's a brief primer on how to derive Fokker-Planck equations.

Suppose x(t) is a stochastic variable. We define the quantity

$$\delta x(t) \equiv x(t+\delta t) - x(t) , \qquad (1)$$

and we assume

$$\left\langle \delta x(t) \right\rangle = F_1(x(t)) \, \delta t$$
  
 $\left\langle \left[ \delta x(t) \right]^2 \right\rangle = 2 \, F_2(x(t)) \, \delta t$ 

but  $\langle [\delta x(t)]^n \rangle = \mathcal{O}((\delta t)^2)$  for n > 2. The n = 1 term is due to *drift* and the n = 2 term is due to *diffusion*. Now consider the conditional probability density,  $P(x, t | x_0, t_0)$ , defined to be the probability distribution for  $x \equiv x(t)$  given that  $x(t_0) = x_0$ . The conditional probability density satisfies the composition rule,

$$P(x,t \mid x_0,t_0) = \int_{-\infty}^{\infty} dx' P(x,t \mid x',t') P(x',t' \mid x_0,t_0) ,$$

for any value of t'. Therefore, we must have

$$P(x,t+\delta t \,|\, x_0,t_0) = \int_{-\infty}^{\infty} dx' \, P(x,t+\delta t \,|\, x',t) \, P(x',t \,|\, x_0,t_0) \; .$$

Now we may write

$$P(x,t+\delta t | x',t) = \left\langle \delta(x-x'-\delta x(t)) \right\rangle$$
  
=  $\left\{ 1 + \left\langle \delta x(t) \right\rangle \frac{d}{dx'} + \frac{1}{2} \left\langle \left[ \delta x(t) \right]^2 \right\rangle \frac{d^2}{dx'^2} + \dots \right\} \delta(x-x') ,$ 

where the average is over the random variables. Upon integrating by parts and expanding to  $\mathcal{O}(\delta t)$ , we obtain the Fokker-Planck equation,

$$\frac{\partial P}{\partial t} = -\frac{\partial}{\partial x} \left[ F_1(x) P(x,t) \right] + \frac{\partial^2}{\partial x^2} \left[ F_2(x) P(x,t) \right] \,.$$

That wasn't so bad, now was it?

For our application, x(t) is replaced by  $\mathcal{R}(L)$ . We derived the composition rule for series quantum resistors in class:

$$\mathcal{R}(L+\delta L) = \mathcal{R}(L) + \mathcal{R}(\delta L) + 2 \mathcal{R}(L) \mathcal{R}(\delta L) - 2 \cos \beta \sqrt{\mathcal{R}(L) [1 + \mathcal{R}(L)] \mathcal{R}(\delta L) [1 + \mathcal{R}(\delta L)]},$$

where  $\beta$  is a random phase. For small values of  $\delta L$ , we needn't worry about quantum interference and we can use our Boltzmann equation result. Show that

$$\mathcal{R}(\delta L) = \frac{e^2}{h} \frac{m^*}{ne^2\tau} \,\delta L = \frac{\delta L}{2\ell} \;,$$

where  $\ell = v_{\rm F} \tau$  is the elastic mean free path. (Assume a single spin species throughout.)

Find the drift and diffusion functions  $F_1(\mathcal{R})$  and  $F_2(\mathcal{R})$ . Show that the distribution function  $P(\mathcal{R}, L)$  obeys the equation

$$\frac{\partial P}{\partial L} = \frac{1}{2\ell} \frac{\partial}{\partial \mathcal{R}} \left\{ \mathcal{R} \left( 1 + \mathcal{R} \right) \frac{\partial P}{\partial \mathcal{R}} \right\} \,.$$

Show that this equation may be solved in the limits  $\mathcal{R} \ll 1$  and  $\mathcal{R} \gg 1$ , with

$$P(\mathcal{R}, z) = \frac{1}{z} e^{-\mathcal{R}/z}$$

for  $\mathcal{R} \ll 1$ , and

$$P(\mathcal{R}, z) = (4\pi z)^{-1/2} \frac{1}{\mathcal{R}} e^{-(\ln \mathcal{R} - z)^2/4z}$$

for  $\mathcal{R} \gg 1$ , where  $z = L/2\ell$  is the dimensionless length of the wire. Compute  $\langle \mathcal{R} \rangle$  in the former case, and  $\langle \ln \mathcal{R} \rangle$  in the latter case.