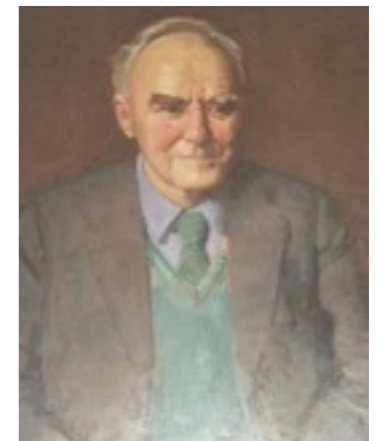


From Reconnection to Relaxation: A Pedagogical Tale of Two Taylors

or: The Physics Assumptions Behind the Color VG



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This talk focuses on:

- what is the connection between local reconnection and global relaxation?
- how do highly localized reconnection processes, for large R_m , Re , produce global self-organization and structure formation?

We attempt to:

- describe both magnetic fields and flows with similar concepts**
- connect and relate to talks by H. Ji, D. Hughes, H. Li, O.D. Gurcan...**
- describe self-organization principles**

Outline

i.) Preamble: → From Reconnection to Relaxation and Self-Organization

→ What 'Self-Organization' means

→ Why Principles are important

→ Examples of turbulent self-organization

→ Preview

ii.) Focus I: **Relaxation in R.F.P.** (J.B. Taylor)

→ RFP relaxation, pre-Taylor

→ Taylor Theory - Summary

- Physics of helicity constraint + hypothesis

- Outcome and Shortcomings

→ Dynamics → Mean Field Theory - Theoretical Perspective

- Pinch's Perspective

- Some open issues

→ Lessons Learned and Unanswered Questions

Outline

iii.) Focus II: PV Transport and Homogenization (G.I. Taylor)

→ Shear Flow Formation by (Flux-Driven) Wave Turbulence

→ PV and its meaning; representative systems

→ **Original Idea:** G.I. Taylor, Phil. Trans, 1915, 'Eddy Motion in the Atmosphere'

- Eddy Viscosity, PV Transport and Flow Formation

- Application: Rayleigh from PV perspective

→ Relaxation: PV Homogenization (Prandtl, Batchelor, Rhines, Young)

- Basic Ideas

- Proof of PV Homogenization

- Time Scales

- Relation to Flux Expulsion

- Relation to Minimum Enstrophy states

Outline

→ Does PV Homogenize in Zonal Flows?

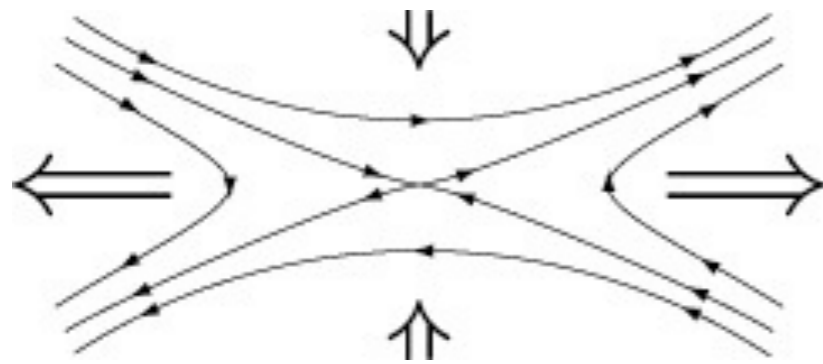
- Physical model and Ideas
- PV Transport and Potential Enstrophy Balance
- Momentum Theorems (Charney-Drazin) and Incomplete Homogenization
- RMP Effects
- B_0 Effects
- Lessons Learned and Unanswered Questions

→ Discussion and General Lessons Learned

I.) Preamble

→ From Reconnection to Relaxation

- Usually envision as localized event involving irreversibility, dissipation etc. at a singularity



S.-P.

$$V = V_A / Rm^{1/2}$$

- ??? - how describe **global** dynamics of relaxation and self-organization



- multiple, interacting/overlapping reconnection events

→ turbulence, stochastic lines, etc

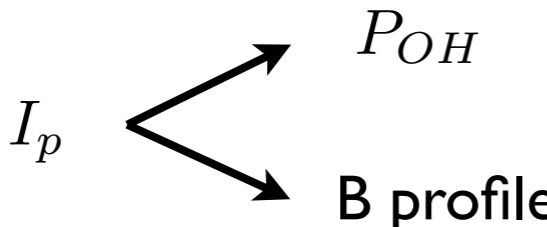
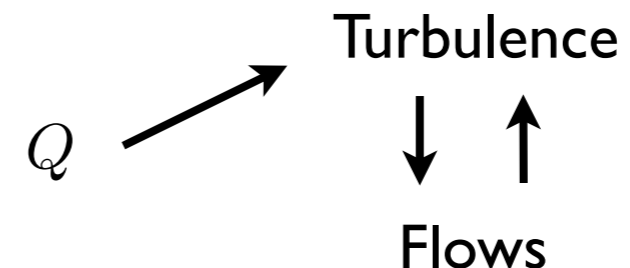
I.) Preamble, cont'd

→ What does 'Self-Organization' mean?

- context: driven, dissipative, open system
- turbulence/stochasticity - multiple reconnection states
- **Profile** state (resilient, stiff) **attractors**
- usually, multiple energy channels possible
- bifurcations between attractor states possible
- attractor states macroscopically stable, though may support microturbulence

→ Elements of Theory

- universality (or claims thereof)
- { - coarse graining - i.e., diffusion
- constraint release - i.e., relaxation of freezing-in law
- selective decay hypothesis

RFP	Tokamak
Taylor/BFM	Stiff core + edge
 <p>A diagram with I_p on the left. Two arrows branch out from I_p to the right. The upper arrow points to P_{OH} and the lower arrow points to "B profile".</p>	 <p>A diagram with Q on the left. An arrow points from Q to "Turbulence". Below "Turbulence" are two vertical arrows: a downward arrow and an upward arrow, both pointing towards the word "Flows".</p>
axisymmetric \rightarrow helical OH	L \rightarrow H
nearly marginal $m = 1$'s + resistive interchange +...	ITG, CTEM, ... Issue: ELMs?! (domain limited)

- Universality:

Taylor State (Clear)

$$H_M = \int d^3x \mathbf{A} \cdot \mathbf{B}$$

only constraint

Magnetic energy dissipated as
 H_M conserved

Profile Consistency (soft)
(especially pedestal)

PV mixed, subject dynamical
constraints

Enstrophy (Turbulence) mixed,
dissipated, as macroscopic flow
emerges

Why Principles?

→ INSIGHT

→ Physical ideas necessary to **guide** both physical and digital experiments

→ Principles + Reduced Models required to extract and synthesize lessons from case-by-case analysis

→ Principles guide approach to problem reduction

Examples of Self-Organization Principles

→ Turbulent Pipe Flow: (Prandtl → She)

$$\sigma = -\nu_T \frac{\partial \langle v_y \rangle}{\partial x} \quad \nu_T \sim v_* x \quad \Rightarrow \langle v_y \rangle \sim v_* \ln x$$

Streamwise Momentum undergoes scale invariant mixing

→ Magnetic Relaxation: (Woltjer-Taylor)

(RFP, etc)

(Focus 1)

Minimize E_M at conserved global $H_M \Rightarrow$ Force-Free RFP profiles

→ PV Homogenization/Minimum Enstrophy: (Taylor, Prandtl, Batchelor, Bretherton, ...)

(Focus 2)

→ PV tends to mix and homogenize

→ Flow structures emergent from selective decay of potential enstrophy relative energy

→ Shakura-Sunyaev Accretion

→ disk accretion enabled by outward viscous angular momentum flux

Preview

- Will show many commonalities - though NOT isomorphism - of magnetic and flow self-organization
- Will attempt to expose numerous assumptions in theories thereof

	Magnetic (JB)	Flow (GI)
concept	topology	symmetry
process	turbulent reconnection	PV mixing
players	tearing modes, Alfvén waves	drift wave turbulence
mean field	$EMF = \langle \tilde{v} \times \tilde{B} \rangle$	$PV \text{ Flux} = \langle \tilde{v}_r \tilde{q} \rangle$
constraint	$\int d^3x \mathbf{A} \cdot \mathbf{B}$ conservation	Potential Enstrophy balance
NL	Helicity Density Flux	Pseudomomentum Flux
outcome	B-profiles	zonal flow

II.) Focus I - Magnetic Relaxation



(Derek C Robinson)

→ Prototype of RFP's: **Zeta** (UK: late 50's - early 60's)

- toroidal pinch = vessel + gas + transformer
- initial results → violent macro-instability, short life time
- weak B_T → stabilized pinch ↔ sausage instability eliminated
- $I_p > I_{p, crit}$ ($\theta > 1+$) → access to “Quiescent Period”

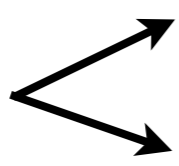
→ Properties of Quiescent Period:

- macrostability - reduced fluctuations
- $\tau_E \sim 1 \text{ msec}$ $T_e \sim 150 \text{ eV}$
- $B_T(a) < 0$ → **reversal**

→ Quiescent Period is origin of **RFP**

Further Developments

- Fluctuation studies:

turbulence =  $m = 1$ kink-tearing \rightarrow tend toward force-free state
resistive interchange, ...

- Force-Free Bessel Function Model

$$B_\theta = B_0 J_1(\mu r) \quad B_z = B_0 J_0(\mu r)$$

$$\mathbf{J} = \alpha \mathbf{B}$$

observed to correlate well with observed \mathbf{B} structure

- L. Woltjer (1958) : Force-Free Fields at constant α

\rightarrow follows from minimized E_M at conserved $\int d^3x \mathbf{A} \cdot \mathbf{B}$

- steady, albeit modest, improvement in RFP performance, operational space

\rightarrow Needed: Unifying Principle

Theory of Turbulent Relaxation

(J.B. Taylor, 1974)

→ hypothesize that relaxed state minimizes magnetic energy subject to constant **global** magnetic helicity

i.e. profiles follow from:
$$\delta \left[\int d^3x \frac{B^2}{8\pi} + \lambda \int d^3x \mathbf{A} \cdot \mathbf{B} \right] = 0$$

$$\Rightarrow \nabla \times \mathbf{B} = \mu \mathbf{B} \quad ; \quad J_{\parallel} / B = \frac{\mathbf{J} \cdot \mathbf{B}}{B^2} = \text{const}$$

Taylor state is:

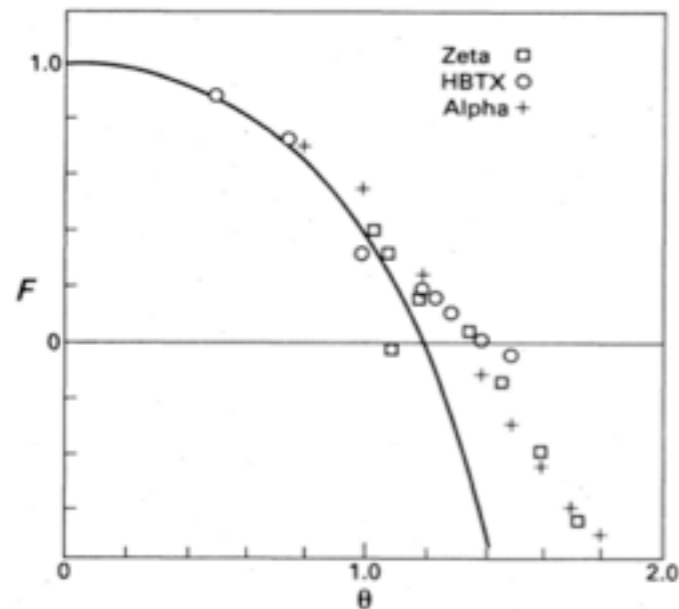
- force free

- flat/homogenized J_{\parallel} / B

- recovers BFM, with reversal for $\theta = \frac{2I_p}{aB_0} > 1.2$

- Works amazingly well

Result:



$$\theta = \mu a / 2 = \frac{2I_p}{aB_0}$$

$$F = B_{z,wall} / \langle B \rangle$$

and numerous other success stories

→ Questions:

- what is magnetic helicity and what does it mean?
- **why** only global magnetic helicity as constraint?
- Theory predicts end state → what can be said about dynamics?
- What does the pinch say about dynamics?

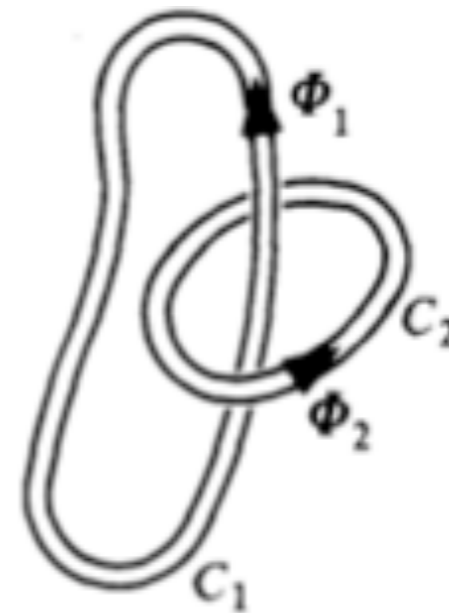
→ Central Issue: Origin of Irreversibility

Magnetic helicity - what is it?

- consider two linked, closed flux tubes

Tube 1: Flux ϕ_1 , contour C_1

Tube 2: Flux ϕ_2 , contour C_2



if consider tube 1:

$$\begin{aligned} H_M^1 &= \int_{V_1} d^3x \mathbf{A} \cdot \mathbf{B} = \oint_{C_1} dl \int_{A_1} dS \mathbf{A} \cdot \mathbf{B} \\ &= \oint_{C_1} d\mathbf{l}_1 \cdot \mathbf{A} \int_{A_1} d\mathbf{a} \cdot \mathbf{B} \\ &= \phi_1 \oint_{C_1} d\mathbf{l}_1 \cdot \mathbf{A} = \phi_1 \phi_2 \end{aligned}$$

similarly for tube 2: $H_M^2 = \phi_1 \phi_2$

so $H_M = 2\phi_1 \phi_2$

generally : $H_M = \pm 2n\phi_1 \phi_2$

- Magnetic helicity measures self-linkage of magnetic configuration
- conserved in ideal MHD - topological invariant

$$\frac{d}{dt}H_M = -2\eta c \int d^3x \mathbf{J} \cdot \mathbf{B}$$

- consequence of Ohm's Law structure, only

N.B.

- can attribute a finite helicity to each closed flux tube with non-constant $q(r)$
- in ideal MHD $\rightarrow \infty$ number of tubes in pinch. Can assign infinitesimal tube to each field line
- ∞ number of conserved helicity invariants
 - \rightarrow Follows from freezing in

Question:

How many magnetic field lines in the universe?

(E. Fermi to M.N. Rosenbluth, oral exam at U. Chicago, late 1940's...)

Why Global helicity, Only?

- in ideal plasma, helicity conserved for each line, tube

i.e. $\mathbf{J} = \mu(\alpha, \beta)\mathbf{B}$ $\mu(\alpha', \beta') \neq \mu(\alpha, \beta)$

- Turbulent mixing eradicates identity of individual flux tubes, lines!

i.e.

- if turbulence s/t field lines stochastic, then '1 field line' fills pinch.

1 line \leftrightarrow 1 tube \rightarrow only global helicity meaningful.

- in turbulent resistive plasma, reconnection occurs on all scales, but: $\tau_R \sim l^\alpha$ $\alpha > 0$
($\alpha = 3/2$ for S-P reconnection)

Thus larger tubes persist longer. Global flux tube most robust

- selective decay: absolute equilibrium stat. mech. suggests **possibility** of inverse cascade of magnetic helicity (Frisch '75) \rightarrow large scale helicity most rugged.

Comments and Caveats

→ Taylor's conjecture that global helicity is most rugged invariant remains a conjecture

→ **unproven in any rigorous sense**

→ many attempts to expand/supplement the Taylor conjecture have had little lasting impact (apologies to some present....)

→ Most plausible argument for global H_M is stochastization of field lines → forces confinement penalty. No free lunch!

→ Bottom Line:

- Taylor theory, simple and successful

- but, no dynamical insight!

Dynamics I:

- The question of Dynamics brings us to mean field theory (c.f. Moffat '78 and an infinity of others - see D. Hughes, Thursday Lecture)

- Mean Field Theory \rightarrow how represent $\langle \tilde{v} \times \tilde{B} \rangle$?

\rightarrow how relate to relaxation?

- **Caveat:** - MFT assumes fluctuations are small and quasi-Gaussian. They are often NOT

- MFT is often very useful, but often fails miserably

- Structural Approach (Boozer): (plasma frame)

$$\langle \mathbf{E} \rangle = \eta \langle \mathbf{J} \rangle + \langle \mathbf{S} \rangle$$

\rightarrow something \rightarrow related to $\langle \tilde{v} \times \tilde{B} \rangle$

$\langle \mathbf{S} \rangle$ conserves H_M

$\langle \mathbf{S} \rangle$ dissipates E_M

Note this is ad-hoc, forcing $\langle \mathbf{S} \rangle$ to fit the conjecture. Not systematic, in sense of perturbation theory

Now

$$\partial_t H_M = -2c\eta \int d^3x \langle \mathbf{J} \cdot \mathbf{B} \rangle - 2c \int d^3x \langle \mathbf{S} \cdot \mathbf{B} \rangle$$

$$\therefore \langle \mathbf{S} \rangle = \frac{\mathbf{B}}{B^2} \nabla \cdot \mathbf{\Gamma}_H$$

Conservation $H_M \rightarrow \langle S \rangle \sim \nabla \cdot$ (Helicity flux)

$$\partial_t \int d^3x \frac{B^2}{8\pi} = - \int d^3x \left[\eta J^2 - \mathbf{\Gamma}_H \cdot \nabla \frac{\langle \mathbf{J} \rangle \cdot \mathbf{B}}{B^2} \right]$$

so

$$\mathbf{\Gamma}_H = -\lambda \nabla (J_{\parallel}/B) \quad , \text{ to dissipate } E_M$$

→ **simplest** form consistent with Taylor hypothesis

→ turbulent hyper-resistivity $\lambda = \lambda[\langle \tilde{B}^2 \rangle]$ - can derive from QLT

→ Relaxed state: $\nabla (J_{\parallel}/B) \rightarrow 0$ homogenized current → flux vanishes

Dynamics II: The Pinch's Perspective

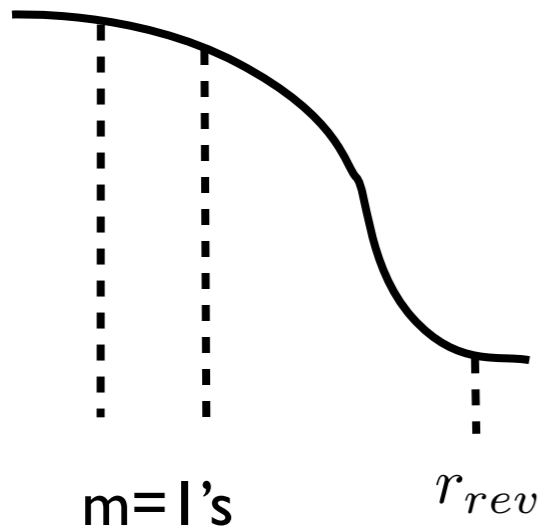
- Boozer model not based on fluctuation structure, dynamics
- Aspects of hyper-resistivity do enter, but so do other effects
 - Point: Dominant fluctuations controlling relaxation are $m=1$ tearing modes resonant in core → global structure
 - Issue: What drives reversal B_z near boundary?

Approach: QL $\langle \tilde{\mathbf{v}} \times \tilde{\mathbf{B}} \rangle$ in MHD exterior - exercise: derive!

$$\langle \tilde{\mathbf{v}} \times \tilde{\mathbf{B}} \rangle \cong \sum_k |\gamma_k| \frac{R}{r} (q_{res} - q(r)) \langle B_\theta \rangle \partial_r (|\tilde{\xi}_r|_k^2)$$

i.e. $\langle J_\theta \rangle$ driven opposite $\langle B_\theta \rangle$ → drives/sustains reversal

→ What of irreversibility - i.e. how is kink-driven reversal 'locked-in'?



→ drive J_{\parallel}/B flattening, so higher n's destabilized by relaxation front

→ global scattering → propagating reconnection front

m=1,
n

m=1,
n+1

→

m=0,
n=1

→

driven current sheet, at r_{rev}

sum
beat { m=2,
2n+1

(difference beat)

but then

m=1,
n+2

driven →

tearing activity, and relaxation
region, broadens

→ Bottom Line: How Pinch 'Taylors itself' remains unclear, in detail

Summary of Magnetic Relaxation

concept: topology

process: stochastization of fields, turbulent reconnection

constraint released: local helicity

players: tearing modes

Mean Field: $\text{EMF} = \langle \tilde{v} \times \tilde{B} \rangle$

Global Constraint: $\int d^3x \mathbf{A} \cdot \mathbf{B}$

NL: Helicity Density Flux

Outcome: B-Profile

Shortcoming: Rates, confinement \rightarrow turbulent transport

Focus II: Potential Vorticity Mixing \leftrightarrow Iso-vorticity Contour Reconnection

- Prandtl-Batchelor Theorem and PV Homogenization
- Self-Organization of Zonal Flows

PV and Its Meaning: Representative Systems

The Fundamentals

- **Kelvin's Theorem** for rotating system

$$\begin{array}{ccc} \omega \rightarrow \omega + 2\Omega & \longrightarrow & \oint \mathbf{v} \cdot d\mathbf{l} = \int d\mathbf{a} \cdot (\omega + 2\Omega) \equiv C \\ \swarrow \quad \searrow & & \\ \text{relative} \quad \text{planetary} & & \dot{C} = 0, \text{ to viscosity (vortex reconnection)} \end{array}$$

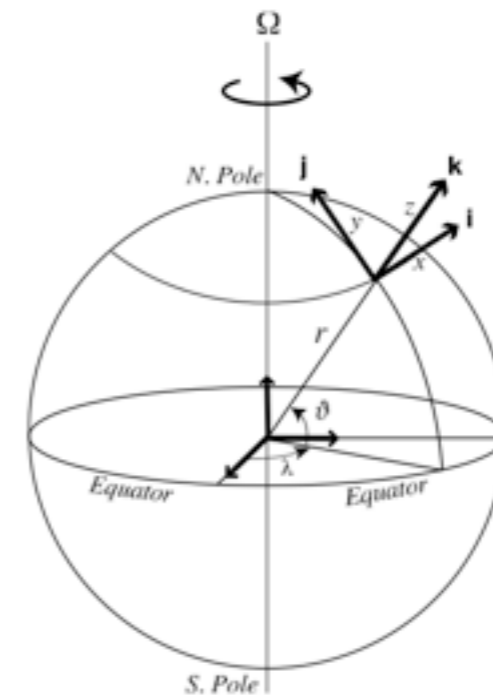
- $Ro = V/(2\Omega L) \ll 1 \quad \rightarrow \quad \mathbf{V} \cong -\nabla_{\perp} p \times \hat{z}/(2\Omega) \quad \text{geostrophic balance}$

\rightarrow 2D dynamics

- Displacement on beta plane

$$\begin{aligned} \dot{C} = 0 \quad \rightarrow \quad \frac{d}{dt}\omega &\cong -\frac{2\Omega}{A} \sin \theta_0 \frac{dA}{dt} \\ &= -2\Omega \frac{d\theta}{dt} = -\beta V_y \end{aligned}$$

$$\omega = \nabla^2 \phi, \quad \beta = 2\Omega \sin \theta_0 / R$$



Fundamentals II

- Q.G. equation $\frac{d}{dt}(\omega + \beta y) = 0$

n.b. topography

- Locally Conserved PV $q = \omega + \beta y$

$$q = \omega/H + \beta y$$

- Latitudinal displacement \rightarrow change in relative vorticity

- Linear consequence \rightarrow **Rossby Wave**

$$\omega = -\beta k_x / k^2$$

observe: $v_{g,y} = 2\beta k_x k_y / (k^2)^2$

 Rossby wave intimately connected to momentum transport

- Latitudinal PV Flux \rightarrow circulation

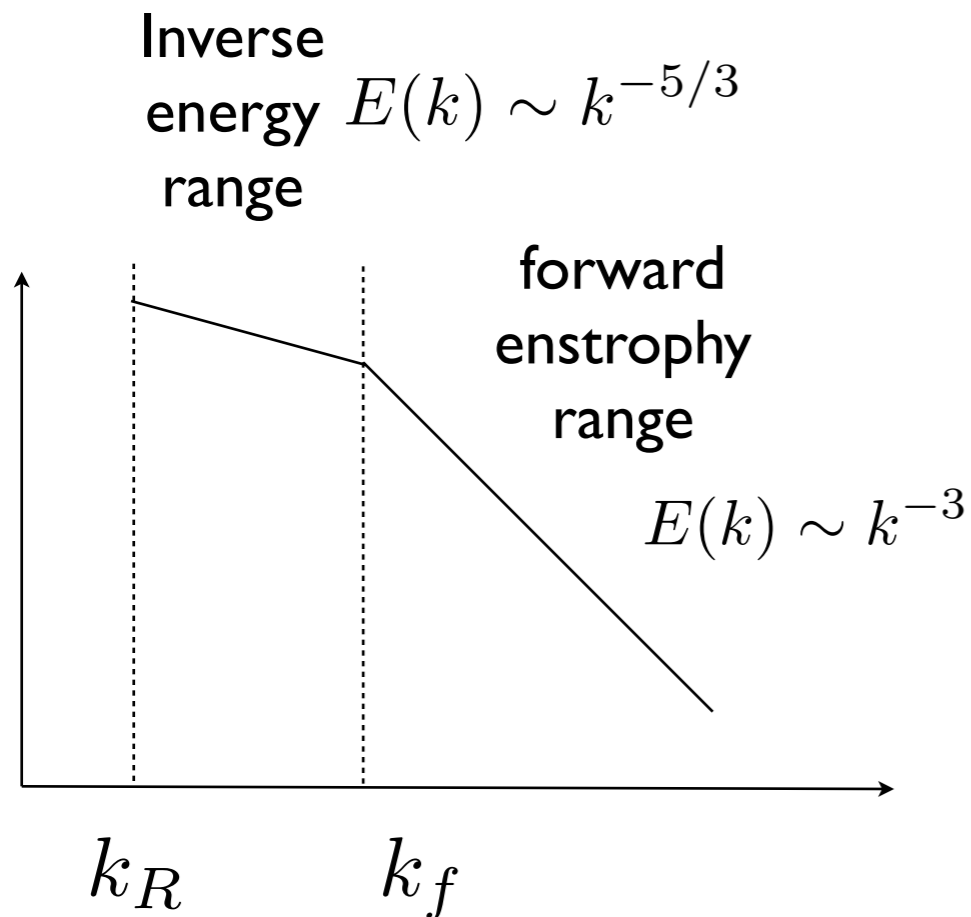
- Obligatory re: 2D Fluid

- ω Fundamental: $\partial_t \omega = \nabla \times (\mathbf{V} \times \omega)$

$$\frac{d\omega}{dt} = \frac{\omega}{\rho} \cdot \nabla \mathbf{V} \quad \rightarrow \text{Stretching}$$

- 2D $d\omega/dt = 0 \quad \rightarrow \quad E = \langle v^2 \rangle \quad \text{conserved}$

$$\Omega = \langle \omega^2 \rangle$$



How?

$$\partial_t \langle \Delta k^2 \rangle_E > 0 \quad \text{with} \quad \dot{E} = \dot{\Omega} = 0$$

$$\partial_t \langle \Delta k^2 \rangle_E = -\partial_t \bar{k}_E^2$$

dual cascade $\left\{ \begin{array}{l} \partial_t \bar{k}_E^2 < 0 \\ \partial_t \bar{k}_\Omega^2 > 0 \end{array} \right. \begin{array}{l} \rightarrow \text{large scale} \\ \text{accumulation} \\ \rightarrow \text{flow to small} \\ \text{scale dissipation} \end{array}$

→ Isn't this Meeting about Plasma?

- 2 Simple Models
- a.) Hasegawa-Wakatani (collisional drift inst.)
 - b.) Hasegawa-Mima (DW)

a.)
$$\mathbf{V} = \frac{c}{B} \hat{z} \times \nabla \phi + \mathbf{V}_{pol}$$

$$\sim (\omega/\Omega)$$

$L > \lambda_D \rightarrow \nabla \cdot \mathbf{J} = 0 \rightarrow \nabla_{\perp} \cdot \mathbf{J}_{\perp} = -\nabla_{\parallel} J_{\parallel}$

$J_{\perp} = n|e|V_{pol}^{(i)}$

$J_{\parallel} : \eta J_{\parallel} = -\cancel{(1/c)\partial_t A_{\parallel}} - \nabla_{\parallel} \phi + \nabla_{\parallel} p_e$

b.) $dn_e/dt = 0$

→
$$\frac{dn_e}{dt} + \frac{\nabla_{\parallel} J_{\parallel}}{-n_0|e|} = 0$$

n.b.

MHD: $\partial_t A_{\parallel}$ v.s. $\nabla_{\parallel} \phi$

DW: $\nabla_{\parallel} p_e$ v.s. $\nabla_{\parallel} \phi$

So H-W

$$\rho_s^2 \frac{d}{dt} \nabla^2 \hat{\phi} = -D_{\parallel} \nabla_{\parallel}^2 (\hat{\phi} - \hat{n}/n_0) + \nu \nabla^2 \nabla^2 \hat{\phi}$$

$$\frac{d}{dt} n - D_0 \nabla^2 \hat{n} = -D_{\parallel} \nabla_{\parallel}^2 (\hat{\phi} - \hat{n}/n_0)$$

$$D_{\parallel} k_{\parallel}^2 / \omega$$

is key parameter

n.b. $PV = n - \rho_s^2 \nabla^2 \phi$ $\frac{d}{dt} (PV) = 0$
→ total density

b.) $D_{\parallel} k_{\parallel}^2 / \omega \gg 1 \rightarrow \hat{n}/n_0 \sim e\hat{\phi}/T_e$ ($m, n \neq 0$)

$$\frac{d}{dt} (\phi - \rho_s^2 \nabla^2 \phi) + v_* \partial_y \phi = 0 \quad \rightarrow \text{H-M}$$

n.b. $PV = \phi - \rho_s^2 \nabla^2 \phi + \ln n_0(x)$

An **infinity** of models follow:

- MHD: ideal ballooning
resistive \rightarrow RBM
- HW + A_{\parallel} : drift - Alfvén
- HW + curv.: drift - RBM
- HM + curv. + T_i : Fluid ITG
- gyro-fluids
- GK

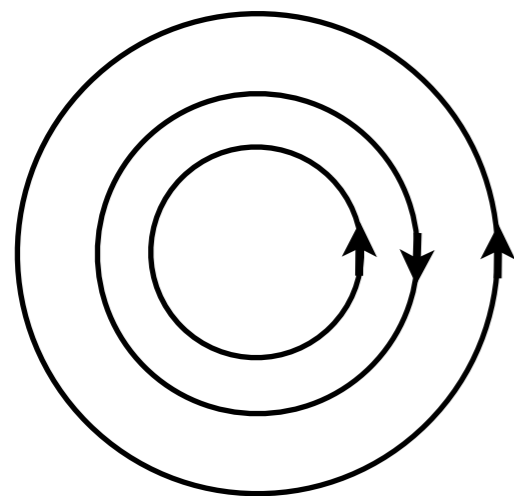
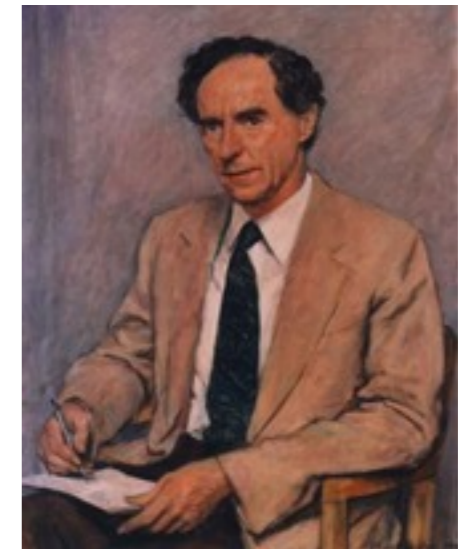
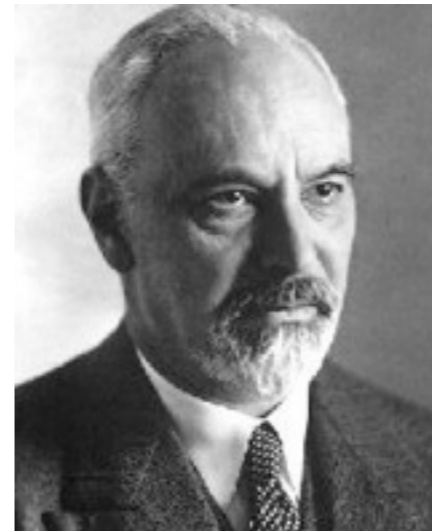
N.B.: Most Key advances
appeared in consideration
of **simplest** possible models

Homogenization Theory (Prandtl, Batchelor, Rhines, Young)

$$\partial_t q + \nabla \phi \times \hat{z} \cdot \nabla q = \nu \nabla^2 q$$

Now: $t \rightarrow \infty$ $\partial_t q \rightarrow 0$

For $\nu = 0$ $q = q(\phi)$



→ $q = q(\phi)$ is arbitrary solution

→ can develop arbitrary fine scale $q = q(\phi)$

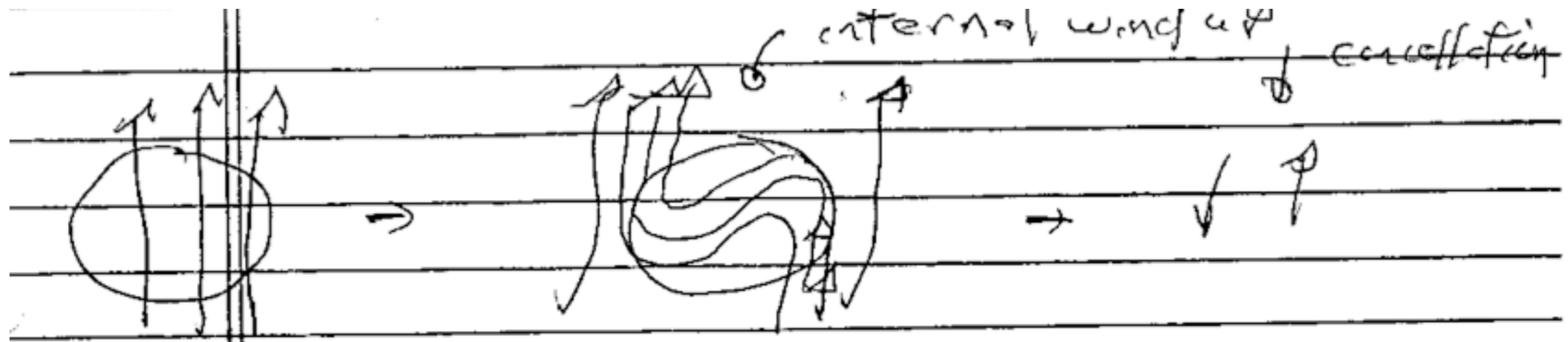
→ closed stream lines, $\nu = 0$

→ no irreversibility

i.e.



Now $\nu \neq 0$



→ non-diffusive stretching produces arbitrary fine scale structure

→ for small, but finite ν , instead of fine scale structure, must have:

$$q(\phi) \rightarrow \text{const} \quad t \rightarrow \infty \quad \text{small } \nu \rightarrow \text{global behavior}$$

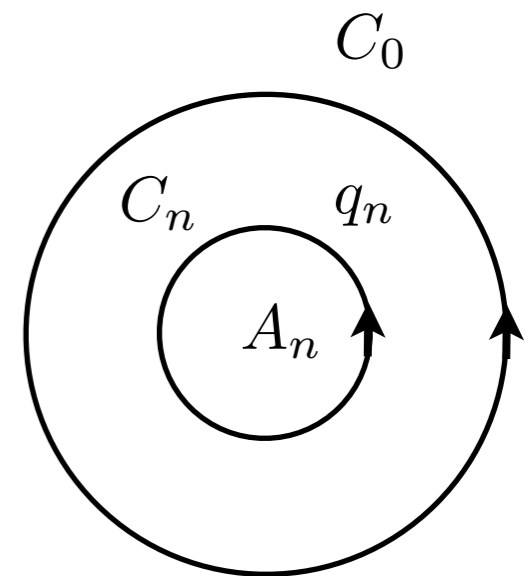
i.e. finite ν at large Re → PV **homogenization**

analogy in MHD? → **Flux Expulsion**

Prandtl - Batchelor Theorem:

Consider a region of 2D incompressible flow (i.e. vorticity advection) enclosed by closed streamline C_0 . Then, **if** diffusive dissipation, i.e. $\partial_t q + \nabla\phi \times \hat{z} \cdot \nabla q = \nu \nabla^2 q$ **then** vorticity \rightarrow uniform (homogenization), as $t \rightarrow \infty$ within C_0

- \rightarrow underpins notion of PV mixing \rightarrow basic trend
- \rightarrow fundamental to selective decay to minimum enstrophy state in 2D fluids (analogue of Taylor hypothesis)



Proof:

$$\int_{A_n} \nabla \cdot (\mathbf{v}q) = 0 \quad (\text{closed streamlines})$$

$$0 = \int_{A_n} \nabla \cdot (\nu \nabla q)$$

$$= \nu \int_{C_n} dl \hat{n} \cdot \nabla q \quad (\text{form of dissipation relevant!})$$

For $q = q(\phi)$

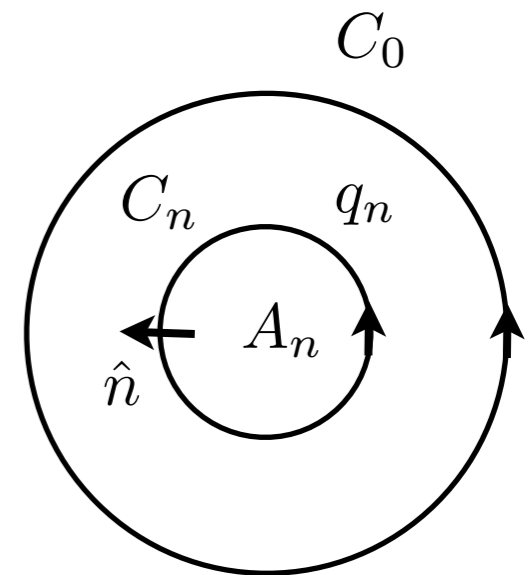
$$0 = \nu \int_{C_n} dl \hat{n} \cdot \nabla \phi_n \frac{\delta q}{\delta \phi_n}$$

$$= \nu \frac{\delta q}{\delta \phi_n} \int_{C_n} dl \hat{n} \cdot \nabla \phi_n$$

$$\therefore 0 = \nu \frac{\delta q}{\delta \phi_n} \Gamma_n$$

$$\therefore \frac{\delta q}{\delta \phi_n} = 0 \rightarrow \mathbf{q} \text{ homogenized, within } C_0$$

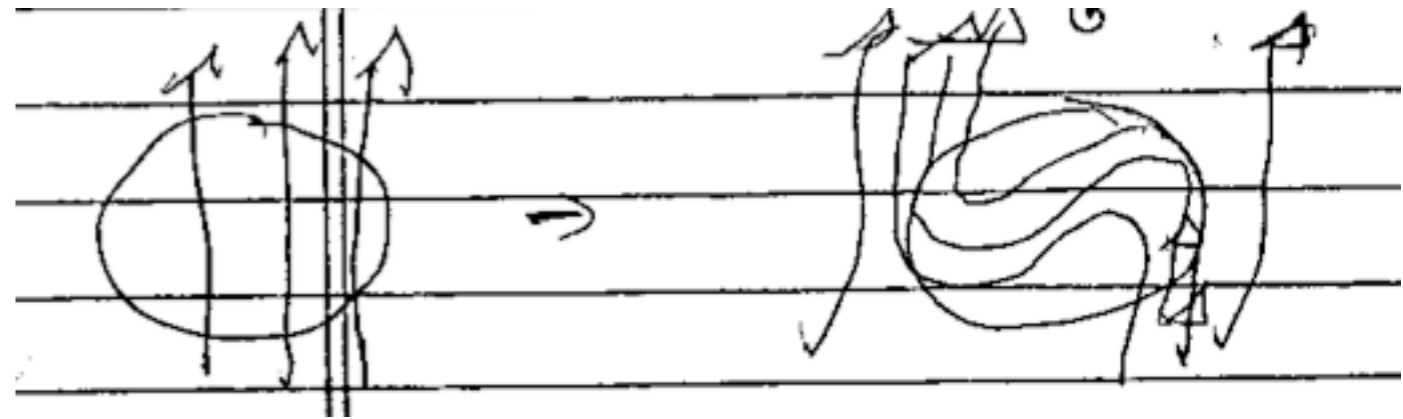
$\rightarrow \mathbf{q}'$ tends to flatten!



$C_0 \equiv$
bounding streamline

How long to homogenize? \leftrightarrow What are the time scales?

Key: Differential Rotation within Eddy



Key: synergism between shear and diffusion

$$1/\tau_{mix} \sim 1/\tau_c (Re)^{-1/3}$$

$\tau_c \equiv$ circulation time

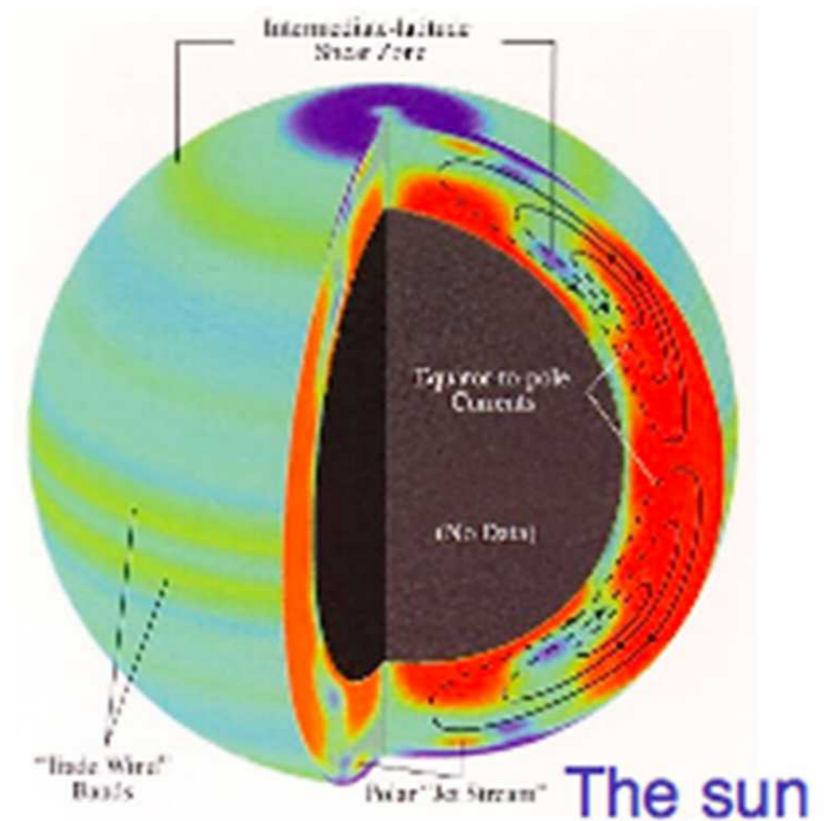
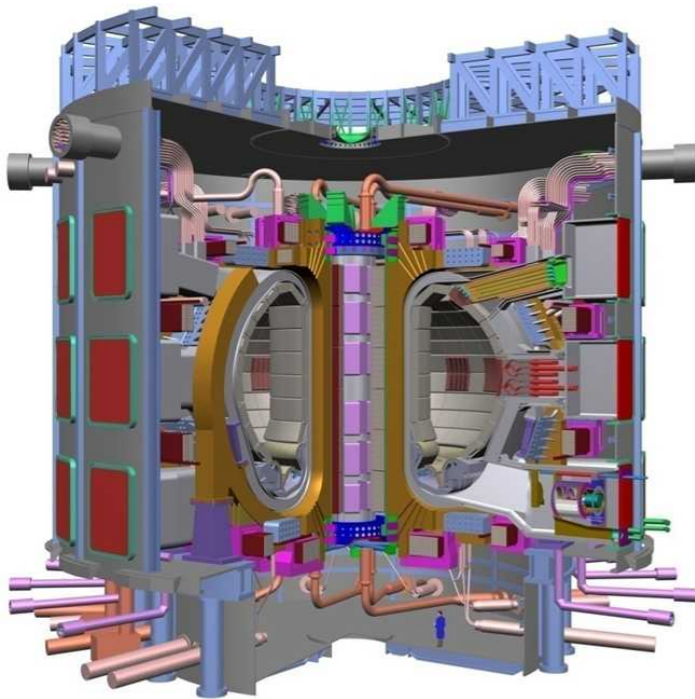
PV homogenization occurs on hybrid decorrelation rate

but $\tau_{mix} \ll \tau_D$ for $Re \gg 1 \rightarrow$ time to homogenize is finite

Point of the theorem is **global** impact of small dissipation - akin Taylor

Preamble I

- Zonal Flows Ubiquitous for:
 - ~ 2D fluids / plasmas $R_0 < 1$
 - Rotation $\vec{\Omega}$, Magnetization \vec{B}_0 , Stratification
 - Ex: MFE devices, giant planets, stars...



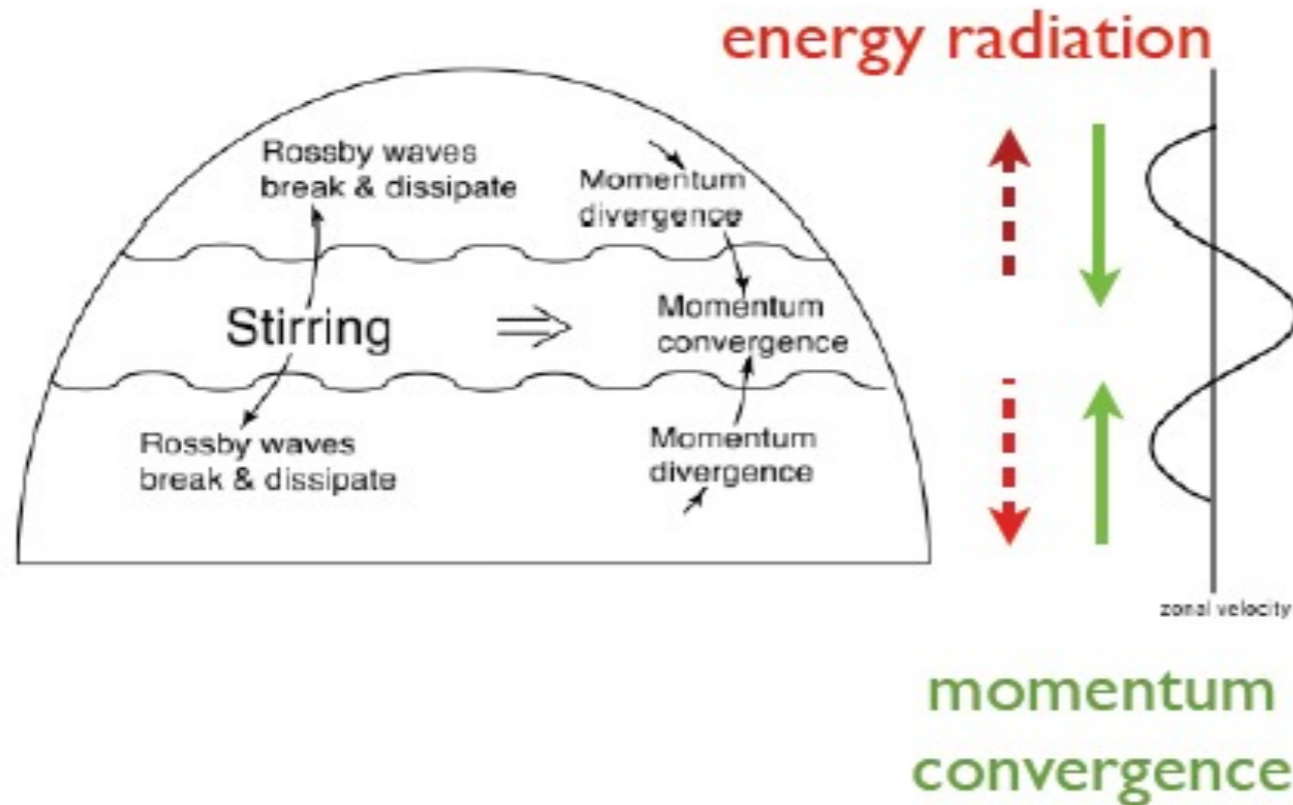
Preamble II

- What is a Zonal Flow?
 - $n = 0$ potential mode; $m = 0$ (ZFZF), with possible sideband (GAM)
 - toroidally, poloidally symmetric ExB shear flow
- Why are Z.F.'s important?
 - Zonal flows are secondary (nonlinearly driven):
 - modes of minimal inertia (Hasegawa et. al.; Sagdeev, et. al. '78)
 - modes of minimal damping (Rosenbluth, Hinton '98)
 - drive zero transport ($n = 0$)
 - natural predators to feed off and retain energy released by gradient-driven microturbulence

Heuristics of Zonal Flows a):

Simplest Possible Example: Zonally Averaged Mid-Latitude Circulation

- ▶ classic GFD example: Rossby waves + Zonal flow (c.f. Vallis '07, Held '01)
- ▶ Key Physics:



Rossby Wave:

$$\omega_k = -\frac{\beta k_x}{k_{\perp}^2}$$

$$v_{gy} = 2\beta \frac{k_x k_y}{k_{\perp}^2}$$

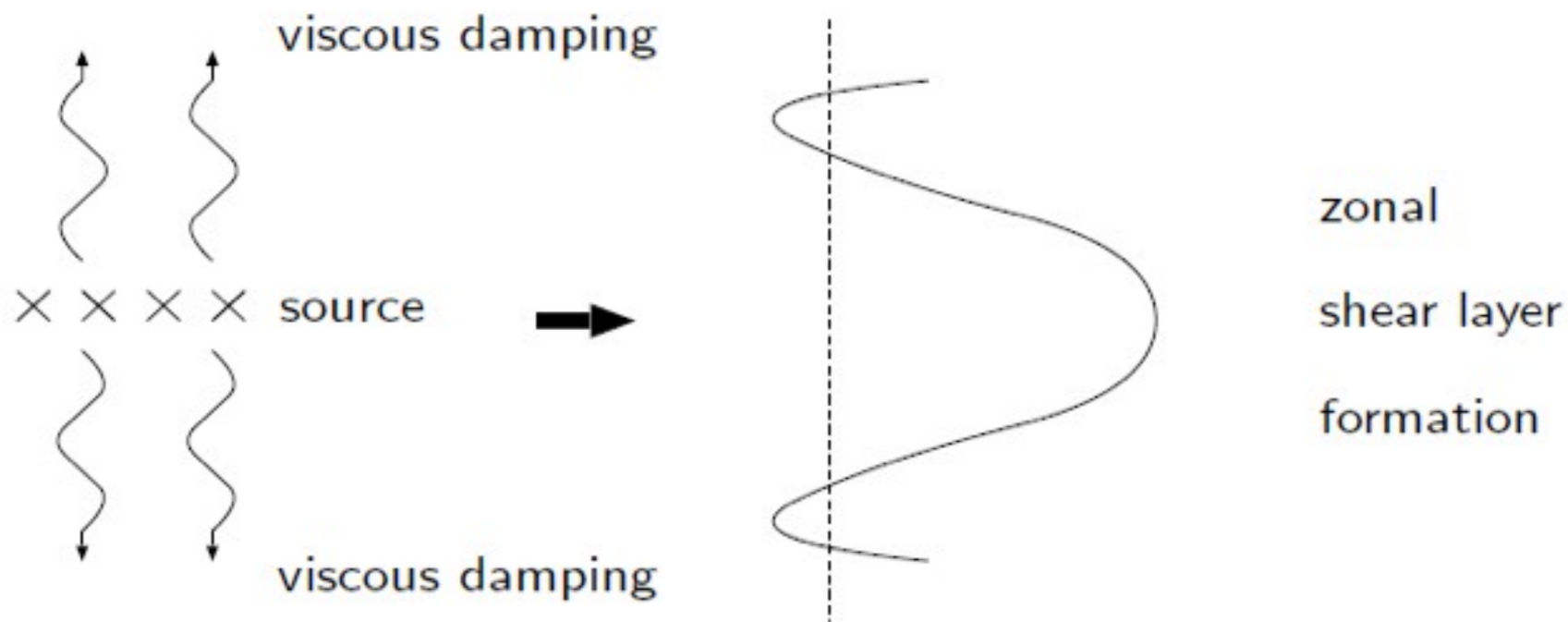
$$\therefore v_{gy} v_{phy} < 0$$

→ Backward wave!

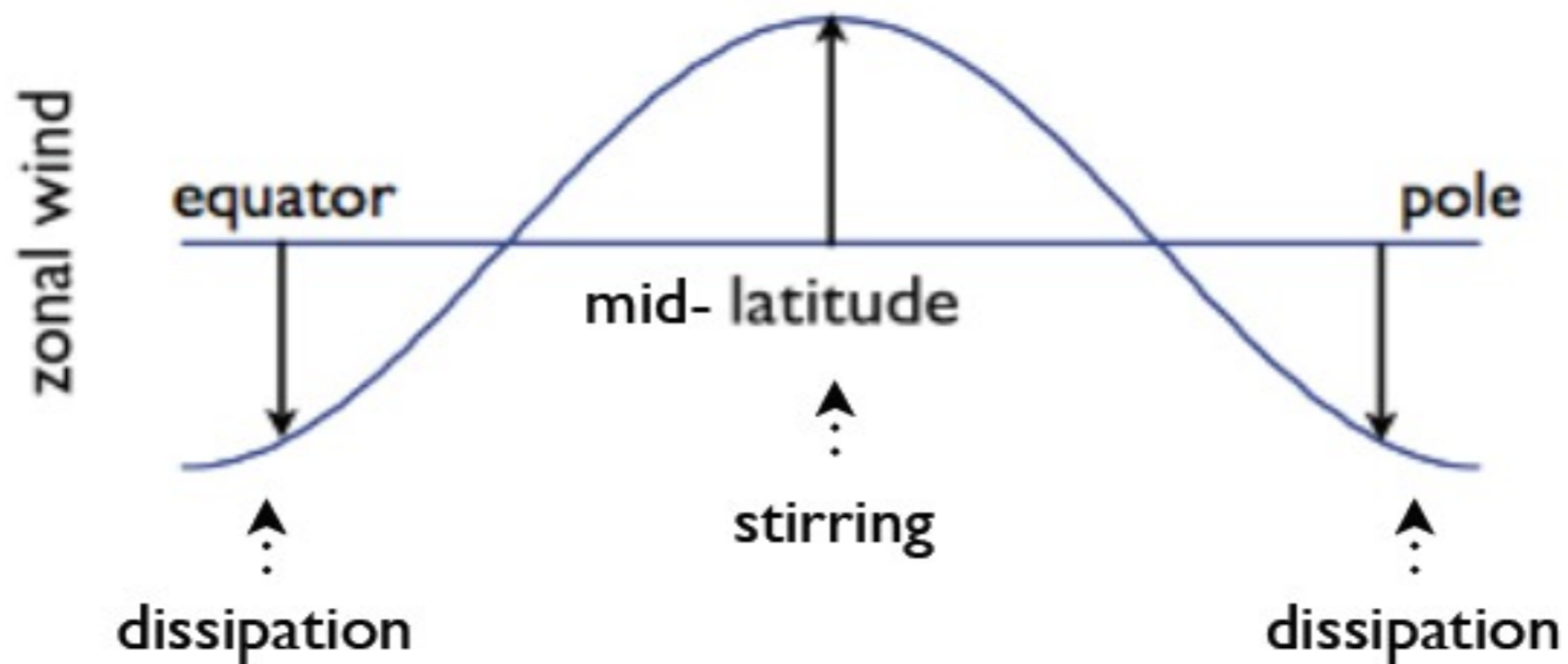
⇒ Momentum convergence at stirring location

$$\langle \tilde{v}_y \tilde{v}_x \rangle = \sum_k -k_x k_y |\hat{\phi}_{\vec{k}}|^2$$

- ▶ ...“the central result that a rapidly rotating flow, when stirred in a localized region, will converge angular momentum into this region.” (I. Held, '01)
- ▶ Outgoing waves \Rightarrow incoming wave momentum flux



- ▶ Local Flow Direction (northern hemisphere):
 - ▶ eastward in source region
 - ▶ westward in sink region
 - ▶ set by $\beta > 0$
 - ▶ Some similarity to spinodal decomposition phenomena \rightarrow both 'negative diffusion' phenomena



Key Point: Finite Flow Structure requires *separation* of excitation and dissipation regions.

=> Spatial structure and wave propagation within are central.

→ momentum transport by **waves**

Key Elements:

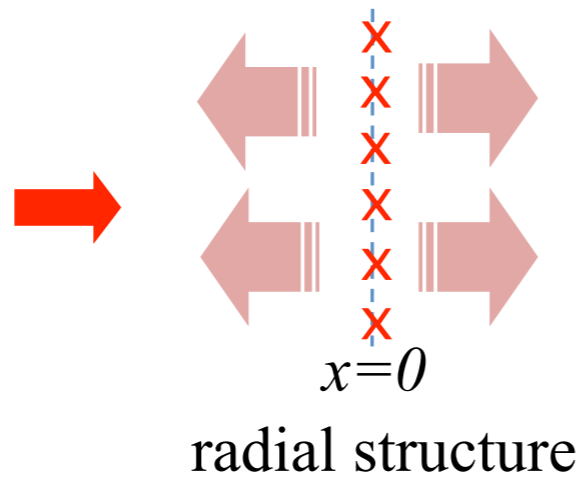
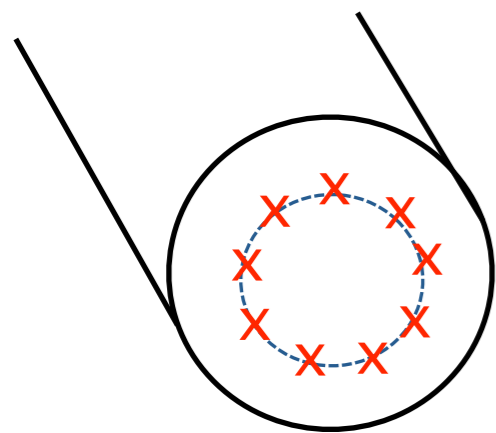
- ▶ Waves → propagation transports momentum ↔ stresses
→ modest-weak turbulence
- ▶ vorticity transport → momentum transport → Reynolds force
→ the Taylor Identity
- * ▶ Irreversibility → outgoing wave boundary conditions
- ▶ symmetry breaking → direction, boundary condition
→ β
- ▶ Separation of forcing, damping regions
→ need damping region broader than source region
→ akin intensity profile...

All have obvious MFE counterparts...

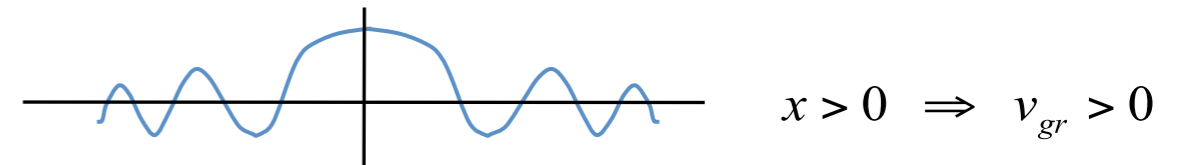
Heuristics of Zonal Flows b.)

2) MFE perspective on Wave Transport in DW Turbulence

- localized source/instability drive intrinsic to drift wave structure



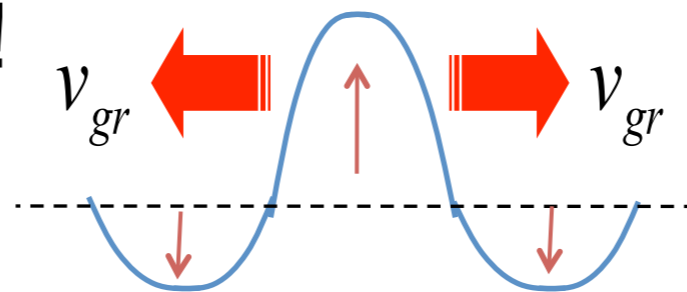
- couple to damping \leftrightarrow outgoing wave
i.e. Pearlstein-Berk eigenfunction



$$- v_{gr} = -2\rho_s^2 \frac{k_\theta k_r v_*}{(1 + k_\perp^2 \rho_s^2)^2} \quad v_* < 0 \rightarrow k_r k_\theta > 0$$

$$- \langle v_{rE} v_{\theta E} \rangle = -\frac{c^2}{B^2} |\phi_{\vec{k}}|^2 k_r k_\theta < 0$$

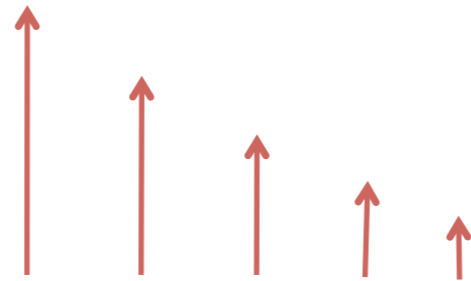
- outgoing wave energy flux \rightarrow incoming wave momentum flux
 \rightarrow counter flow spin-up!



- zonal flow layers form at excitation regions

Heuristics of Zonal Flows b.) cont'd

- So, if spectral intensity gradient \rightarrow net shear flow \rightarrow mean shear formation



$$S_r = v_{gr} \varepsilon \cong - \frac{2k_r k_\theta V_t \rho_*^2}{(1 + k_\perp^2 \rho^2)} \varepsilon$$

$$\langle \tilde{v}_r \tilde{v}_\theta \rangle \approx \sum_k -k_r k_\theta |\phi_{\vec{k}}|^2$$

- Reynolds stress proportional radial wave energy flux \vec{S} , mode propagation physics (Diamond, Kim '91)
- Equivalently: $\partial_t E + \nabla \cdot \mathbf{S} + (\omega \text{Im} \omega) E = 0$ (Wave Energy Theorem)
 - \therefore Wave dissipation coupling sets Reynolds force at stationarity
- Interplay of drift wave and ZF drive originates in mode dielectric
- Generic mechanism...

Towards Calculating Something: Revisiting Rayleigh from PV Perspective

– G.I. Taylor's take on Rayleigh criterion

- consider effect on (zonal) flow by displacement of PV: δy

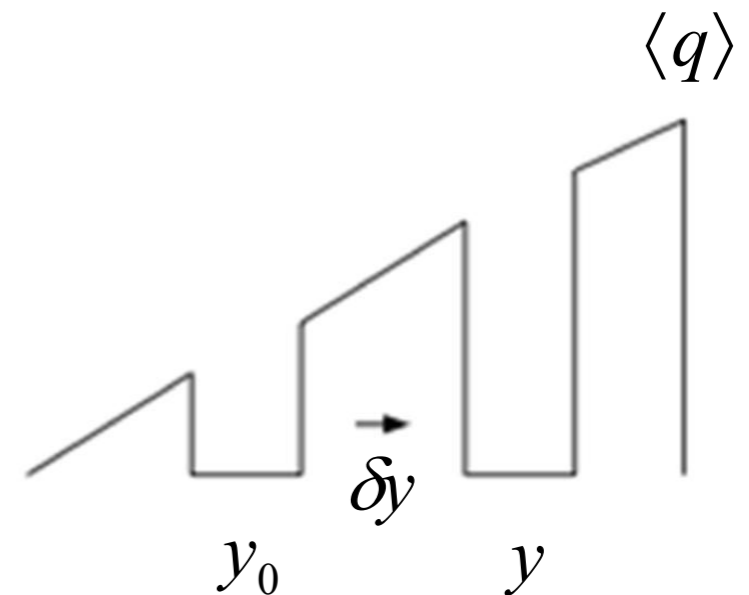
$$\frac{\partial}{\partial t} \langle v_x \rangle = \langle \tilde{v}_y \tilde{q} \rangle$$

$\tilde{q} = (\text{PV of vorticity blob at } y) - (\text{mean PV at } y)$

Small displacement \nearrow

$$\langle q(y) \rangle = \langle q(y_0) \rangle + (y - y_0) \left. \frac{d\langle q \rangle}{dy} \right|_{y_0}$$

$$\therefore \frac{\partial}{\partial t} \langle v_x \rangle = -\langle \tilde{v}_y \delta y \rangle \frac{d\langle q \rangle}{dy} = -\left(\partial_t \frac{\langle \tilde{\varepsilon}^2 \rangle}{2} \frac{d\langle q \rangle}{dy} \right)$$



Flow driven by PV Flux

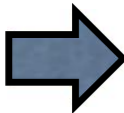
So, for instability $\left\{ \begin{array}{l} \partial_t \langle \tilde{\varepsilon}^2 \rangle > 0 \quad ; \text{growing displacement} \\ \frac{\partial}{\partial t} \int_{-a}^a dy \langle v_x \rangle = 0 \quad ; \text{momentum conservation} \end{array} \right.$

$$-\int_{-a}^a dy \left(\partial_t \frac{\langle \tilde{\varepsilon}^2 \rangle}{2} \right) \frac{d\langle q \rangle}{dy} = 0 \quad \frac{d\langle q \rangle}{dy} \text{ must change sign within flow interval}$$

\Rightarrow inflection point

also,

$$\frac{\partial}{\partial t} \left\{ \langle v_x \rangle + \frac{\langle \tilde{\varepsilon}^2 \rangle}{2} \frac{d\langle q \rangle}{dy} \right\} = 0 \quad \tilde{q} = -\tilde{\varepsilon} \frac{d\langle q \rangle}{dy}$$

 $\frac{\partial}{\partial t} \left\{ \langle v_x \rangle - \left(-\frac{\langle \tilde{q}^2 \rangle}{2 \partial \langle q \rangle / \partial y} \right) \right\} = 0$

$-\langle \tilde{q}^2 \rangle / 2 \partial \langle q \rangle / \partial y \equiv$
Pseudomomentum for
QG system

\rightarrow no slip condition of flow + quasi-particle gas
 \rightarrow (significant) step toward momentum theorem
 i.e. ties flow to wave momentum density

Zonal Flows I

- Fundamental Idea:
 - Potential vorticity transport + 1 direction of translation symmetry
→ **Zonal flow** in magnetized plasma / QG fluid
 - Kelvin's theorem is ultimate foundation
- G.C. ambipolarity breaking → polarization charge flux → Reynolds force
 - Polarization charge $\Rightarrow -\rho^2 \nabla^2 \phi = n_{i,GC}(\phi) - n_e(\phi)$
polarization length scale \leftarrow \leftarrow *ion GC* \leftarrow *electron density*
 - so $\Gamma_{i,GC} \neq \Gamma_e \Rightarrow \rho^2 \langle \tilde{v}_{rE} \nabla_{\perp}^2 \tilde{\phi} \rangle \neq 0 \Leftrightarrow$ 'PV transport'
 \leftarrow *polarization flux* → What sets cross-phase?
 - If 1 direction of symmetry (or near symmetry):
 - $-\rho^2 \langle \tilde{v}_{rE} \nabla_{\perp}^2 \tilde{\phi} \rangle = -\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle$ (Taylor, 1915)
 - $-\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle \Rightarrow$ Reynolds force \Rightarrow Flow

Notable by Absence: Three “Usual Suspects”

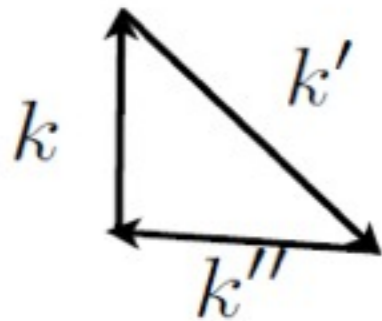
- ▶ “Inverse Cascade”
 - ▶ Wave mechanism is essentially **linear**
→ **scale separation often dubious**
 - ▶ PV transport is sufficient / fundamental
- ▶ “Rhines Mechanism”
 - ▶ requires very broad dynamic range
 - ▶ Waves $\Leftrightarrow k_R \Leftrightarrow$ forced strong turbulence
 - ▶ **strong turbulence model**
- ▶ “Modulational Instability” → see P.D. et al. PPCF’05, CUP’10 for **detailed** discussion
 - ▶ coherent, quasi-coherent wave process
 - ▶ useful concept, but not **fundamental**

Lesson: Formation of zonal bands is **generic** to the response of a rapidly rotating fluid to any localized perturbation

Inverse Cascade/Rhines Mechanism

$$k \begin{cases} \omega_k \sim -\beta k_x / k^2 \\ 1/\tau_k \end{cases}$$

transfer \Leftrightarrow triad couplings



eddy transfer: $\omega_{MM} < 1/\tau_c$

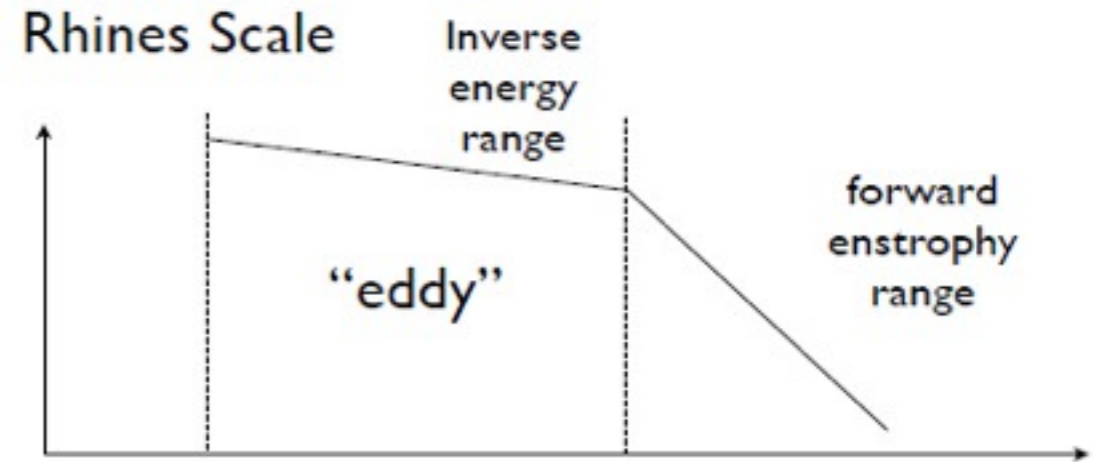
wave transfer: $\omega_{MM} > 1/\tau_c$

cross over: $\omega_{MM} \sim 1/\tau_c$

\Rightarrow **Rhines Scale** - emergent characteristic scale for ZF

$$l_R \sim (\tilde{\nu}/\beta)^{1/2} \sim \epsilon^{1/5} / \beta^{3/5}$$

Contrast: Rhines mechanism vs critical balance



“Waves + ZF” forcing
 \hookrightarrow triads: 2 waves + ZF

The crux:

- 3 wave resonance requires 1 wave with $k_x = 0$

- ZF's appear at k_R

- coupling maximal at k_R

$\Rightarrow k_R$ Z.F. dominates

→ **Caveat Emptor:**

- often said 'Zonal Flow Formation \cong Inverse Cascade'

but

- anisotropy crucial $\rightarrow \langle \tilde{V}^2 \rangle$, β , forcing \rightarrow ZF scale

- numerous instances with: $\left\{ \begin{array}{l} \text{no inverse inertial range} \\ \text{ZF formation} \leftrightarrow \text{quasi-coherent} \end{array} \right.$

all really needed:

$$\langle \tilde{V}_y \tilde{q} \rangle \rightarrow \text{PV Flux} \rightarrow \langle \tilde{V}_y \tilde{V}_x \rangle \rightarrow \text{Flow}$$

→ transport and mixing of PV are fundamental elements of dynamics

Zonal Flows II

- Potential vorticity transport and momentum balance
 - Example: Simplest interesting system → Hasegawa-Wakatani
 - Vorticity: $\frac{d}{dt} \nabla^2 \phi = -D_{\parallel} \nabla_{\parallel}^2 (\phi - n) + D_0 \nabla^2 \nabla^2 \phi$
 - Density: $\frac{dn}{dt} = -D_{\parallel} \nabla_{\parallel}^2 (\phi - n) + D_0 \nabla^2 n$
$$\left\{ \begin{array}{l} D_0 \text{ classical, feeble} \\ \text{Pr} = 1 \text{ for simplicity} \end{array} \right.$$
 - Locally advected PV: $q = n - \nabla \phi^2$
 - PV: charge density $\left\{ \begin{array}{l} n \rightarrow \text{guiding centers} \\ -\nabla \phi^2 \rightarrow \text{polarization} \end{array} \right.$
 - conserved on trajectories in inviscid theory $dq/dt=0$
 - PV conservation → $\left. \begin{array}{l} \text{Freezing-in law} \\ \text{Kelvin's theorem} \end{array} \right\} \rightarrow \text{Dynamical constraint}$

Zonal Flow II, cont'd

- Potential Enstrophy (P.E.) balance

$$d\langle q^2 \rangle / dt = 0 \quad \begin{array}{c} \text{flux} \\ \downarrow \\ \text{dissipation} \\ \downarrow \end{array} \quad \langle \rangle \rightarrow \text{coarse graining}$$

$$\text{LHS} \Rightarrow \frac{d}{dt} \langle \tilde{q}^2 \rangle \equiv \partial_t \langle \tilde{q}^2 \rangle + \partial_r \langle \tilde{V}_r \tilde{q}^2 \rangle + D_0 \langle (\nabla \tilde{q})^2 \rangle$$

$$\text{RHS} \Rightarrow \text{P.E. evolution} - \langle \tilde{V}_r \tilde{q} \rangle \langle q \rangle' \Rightarrow \text{P.E. Production by PV mixing / flux}$$

- PV flux: $\langle \tilde{V}_r \tilde{q} \rangle = \langle \tilde{V}_r \tilde{n} \rangle - \langle \tilde{V}_r \nabla^2 \tilde{\phi} \rangle$; but: $\langle \tilde{V}_r \nabla^2 \tilde{\phi} \rangle = \partial_r \langle \tilde{V}_r \tilde{V}_\theta \rangle$

\therefore P.E. production directly couples driving transport and flow drive

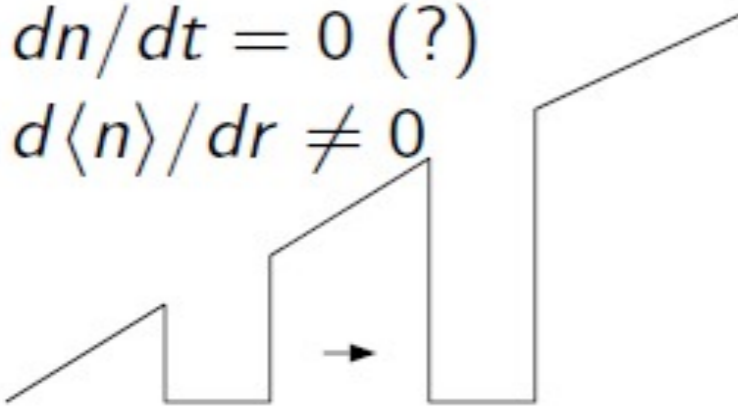
- Fundamental Stationarity Relation for Vorticity flux

$$\langle \tilde{V}_r \nabla^2 \tilde{\phi} \rangle = \langle \tilde{V}_r \tilde{n} \rangle + (\delta_t \langle \tilde{q}^2 \rangle) / \langle q \rangle'$$

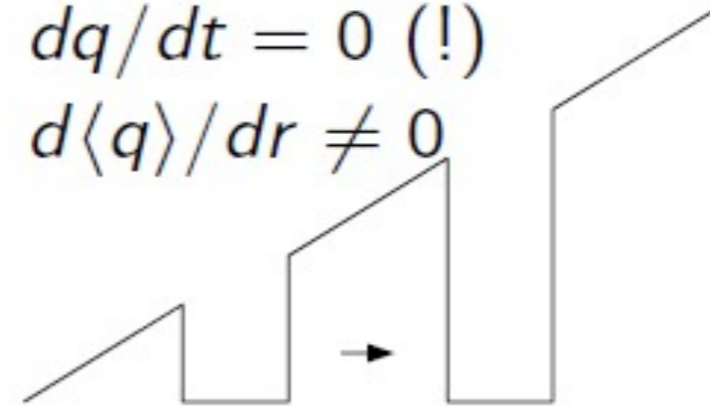
\uparrow
Reynolds force
 \uparrow
Relaxation
 \uparrow
Local PE decrement

\therefore Reynolds force locked to driving flux and P.E. decrement; transcends quasilinear theory

Contrast: Implications of PV Freezing-in Law

$$\begin{aligned} & \frac{dn}{dt} = 0 (?) \\ & \frac{d\langle n \rangle}{dr} \neq 0 \end{aligned}$$


$$\tilde{n} \text{ grows} \rightarrow \langle \tilde{V}_r \tilde{n} \rangle \rightarrow :-)$$

$$\begin{aligned} & \frac{dq}{dt} = 0 (!) \\ & \frac{d\langle q \rangle}{dr} \neq 0 \end{aligned}$$


$$\tilde{q} \text{ grows}$$

$$\rightarrow \left\{ \begin{array}{l} \langle \tilde{V}_r \tilde{n} \rangle \rightarrow \text{transport} \rightarrow :-) \\ \langle \tilde{V}_r \nabla^2 \tilde{\phi} \rangle \rightarrow \text{flow} \rightarrow :-) \end{array} \right.$$

Lesson: Even if $\langle q \rangle \cong \langle n \rangle$, PV conservation must channel free energy into zonal flows!

Key Question: Branching ratio of energy coupled to flow vs transport-inducing fluctuations?

▶ Combine: $\begin{cases} \text{PE balance} \\ \partial_t \langle V_\theta \rangle = -\langle \tilde{V}_r \nabla^2 \tilde{\phi} \rangle - \nu \langle V_\theta \rangle \end{cases}$ yields...

▶ Charney-Drazin Momentum Theorem

(1960, et.seq., P.D., et.al. '08, for HW)

$$\Rightarrow \partial_t \{ \overbrace{(\text{WAD})}^{\text{Pseudomomentum}} + \langle V_\theta \rangle \} = - \underbrace{\langle \tilde{V}_r \tilde{n} \rangle}_{\text{driving flux}} - \overbrace{\delta_t \langle \tilde{q}^2 \rangle / \langle q \rangle'}^{\text{local P.E. decrement}} - \underbrace{\nu \langle V_\theta \rangle}_{\text{drag}}$$

WAD = Wave Activity Density, $\langle \tilde{q}^2 \rangle / \langle q \rangle'$

▶ pseudomomentum in θ -direction (Andrews, McIntyre '78)

▶ Generalized Wave Momentum Density

- i) momentum of quasi-particle gas of waves, turbulence
- ii) consequence of azimuthal/poloidal symmetry
- iii) not restricted to linear response, but reduces correctly

- ▶ What Does it Mean ? → “Non-Acceleration Theorem” :

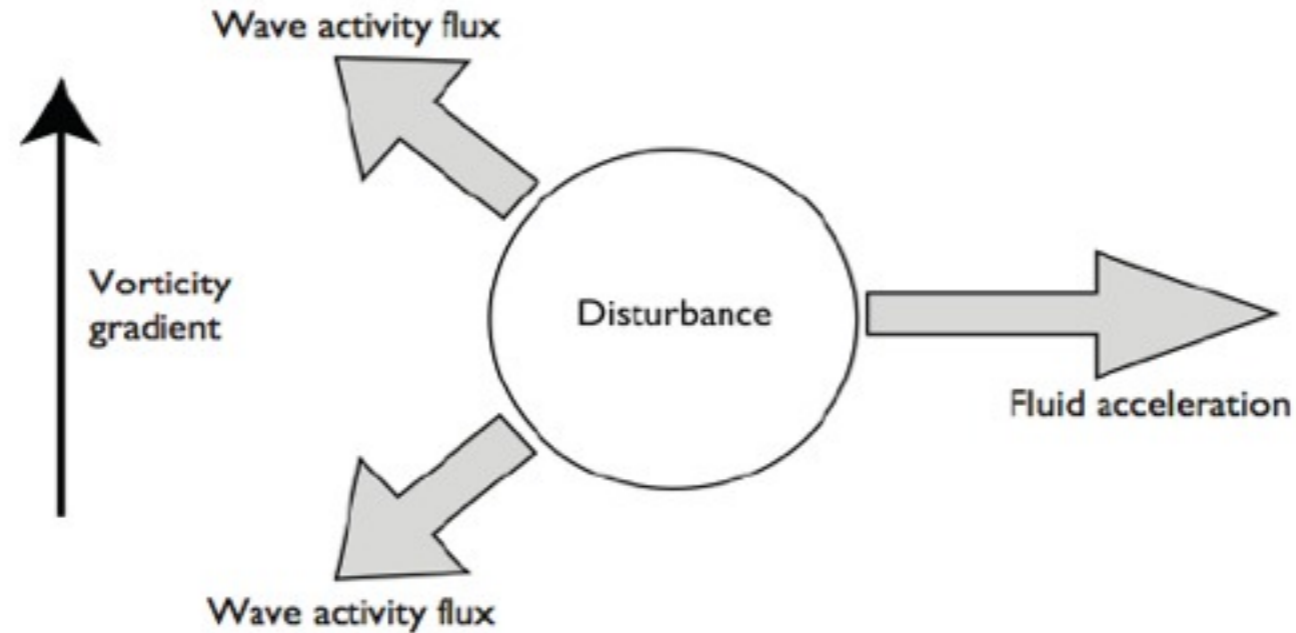
$$\partial_t \{ (WAD) + \langle V_\theta \rangle \} = - \langle \tilde{V}_r \tilde{n} \rangle - \delta_t \langle \tilde{q}^2 \rangle / \langle q \rangle' - \nu \langle V_\theta \rangle$$

- ▶ absent $\left\{ \begin{array}{l} \langle \tilde{V}_r \tilde{n} \rangle, \text{ driving flux} \\ \delta_t \langle \tilde{q}^2 \rangle, \text{ local potential enstrophy decrement} \end{array} \right.$
- cannot $\left\{ \begin{array}{l} \text{accelerate} \\ \text{maintain} \end{array} \right.$ Z.F. with stationary fluctuations!
- ▶ Essential physics is PV conservation and translational invariance in θ → freezing quasi-particle gas momentum into flow → relative “slippage” required for zonal flow growth

- ▶ obvious constraint on models of stationary zonal flows!
 \leftrightarrow need explicit connection to relaxation, dissipation

N.B. Inhomogeneous dissipation → incomplete homogenization!?

Aside: H-M



- ▶ C-D Theorem for HM

$$\partial_t \{ \text{WAD} + \langle V_\theta \rangle \} = \frac{\langle \tilde{f}^2 \rangle \tau_c}{\langle q \rangle'} - \frac{1}{\langle q \rangle'} \left\{ \partial_r \langle \tilde{V}_r \delta q^2 \rangle + \mu \langle (\nabla \delta q)^2 \rangle \right\} - \nu \langle V_\theta \rangle$$

- ▶ C-D prediction for $\langle V_\theta \rangle$ at stationary state, HM model

$$\langle V_\theta \rangle = \frac{1}{\nu \langle q \rangle'} \left\{ \langle \tilde{f}^2 \rangle \tau_c - \partial_r \langle \tilde{V}_r \delta q^2 \rangle + \mu \langle (\nabla \delta q)^2 \rangle \right\}$$

- Note: Flow direction set by: $\langle q \rangle'$, source, sink distribution
- Forcing, damping profiles determine shear
- Potential Enstrophy **Transport** impact flow structure

In More Depth: What Really Determines Zonal Flow?

- ▶ driving flux: $\langle \tilde{V}_r \tilde{n} \rangle = \Gamma_0 - \Gamma_{\text{col}} = \int dr' S_n(r') - \Gamma_{\text{col}}$
 - ▶ Total flux Γ_0 **fixed** by sources, $S_n \rightarrow$ **flux driven system**
 - ▶ Collisional flux in turbulent system, Γ_{col} (computed with actual profiles)
 - ▶ $\Gamma_0 - \Gamma_{\text{col}} \rightarrow$ available flux
- ▶ P.E. decrement: $\delta_t \langle \tilde{q}^2 \rangle = \partial_r \langle \tilde{V}_r \tilde{q}^2 \rangle + D_0 \langle (\nabla \tilde{q})^2 \rangle$
 - \rightarrow change in roton intensity (PE) changes flow profile
 - ▶ roton dissipation
 - ▶ P.E. flux, direction increment, according to convergence (> 0) or divergence (< 0) of pseudomomentum, locally

So: **P.E. transport and “spreading” intrinsically linked to flow structure, dynamics**

Net $\delta(\text{P.E.})$ can generate net spin-up

\therefore Zonal flow dynamics intrinsically **“non-local”** \leftrightarrow couple to turbulence spreading (fast, meso-scale process)

Clarifying the Enigma of Collisionless Zonal Flow Saturation

- ▶ Flow evolution with: $\nu \rightarrow 0$, $S_n \neq 0$ and nearly stationary turbulence

$$\partial_t \langle V_\theta \rangle = - \left(\int dr' S_n(r') - \Gamma_{\text{col}} \right) - \left(\partial_r \langle \tilde{V}_r \tilde{q}^2 \rangle + D_0 \langle (\nabla \tilde{q})^2 \rangle \right) / \langle q \rangle'$$

Possible Outcomes:

- ▶ $\langle q \rangle' \rightarrow 0$, locally \rightarrow shear flow instability (**the usual**)
 \leftrightarrow limit cycle of burst and recovery, effective viscosity?
 \rightarrow **problematic with magnetic shear**
- ▶ $\langle \tilde{V}_r \tilde{n} \rangle$ v.s. $\partial_r \langle \tilde{V}_r \tilde{q}^2 \rangle \rightarrow$ potential enstrophy transport and inhomogeneous turbulence, with $\tilde{n}/n \sim \text{M.L.T}$
 \rightarrow flux drive vs. roton population flux
 \rightarrow **novel saturation mechanism**
- ▶ $\langle q \rangle' \rightarrow 0$, globally \rightarrow homogenized PV state (Rhines, Young, Prandtl, Batchelor)
 \rightarrow decouples mean PV, PE evolution
- ▶ homogeneous marginality, i.e. $\int dr' S_n(r') = \Gamma_{\text{col}} \leftrightarrow$ ala' stiff core

N.B.: $\langle q \rangle' = 0 \Rightarrow \partial_r \langle n \rangle = \partial_r^2 \langle V_E \rangle = \partial_r \langle \omega_E \rangle \rightarrow$ particular profile relation !

Summary of Flow Organization

concept: symmetry

process: PV mixing, transport

constraint released: Enstrophy conservation

players: drift waves

Mean Field: $\Gamma_{PV} = \langle \tilde{v}_r \tilde{q} \rangle$

Global Constraint: Bounding circulation

NL: Pseudomomentum Flux

Outcome: Zonal Flow Formation

Shortcoming: ZF pattern structure and collisionless saturation

Summary of comparison

- Many commonalities between magnetic and flow relaxation apparent.
- Common weak point is limitation of mean field theory
 - difficult to grapple with strong NL, non-Gaussian fluctuations.

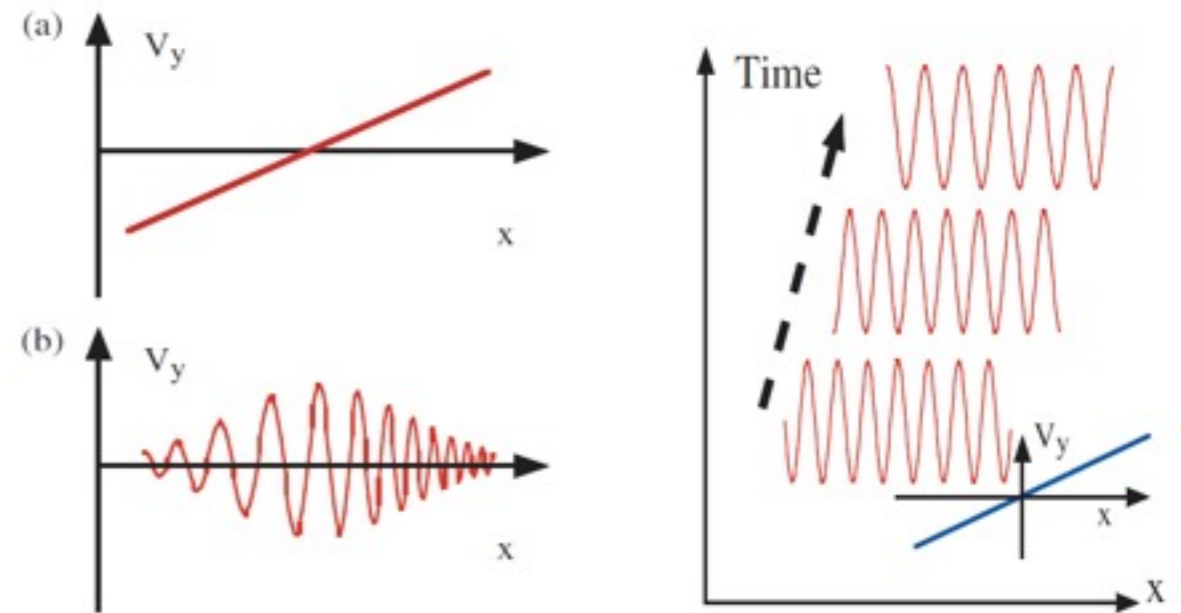
	Magnetic (JB)	Flow (GI)
concept	topology	symmetry
process	turbulent reconnection	PV mixing
players	tearing modes, Alfvén waves	drift wave turbulence
mean field	$EMF = \langle \tilde{v} \times \tilde{B} \rangle$	$PV \text{ Flux} = \langle \tilde{v}_r \tilde{q} \rangle$
constraint	$\int d^3x \mathbf{A} \cdot \mathbf{B}$ conservation	Potential Enstrophy balance
NL	Helicity Density Flux	Pseudomomentum Flux
outcome	B-profiles	zonal flow

Heuristics of Zonal Flows c.)

- One More Way:
- Consider:
 - Radially propagating wave packet
 - Adiabatic shearing field

$$\frac{d}{dt} k_r = -\frac{\partial}{\partial r} \left(\omega + k_\theta \langle V_{E,ZF} \rangle \right) \Rightarrow \langle k_r^2 \rangle \uparrow$$

$$\omega_{\bar{k}} = \frac{\omega_*}{1 + k_\perp^2 \rho_s^2} \downarrow$$



- Wave action density $N_k = E(k)/\omega_k$ adiabatic invariant
- $\therefore E(k) \downarrow \Rightarrow$ flow energy decreases, due Reynolds work \Rightarrow flows amplified (cf. energy conservation)
- \Rightarrow Further evidence for universality of zonal flow formation

Heuristics of Zonal Flows d.) cont'd

- Implications:

- ZF's generic to drift wave turbulence in any configuration: electrons tied to flux surfaces, ions not

- g.c. flux \rightarrow polarization flux
- zonal flow

- Critical parameters

- ZF screening (Rosenbluth, Hinton '98)
- polarization length
- cross phase \rightarrow PV mixing

- Observe:

- can enhance $e\varphi_{ZF}/T$ at fixed Reynolds drive by reducing shielding, ρ^2

- typically: $\boxed{\epsilon / \epsilon_0} \sim 1 + \rho_i^2 / \lambda_D^2 + f_t \boxed{\rho_b^2} / \lambda_D^2 + f_d \boxed{\delta_d^2} / \lambda_D^2$

\swarrow total screening response \swarrow banana width \swarrow banana tip excursion

- Leverage (Watanabe, Sugama) \rightarrow flexibility of stellerator configuration

- Multiple populations of trapped particles
- $\langle E_r \rangle$ dependence (FEC 2010)

Heuristics of Zonal Flows d.) cont'd

- Yet more:
$$\frac{\partial}{\partial t} \langle v_{\perp} \rangle = -\partial_r \langle \tilde{v}_{rE} \tilde{v}_{\perp E} \rangle - \underbrace{\gamma_d \langle v_{\perp} \rangle}_{\text{damping}} + \mu \nabla_r^2 \langle v_{\perp} \rangle$$

- Reynolds force opposed by flow damping

- Damping:

- Tokamak $\rightarrow \gamma_d \sim \gamma_{ii}$

- trapped, untrapped friction
- no Landau damping of (0, 0)

- Stellerator/3D $\rightarrow \gamma_d \leftrightarrow NTV$

- damping tied to non-ambipolarity, also
- largely unexplored

- RMP

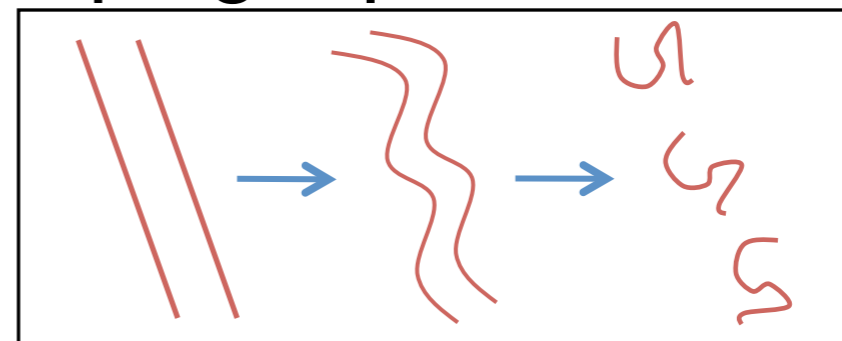
- zonal density, potential coupled by RMP field
- novel damping and structure of feedback loop

- Weak collisionality \rightarrow nonlinear damping – problematic

\rightarrow tertiary \rightarrow 'KH' of zonal flow \rightarrow

magnetic shear!?

\rightarrow other mechanisms?



Heuristics of Zonal Flows c.) cont'd

4) GAMs Happen

- Zonal flows come in 2 flavors/frequencies:

– $\omega = 0 \Rightarrow$ flow shear layer

–GAM $\omega^2 \cong 2c_s^2 / R^2 (1 + k_r^2 \rho_\theta^2) \Rightarrow$ frequency drops toward edge \Rightarrow stronger shear

- radial acoustic oscillation
- couples flow shear layer (0,0) to (1,0) pressure perturbation
- $R \equiv$ geodesic curvature (configuration)
- Propagates radially

- GAMs damped by Landau resonance and collisions

$$\gamma_d \sim \exp[-\omega_{GAM}^2 / (v_{thi} / Rq)^2]$$

–q dependence!

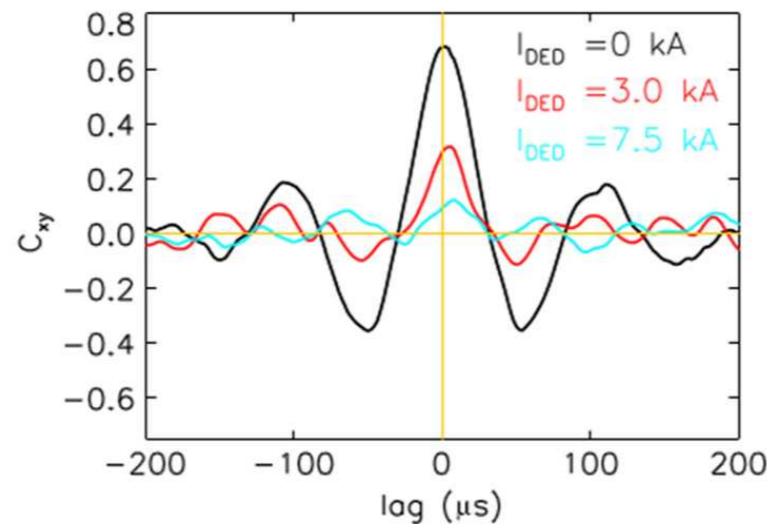
–edge

- Caveat Emptor: GAMs easier to detect \Rightarrow looking under lamp post ?!

Progress I: ZF's with RMP (with M. Leconte)

- ITER 'crisis du jour': ELM Mitigation and Control
- Popular approach: RMP
- ? Impact on Confinement?

Y. Xu '11



⇒ RMP causes drop in fluctuation LRC,
 suggesting reduced Z.F. shearing
 ⇒ What is “cost-benefit ratio” of RMP?

- Physics:

- in simple H-W model, polarization charge in zonal annulus evolves according:

$$\frac{dQ}{dt} = - \int dA \left[\langle \tilde{v}_x \tilde{\rho}_{pol} \rangle + \left(\frac{\delta B_r}{B_0} \right)^2 D_{\parallel} \frac{\partial}{\partial x} (\langle \phi \rangle - \langle n \rangle) \right]_{r_1}^{r_2}$$

- **Key point:** δB_r of RMP induces radial **electron** current → enters charge balance

Progress I, cont'd

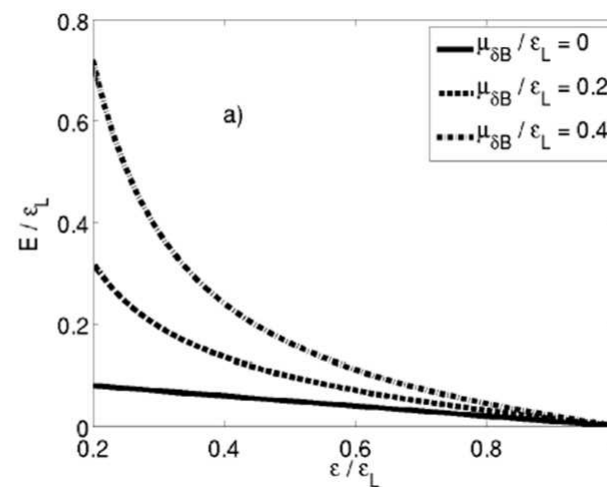
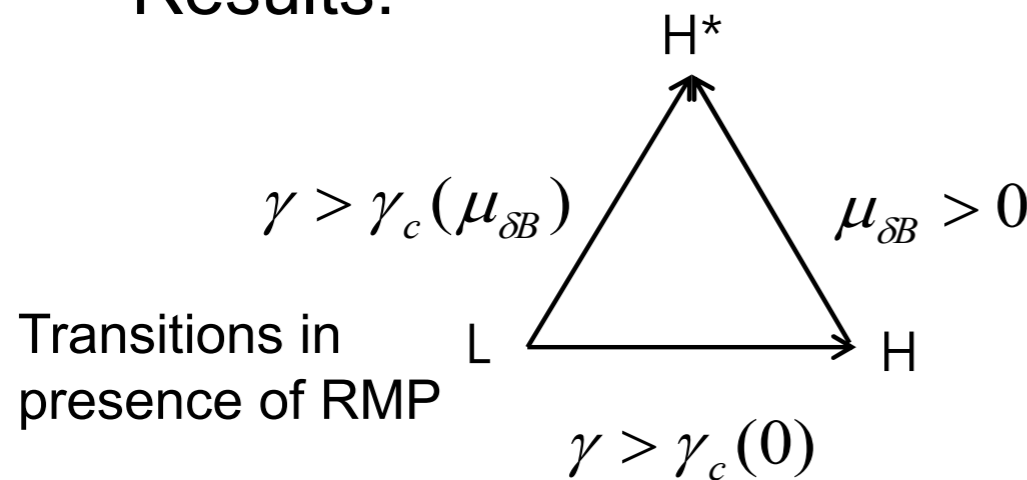
- Implications

- δB_r linearly couples zonal $\hat{\phi}$ and zonal \hat{n}
- Weak RMP \rightarrow correction, strong RMP $\rightarrow \langle E_r \rangle_{ZF} \cong -T_e \partial_r \langle n \rangle / |e|$

- Equations:
$$\frac{d}{dt} \delta n_q + D_T q^2 \delta n_q + i b_q (\delta \phi_q - (1-c) \delta n_q) - D_{RMP} q^2 (\delta \phi_q - \delta n_q) = 0$$

$$\frac{d}{dt} \delta \phi_q + \mu \delta \phi_q - a_q (\delta \phi_q - (1-c) \delta n_q) + \frac{D_{RMP}}{\rho_s^2} (\delta \phi_q - \delta n_q) = 0$$

- Results:



E_{ZF}/ϵ_L vs ϵ/ϵ_L for various RMP coupling strengths

Progress II : β -plane MHD (with S.M. Tobias, D.W. Hughes)

Model

- Thin layer of shallow magneto fluid, i.e. solar tachocline
- β -plane MHD \sim 2D MHD + β -offset i.e. solar tachocline

$$\partial_t \nabla^2 \phi + \nabla \phi \times \hat{z} \cdot \nabla \nabla^2 \phi - \nu \nabla^2 \nabla^2 \phi = \beta \partial_x \phi + B_0 \partial_x \nabla^2 A + \nabla A \times \hat{z} \cdot \nabla \nabla^2 A + \tilde{f}$$

$$\partial_t A + \nabla \phi \times \hat{z} \cdot \nabla A = B_0 \partial_x \phi + \eta \nabla^2 A \quad \vec{B}_0 = B_0 \hat{x}$$

- Linear waves: Rossby – Alfvén $\omega^2 + \omega \beta \frac{k_x}{k^2} - k_x^2 V_A^2 = 0$ (R. Hide)
- cf P.D., et al; Tachocline volume, CUP (2007)

S. Tobias, et al: ApJ (2007)

Progress II, cont'd

Observation re: What happens?

- Turbulence \rightarrow stretch field $\rightarrow \langle \tilde{B}^2 \rangle \gg B_0^2$ i.e. $\langle \tilde{B}^2 \rangle / B_0^2 \sim R_m$
(ala Zeldovich)
- Cascades : - forward or inverse?
- MHD or Rossby dynamics dominant !?
- PV transport: $\frac{dQ}{dt} = -\int dA \langle \tilde{v} \tilde{q} \rangle \rightarrow$ net change in charge content due PV/polarization charge flux

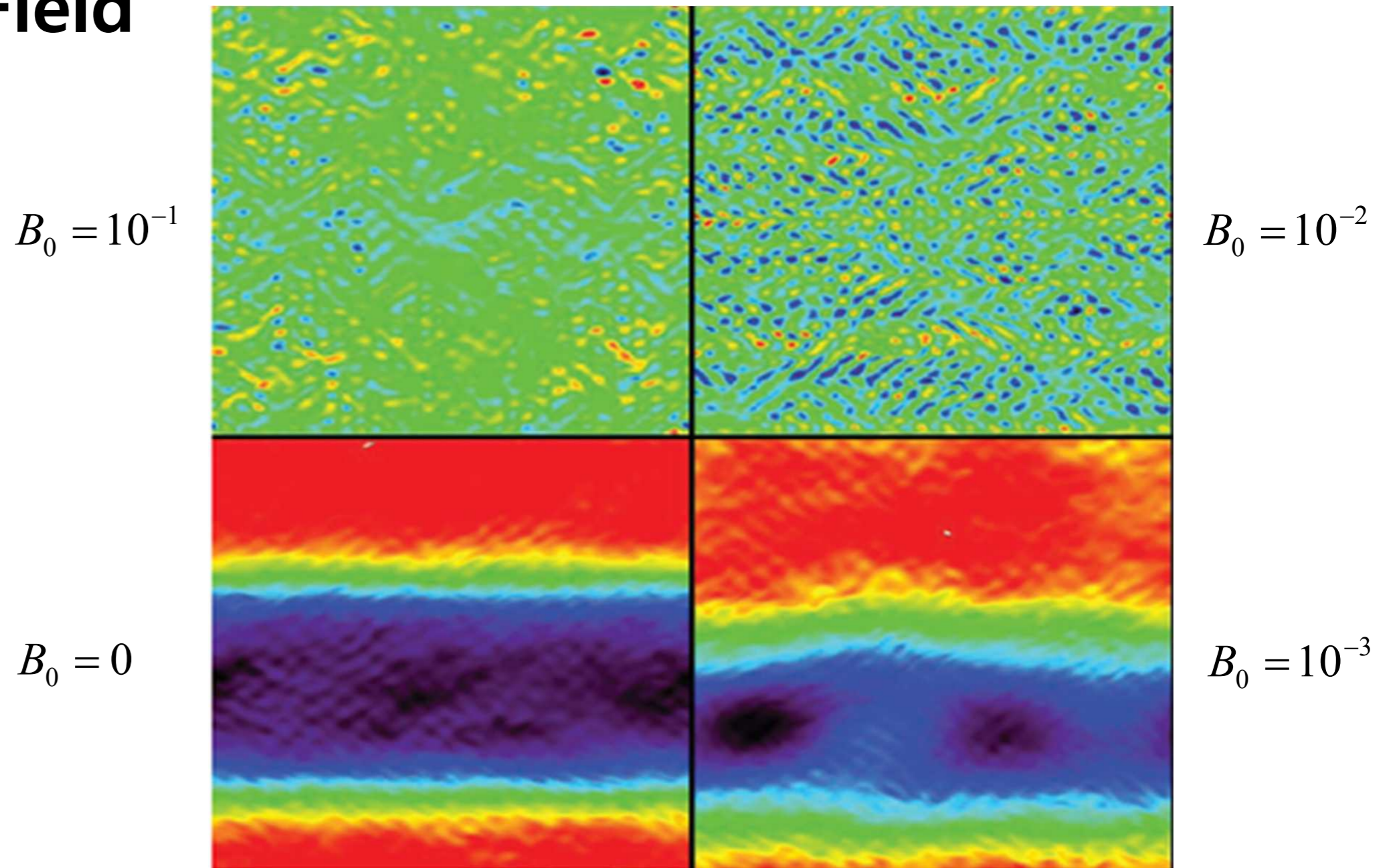
Now $\frac{dQ}{dt} = -\int dA \left[\langle \tilde{v} \tilde{q} \rangle - \langle \tilde{B}_r \tilde{J}_{\parallel} \rangle \right] = -\int dA \partial_x \left\{ \langle \tilde{v}_x \tilde{v}_y \rangle - \langle \tilde{B}_x \tilde{B}_y \rangle \right\} \rightarrow$ Reynolds mis-match

\uparrow PV flux \uparrow current along tilted lines \rightarrow vanishes for Alfvénized state

Taylor: $\langle \tilde{B}_x \tilde{J}_{\parallel} \rangle = -\partial_x \langle \tilde{B}_x \tilde{B}_y \rangle$

Progress II, cont'd

- **With Field**



Progress II, cont'd

- Control Parameters for \vec{B} enter Z.F. dynamics

Like RMP, Ohm's law regulates Z.F.

- Recall

– $\langle \tilde{v}^2 \rangle$ vs $\langle \tilde{B}^2 \rangle$

– $\langle \tilde{B}^2 \rangle \sim B_0^2 R_m \rightarrow$ origin of B_0^2 / η scaling !?

- Further study \rightarrow differentiate between :

- cross phase in $\langle \tilde{v}_r \tilde{q} \rangle$ and O.R. vs J.C.M
- orientation : $\vec{B} \parallel \vec{V}$ vs $\vec{B} \perp \vec{V}$
- spectral evolution

