

# Lect 3: Applications of the second quantization

①

§ Exchange energy - a two body problem. Let us consider two particles in momentum eigenstates  $k_1, k_2$ . In the first quantization method, we write the two particle wavefunction

$$\psi_{B,F}(r_1, r_2) = \frac{1}{\sqrt{2}} \left( \frac{1}{\sqrt{V}} \right)^2 [ e^{ik_1 r_1} e^{ik_2 r_2} \pm e^{ik_1 r_2} e^{ik_2 r_1} ]$$

the exchange energy is the part different from the direct energy

$$\begin{aligned} \langle |V| \rangle &= \int dr_1 dr_2 \psi_{B,F}^*(r_1, r_2) V(r_1, r_2) \psi_{B,F}(r_1, r_2) \\ &= \int dr_1 dr_2 \frac{e^{-ik_1 r_1 - ik_2 r_2}}{V} V(r_1, r_2) \frac{e^{ik_1 r_1 + ik_2 r_2}}{V} \pm \int dr_1 dr_2 \frac{e^{-ik_1 r_1 - ik_2 r_2}}{V} V(r_1, r_2) \frac{e^{ik_1 r_2 + ik_2 r_1}}{V} \\ &= \frac{1}{V} \int dr e^{iq \cdot r} V(r) \quad (q \rightarrow 0) \quad \pm \frac{1}{V} \int dr e^{i(k_1 - k_2) \cdot r} V(r_1 - r_2) \\ &= \frac{1}{V} [ \underset{\substack{\uparrow \\ \text{Hartree}}}{V(q=0)} \pm \underset{\substack{\uparrow \\ \text{Fock exchange energy}}}{V(0, k_1 - k_2)} ] \end{aligned}$$

Now let us calculate it in the second quantization

$$| \Psi \rangle = | k_1, k_2 \rangle = a_{k_1}^\dagger a_{k_2}^\dagger | 0 \rangle$$

$$V = \frac{1}{2V} \sum_{k, k', q} a_k^\dagger a_{k'}^\dagger a_{k'-q} a_{k+q} V(q)$$

$$\Rightarrow \langle \Psi | V | \Psi \rangle = \frac{1}{2V} \sum_{k, k', q} \frac{V(q)}{V} \langle 0 | a_{k_2} a_{k_1} a_k^\dagger a_{k'}^\dagger a_{k'-q} a_{k+q} a_{k_1}^\dagger a_{k_2}^\dagger | 0 \rangle$$

1  $q=0, \& k=k_1, k'=k_2$   
 $q=0 \& k=k_2, k'=k_1$  }  $\Rightarrow \frac{1}{V} V(q=0) \leftarrow$  Hartree

2.  $k=k_2, k'=k_1$   
 $q=k_1-k_2$   
 $k=k_1, k'=k_2$   
 $q=k_2-k_1$

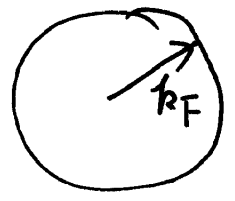
$a_{k_2} a_{k_1}, a_{k_2}^+ a_{k_1}^+, a_{k_2} a_{k_1}, a_{k_1}^+ a_{k_2}^+$   
 $a_{k_2} a_{k_1}, a_{k_1}^+ a_{k_2}^+, a_{k_1} a_{k_2}, a_{k_2}^+ a_{k_1}^+$

$\Rightarrow \pm \frac{1}{V} V(k_2-k_1)$   
 Fock term.

§ Hartree - Fock interaction energy of the state  $|G\rangle$

in which the  $|k\sigma\rangle$  inside the Fermi sphere ( $k_F$ ) is occupied.

$V = \frac{1}{2V} \sum_{k_1, k_2, q} V(q) a_{k_1+q, \sigma}^+ a_{k_2-q, \sigma'}^+ a_{k_2, \sigma'} a_{k_1, \sigma}$



$|G\rangle = \prod_{k < k_F} a_{k\uparrow}^+ a_{k\downarrow}^+ |0\rangle$

We need to evaluate  $\langle G | V | G \rangle$

Hartree term:  $V_H = \frac{1}{2V} \sum_{k_1, k_2, \sigma, \sigma'} V(0) \langle G | a_{k_1, \sigma}^+ a_{k_2, \sigma'}^+ a_{k_2, \sigma'} a_{k_1, \sigma} | G \rangle$

$= \frac{1}{2V} \sum_{k_1, k_2, \sigma, \sigma'} V(0) \left\{ \langle G | a_{k_1, \sigma}^+ a_{k_1, \sigma} a_{k_2, \sigma'}^+ a_{k_2, \sigma'} | G \rangle - \langle G | a_{k_1, \sigma}^+ a_{k_2, \sigma'}^+ | G \rangle \delta_{k_1, k_2} \delta_{\sigma, \sigma'} \right\}$

$= \frac{V(0)}{2V} \left\{ \left( \sum_{k, \sigma} n_{k\sigma} \right)^2 - \sum_k (n_{k\sigma}) \right\} = \frac{1}{2} V(0) [Vol \cdot n^2 - n]$

$n$  is the density

Fock term:  $\sigma = \sigma'$  &  $k_2 - q = k_1$ ,  $k_1 \neq k_2$

$$V_{\text{Fock}} = \frac{1}{2V} \sum_{k_1 \neq k_2} \langle G | a_{k_2, \sigma}^\dagger a_{k_1, \sigma}^\dagger a_{k_2, \sigma} a_{k_1, \sigma} | G \rangle V(k_1 - k_2)$$

$$= \frac{-1}{2V} \sum_{k_1 \neq k_2} V(k_1 - k_2) \langle G | a_{k_2, \sigma}^\dagger a_{k_2, \sigma} a_{k_1, \sigma}^\dagger a_{k_1, \sigma} | G \rangle$$

Sum over spin  $\rightarrow$

$$= \frac{-1}{2V} \sum_{k_1 \neq k_2} V(k_1 - k_2) 2 n_{k_1} n_{k_2} = \frac{-1}{\text{Vol}} \cdot \text{Vol}^2 \left[ \int \frac{d\vec{k}_1}{(2\pi)^3} \int \frac{d\vec{k}_2}{(2\pi)^3} V(k_1 - k_2) n(k_1) n(k_2) \right]$$

keep leading order

$$V_{\text{Fock}} / \text{Vol} = \int \frac{d\vec{k}_1}{(2\pi)^3} \int \frac{d\vec{k}_2}{(2\pi)^3} n(k_1) n(k_2) V(k_1 - k_2)$$

### S3. Cooper pairing problem

Consider that we have a full-filled Fermi sphere with Fermi wave vector  $k_F$ . We add two extra electrons with  $(k \uparrow)$  and  $(-k \downarrow)$  outside the sphere. Neglect that electrons inside the Fermi sphere can be scattered outside the Fermi surface. Assume that the attractive interactions between two electrons as

$$H = \sum_k \epsilon_k C_{k\sigma}^\dagger C_{k\sigma} - \frac{U}{V} \sum_{kk'} C_{k\uparrow}^\dagger C_{-k\downarrow}^\dagger C_{-k\downarrow} C_{k\uparrow}$$

Solve the bound state energy.

Let us assume that the eigenstate is a linear superposition 4.

of  $(k\uparrow, -k\downarrow)$  as  $|\psi\rangle = \sum_{k > k_F} \alpha(k) C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$  ↑ full filled Fermi sea

$$H|\psi\rangle = (H_0 + H_{int}) |\psi\rangle$$

$$= \sum_{k > k_F} \alpha(k) (H_0 + H_{int}) C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$$

$$H_0 C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle = (C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger}) (2\epsilon_k + H_0) |F\rangle = (2\epsilon_k + E_0) C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$$

$$H_{int} C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle = -\frac{U}{V} \sum_{k', k''} [C_{k'\uparrow}^{\dagger} C_{-k'\downarrow}^{\dagger} C_{-k''\downarrow} C_{k''\uparrow}] C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$$

$$= -\frac{U}{V} \sum_{k', k''} \delta(k, k'') C_{k'\uparrow}^{\dagger} C_{-k'\downarrow}^{\dagger} |F\rangle = -\frac{U}{V} \sum_{k'} C_{k'\uparrow}^{\dagger} C_{-k'\downarrow}^{\dagger} |F\rangle$$

$$\Rightarrow H|\psi\rangle = \sum_k \alpha(k) [2\epsilon_k + E_0] C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$$

$$- \sum_k \alpha(k) \frac{U}{V} \sum_{k'} C_{k'\uparrow}^{\dagger} C_{-k'\downarrow}^{\dagger} |F\rangle$$

$$= E|\psi\rangle$$

$$\Rightarrow \sum_k [(\alpha\epsilon_k + E_0)\alpha(k) - \frac{U}{V} \sum_{k'} \alpha(k')] C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$$

$$= E \sum_k \alpha(k) C_{k\uparrow}^{\dagger} C_{-k\downarrow}^{\dagger} |F\rangle$$

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$$\Rightarrow (2\mathcal{E}_k + E_0) \alpha(k) - \frac{U}{V} \sum_{k'} \alpha(k') = E \alpha(k)$$

$$\alpha(k) = \frac{U}{E_0 - E + 2\mathcal{E}_k} \frac{1}{V} \sum_{k'} \alpha(k')$$

$$\Rightarrow \frac{1}{U} = \frac{1}{V} \sum_{k > k_F} \frac{1}{2\mathcal{E}_k - (E - E_0)} \quad \text{or} \quad \frac{1}{U} = \frac{1}{V} \sum_{k > k_F} \frac{1}{2\mathcal{E}_k - \Delta E}$$

$$\frac{1}{U} = N(0) \int_0^{\hbar\omega_D} d\epsilon \frac{1}{2\epsilon - \Delta E} = \frac{N(0)}{2} \ln \frac{2\hbar\omega_D + |\Delta E|}{|\Delta E|}$$

$$\frac{2}{N(0)U} \approx \ln \frac{2\hbar\omega_D}{|\Delta E|} \Rightarrow \Delta E = -2\hbar\omega_D e^{-\frac{2}{N(0)U}}$$

$\uparrow$   
 gap energy

